



8th EPO-USPTO CPC Annual Meeting with National Offices

22-23 March 2021

F16M11/2042 •••• { constituted of several dependent joints }

F16M11/205

••••• { the axis of rotation intersecting in a single point e.p. pintum)

Agenda:

- § CPC co-operation
- § CPC front file data
- § Maintenance of the CPC System
- **§** Updates on the CPC
- **§** CPC Training

CPC co-operation

From a bilateral initiative to a global international classification system

30 Offices participating in the CPC



IP Australia started sending CPC data in 2020.

- First office to use EPO's webservices
- First office to send CPC data for PCT

Offices in the CPC whose data is loaded in EPO's databases

Offices implementing the CPC

Status 12 March 2021

Source: European Patent Office

... including 17 EPO Member States



Status 12 March 2021

Source, Surroyan Patient Office

Romania joined the CPC in November 2020!

... and is sending CPC data for its B – publications, now available in EPO's databases since 12 March 2021

CPC coverage EPO core collection (1 March 2021)

Country	Country Code	Total Number of Bibliographic Data Records (source: EPODOC on 01/03/2021)	Number of Bibliographic Data Records classified in CPC	% of Bibliographic Data Records classified in CPC
EPO	EP	3.776.468	3.774.414	99,9%
United States	US-A + US-B Docs	13.296.261	13.286.562	99,9%
Austria	AT	1.010.469	726.166	71,9%
Belgium	BE	592.076	557.469	94,2%
Switzerland	СН	720.395	581.753	80,8%
Germany	DE	5.833.796	5.033.873	86,3%
France	FR	2.476.352	2.456.199	99,2%
United Kingdom	GB	2.419.665	2.164.176	89,4%
Luxembourg	LU	63.730	62.729	98,4%
The Netherlands	NL	544.344	541.509	99,5%
ARIPO	AP	5.235	3.997	76,4%
Australia	AU	1.551.802	1.239.827	79,9%
Canada	CA	2.539.765	1.425.461	56,1%
OAPI	OA	13.433	13.216	98,4%
WIPO	WO	3.989.813	3.980.907	99,8%
	TOTAL	<u>38.833.604</u>	<u>35.848.258</u>	

CPC data sent by 21 CPC offices

Country	Country Code	Number of Bibl. Data Records classified by National Office (status 12 March 2021)
Australia	AU	6.459
Austria	AT	13.642
Brazil	BR	34.316
China	CN	6.297.606
Czech Republic	CZ	3.788
Denmark	DK	2.016
EAPO	EA	8.372
Finland	FI	14.715
Greece	GR	7.412
Hungary	HU	1.803
Israel	IL	6.721
Korea	KR	2.573.743
Mexico	MX	1.588
Norway	NO	11.679
Portugal	PT	929
Romania	RO	62
Russian Fed.	RU	182.469
Spain	ES	39.886
Sweden	SE	146.868
Switzerland	СН	5.133
United Kingdom	GB	173.484
	TOTAL	<u>9.532.691</u>



CPC Implementation at the USPTO

- USPTO transitioning from USPC (United States Patent Classification) routing to **CPC routing**
 - Assignment of applications by CPC from October 2020.
- Research on artificial intelligence (AI) for classification ongoing

The USPTO also started

Search and Classification Examiners (SCE) Program:

- Approximately **140** SCEs from April 2020
 - $_{\odot}$ SCEs perform both examination and classification activities
- Classification related activities may include:
 - o Quality assurance of initial classification and reclassification
 - o Revision projects
 - o Technical field training
 - Collaboration with EPO to ensure harmonized classification practices

Harmonisation plan EPO-USPTO

- Bilateral agreements on classification practice
- 140 USPTO Search and Classification Examiners (SCEs) and 676 EPO Classification Quality Nominees (Class-QNs)
- Series of meetings to reach agreement on the classification practice, producing lists of documents with agreed classification, elaborating guidance documents and creating revision projects if needed.

EPO's Strategic Plan 2023 - Classification

Artificial Intelligence to support CPC processes:

Preclassification – file allocation Reclassification Classification

Considering classification at passage level

... while ensuring business continuity !





EPO's Strategic Plan 2023 - Classification



CPC cooperation with the USPTO:



- Harmonisation plan (USPTO SCEs EPO QNs)
- CPC revision backlog reduced to virtual zero (over 200 projects)
- Streamlined revision process: 9 months from start to "sent to publication"
- Improvement IT infrastructure

International Cooperation in Classification – CPC cooperation

- CPC extension to more offices
- CPC training and quality feedback
- IT support for CPC implementation
- Improved services to offices, industry users and the public at large

International Cooperation in Classification External Classification Portal (ECP)

Close cooperation with EPO member states – **IT Cooperation framework**

ECP home page Contact information service CPC reclassification service IPC reclassification service CPC Quality Monitoring Service

User-centric approach

Image: Second second

Colour designs

ECP Home page



ECP – Contact Information Service

vices	• Cantast information	
Contact information	Contact information Law output Existing Manage contact list	Contacts (OEPM - Oficina Española
CPC classification	All contacts Enviountes	KP Kevin PINTO
CPC reclassification	Q Search Lattackey includes at CPC signification	CPC Administrator 👘 📞 🖂
IPC reclassification	foffical e Rola e Repty	AC Mrs. Amelia CASAS
Quality monitoring		it Specialist D C 🖂
Documentation	Search and apply filters to visue results.	
Reports and statistics.		Related documents 40 documents
ECP Community of pract		How to use the service.pdf
CPC browser		Document 02.ppt
CPC text categorizer		Document 04.csv

ECP – CPC Reclassification Service

International Control of Laborational Control of Labora	Single Access Port	ol - External Classification	Portal			۹. 🕯	• • • • • • • •
*** O'C deprivation *** O'C deprivation *** Outle maintaine	Services	• - DTL Solarship	itan.				
If Diff initialization If the initialization <thif initialization<="" th="" the=""> If the initializa</thif>	.44. Gardet information	CPC reclass	ification			C De Harverte	Testernal
25 12 3 10 10° L TC rectasofication 10° particit Data partipartit	12 OFC classification	- PRV - Several as to	Autoctual Property	office OFC release 02 2028			
or If the transmission If the projects Completient (rich) Or going (12%) Mor stand (chn) In Decompletient In projects In projects Completient (rich) In projects In project	R DC relationstation					10	
Occurrenticies Q. Sauct by DD where, DPLates are Property Completion and so (i) Discreption In Property and America Integragets Integ	⇒≟ IFCreclassification		772	1.4772	5.57	1.232	80.
Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Internation Int	~ Quality-monitoring						
International and properties OPE release Number + Number + Number + Comparison Comparison <thcomparison< th=""> Comparison</thcomparison<>	Decumentation	Q SuictbyDi	Colores, 275 albai	0/19-manuer		Completion status	ie.
In the case of the	C Horst est Amon	140 projecta					Dia reports
OPC test cangelow III 4P UX ALX00 MUD, Fugs Strick (Segregs) Strick (Segregs) <thstrick (segregs)<="" th=""> Strick (</thstrick>	🐮 60° Conservation at a sector	E Propert -	CPC release +	telefan -	NoC +	Completion status +	
Bit 126 Bit 200 HON. Def 302 Title Bageng 1 Bit 127 Bit 200 HON. Set 200 Set 200 Set 200 1 Bit 127 Bit 200 HON. Set 200 Set 200 Set 200 Set 200 1 Bit 128 Bit 200 HON. Set 200 Set 200 Set 200 1 Bit 128 Bit 200 HON. HON. Set 200 200% (sequence) 1 Bit 128 Bit 200 HON. HON. HON. Set 200 200% (sequence) 1 Bit 128 Bit 200 HON. HON. HON. Set 200 200% (sequence) 1 Bit 129 HON. HON. HON. HON. Set 200 200% (sequence) 1 Bit 129 HON. HON. HON. HON. Set 200 200% (sequence) 1 Bit 129 HON. HON. HON. HON. HON. 200% (sequence) 1	D CPC between	10 10 10 10 1	42209	HONE .	145	30% D+ gring	- 3
Image: Section of the section of th	• CPC test caregories	20 HP 023	812091	4420, F108	941.000	Jobh Carphered	1
Image: State		() 87.04	13.899	104.	101002	12% De gang	1
III Nº 124 E22020 VOA, VE25, VE25		(E) 9(* 127	82,0081	396.	541.000	99% O+ 60+10	- 4
III AP 348 42 2544 44/45 91271 YE2W 1945 rd 2000 Zavidand 1		10 ATT 324	83.2530	104.	142.903	200% Complement	1
		0 NP 128	812020		-	pace (sequeral	4
U 075547 81581 906. 56		10.944,254	672599	HERE MEDIC VEDW, VE	45 10	200% Davisiteant	1
The On East		U 01053-1	813591	104.	346	The Os Boal	1
		100 April 1 100	1 Address 1	inter l	Concession of Co		4

Revamping the CPC website (cpcinfo.org)

Cooperative Patent Classification

European Patent Office United States Patent and Trademark Office

Home Latest news About CPC Objectives CPC Scheme and Definitions CPC Revisions CPC Concordances CPC Training Events Publications Press releases Links FAQ Archive Contact Us

Sitemap



- Website launched Oct 2012
- Needs to be revamped!
- Start work second half of 2021
- Any feedback / ideas to <u>cpc@epo.org</u>; <u>cpc@uspto.gov</u>

Discussion:

- Experience from an office who recently joined
- Experience from contributors to the ECP development
- Potential CPC offices: what drives you to join the CPC?
- In which regions would you like the CPC to be implemented in the future?

CPC Front File Data

How to send CPC data to the EPO?

- ST.36 format while considering the ST.8-based CPC allocation standard
- **§** CPC symbols are subject to some **validation** before loading into DocDB
- **§** Options for data submission by a National Office:
 - 1. Included in the **bibliographic data stream** (with IPC)
 - 2. Separate file for CPC classification data and limited bibliographic data
 - 3. Web services with feedback retrieval on batch status (accept/reject)

CPC Standard

The current bibliographic data format is based on ST.36/CPC allocation standard (complementary with ST.8) and DocDB XML format.

Position(s)	Content	Values
1	Section	А,, Н,Ү
2,3	Class	01,,99
4	Subclass	A,,Z
5 to 8	Main Group (right aligned)	1,,9999, blank
9	Separating character	/ ("Slash")
10 to 15	Subgroup (left aligned)	00,,999999, blank
16 to 19	For future use	4 blanks
20 to 27	Version indicator	YYYYMMDD date format
28	Classification level	blank
29	First or later position of symbol	F,L
30	Classification value (invention or additional)	I,A
31 to 38	Action date	YYYYMMDD date format
39	Original or reclassified data	B,R
40	Source of classification data	H,C,G
41-42	Generating office	AA,,ZZ (ST.3)
43-50	For future use	8 blanks

PROCESSES FOR CPC CLASSIFICATION DATA LOADING



More information?

Please check the CPC Implementation Manual!



CPC on EPO publications

Currently, CPC information is provided via the EPO's bulk data sets DOCDB and INPADOC, and made searchable through Espacenet

Full classification at publication is now a reality: over 80% of all patent applications searched at the EPO are fully classified in CPC by the time of publication

CPC will be included in **EPO's publication server**, **Bulletin** and **Patent Register** according to a staged approach in 2021

CPC on EPO publications

§ Displaying CPC on EPO publications:



§ No date next to CPC symbols: displayed symbols are valid under the CPC release in force at the time of publication!

CPC on EPO publications

§ Combination Sets: not displayed (but presence of C-Sets could be indicated, e.g. "C-Sets available")

§ Display all CPC symbols? No, a limited number will be displayed

Discussion:

- Experience from an office who recently started sending data
- What is preventing your office to send CPC data?
- How can the EPO / USPTO support you further to make the CPC data work?

Break (10 mins)

Maintenance of the CPC system

- **§** Four releases per year
- S Announced under "Latest News" section on <u>www.cpcinfo.org</u>

§ Four CPC releases in **2021**:

The CPC revision process



The CPC revision process:

In 2020 following **goals achieved bilaterally** by the USPTO and the EPO:

- New IPC scheme introduced in CPC
- Backlog of CPC revisions brought to zero in August 2020
- CPC revision process streamlined: 9 months from start to send to publication
- Highest-ever number of CPC revision projects published (211)

In which areas are projects running?

- For the public, the list of active projects can be retrieved from <u>cpcinfo.org</u>
- § CPC Offices interested in following CPC revisions should contact USPTO or EPO for appropriate access. Some IT development is required for authentication.



CPC revisions – pre-release area

Home

Latest news

About CPC

Objectives

CPC Scheme and Definitions

CPC Revisions

Notice of Changes

Ongoing CPC Projects

Pre-release

CPC Concordances

CPC Training

Events

Publications

Press releases

Links

FAQ

Archive

Contact Us

F16M11/205 ••••• {the axis of rotation intersecting in a single point e.g. gimbes}

Pre-release

In this area of the website, CPC related material such as scheme files, notices of changes, concordances, etc, will be published about one month before official entry Into force of this material.

The publication of the pre-released material started on 6 May 2014 concerning the June 2014 CPC scheme version (2014-06).

The pre-release will normally happen on the first Tuesday of a given month (for example Tuesday 6 May 2014) for entry into force on the first day of the following month (for example 1 June 2014).

5 January 2021: 2021.02 pre-released material:

- 2021.02 CPC Scheme in PDF and in XML
- 2021.02 CPC to IPC concordance in PDF, XML and TXT
- · Notices of Changes related to the "2021.02 CPC Scheme":
- CPC Notice of Changes 1036-MP0499 (various)
- CPC Notice of Changes 1037-MP0501 (C09J)



CPC Notices of Changes (NoC) publications:

2020 NOC Publication	RP	DP	MP	Total
January	54	12	5	71
February	9	1	2	12
May	55	3	13	71
August	47	1	9	57
Total	165	17	29	211
2021 NOC Publication	RP	DP	MP	Total
January	56	10	22	88
February	7	9	0	16
Total	63	19	22	104





In this area, information regarding changes made to the CPC scheme will be published in the form of "Notice of Changes" (formerly know as CPC Classification orders).

Statch Erarmentare | G

information will also be provided about ongoing CPC Scheme revision projects.

Under the navigation title "Pre-release", as of filay 2014, material such as the scheme, nitices of changes, concordances, will be made available to the public about one month ahead of official entry into fance of the corresponding material.



Scheme Changes in 2020/2021:

- Number of created new symbols
- Number of deleted new symbols

CPC VERSION	SYMBOLS DELETED	SYMBOLS ADDED
2020.01	877	954
2020.02	322	624
2020.05	351	497
2020.08	1906	337
2021.01	829	1389
Definition Changes in 2020/2021:

- Number of new definitions
- Number of modified definitions
- Number of deleted definitions

Publication- date	new- definitions	modified- definitions	deleted- definitions
2021.02	59	140	5
2021.01	511	1404	191
2020.08	171	589	28
2020.05	394	746	17
2020.02	164	445	16
2020.01	590	1376	84

Example of new CPC scheme release after completion of RP0621:

D B00W 60:00 Drive control systems specially adapted for autonomous road vehicles [2020-02] WARNING Groups B60W 60/00 - B60W 60/007 are incomplete pending reclassification of documents from groups B60K 20/00 - B60K 20/165, B60W 30/12, B60W 30/16, B60W 30/162, B60W 30/165, B60W 30/17, C05D 1/0088, G65D 1/021, G65D 1/0214, G65D 1/0221, and G65D 1/0223 All groups listed in this Warming should be considered in order to perform a complete search. B60W 60/001 . (Planning or execution of driving tanks) (2020-02) B60W 60/0011 ... (molving control atternatives for a single driving scenario, e.g. planning several paths to avoid obstacles) [2020.02] B60W 60/0013 ... (specially adapted for occupant comfort) (2020-02) B60W 60/00133 (for resting) (2020-02) (for intellectual activities, e.g. reading, gaming or working) (2020-02) B60W 60/00136 B60W 60/00139 (for sight-seeing) (2020-02) D ... DEOW 60/0015 ... (specially adapted for safety) [2020-02] B60W 60/0016 (of the vehicle or its occupants) [2620-07] B60W 60/0017 (of other traffic participants) (2020-02) By employing degraded modes, e.g. reducing speed, in response to suboplimal conditions) [2020-02] B60W 60/0018 (in response to weather conditions) (2020-02) B60W 60/00182 B60W 60/00184 (related to infrastructure) [2020-02] B60W 60/00186 (related to the vehicle) [2020-02] (related to detected security violation of control systems, e.g. hacking of moving vehicle) (2020-02) D B60W 60/00188 B60W 60/0021 ... (specially adapted for travel time) [2020-02] B60W 60/0023 . . (in response to energy consumption) [2020-02] B60W 60/0024 ... With mediation between passenger and vehicle requirements, e.g. decision between dropping off a passenger or urgent vehicle service) [2020-02] B60W 60/0025 ... (specially adapted for specific operations) [2020-02] B60W 60/00253 [Tax operations] [2020-02] B60W 60/00256 ... (Delivery operations) [2020-02] B60W 60/00259 ... (Surveillance operations) (2020-02) B60W 60/0027 ... (using trajectory prediction for other traffic participants) [2020-02] ... (retying on extrapolation of current movement) (2020-02) B60W 60/00272 B60W 60/00274 ... (considering possible movement changes) [2020-07] B60W 60/00276 ... (for two or more other traffic participants) [2020-02] B60W 60/005 (Handover processes (between vohicles and remote control entities G05D U0011)) [2020-02] B60W 60/0051 ... (from occupants to vehicle) [2029-02] B60W 60/0053 ... (from vehicle to occupant) [2020-02] B60W/ 60/0054 ... (Selection of occupant to assume driving tasks) (2020-02) B60W 60/0055 ... (only part of driving fasks shifted to occupants) (2020-02) 860W 60/0057 ... (Estimation of the time available or required for the handover) (2020-031 B60W 60/0059 ... (Estimation of the risk associated with autonomous or manual driving, e.g. situation loo complex, senser failure or criver incapucity) (2020-02)

B60W 60/0061

B80W 60/007

. . (Aboring handoves process) [2020-07]

. (Emergency override (remote control G0SD 1/0011)) [2020-02]

RP0621 New Emerging Technology: B60W 60/00 (Autonomous Vehicles)

- 126 new groups in the scheme
- 13 new definitions for new group(s), subgroup(s)

How can I look at the details of the changes?

Contained in the CPC Notices of Changes (NoCs)

PDF/XML documents containing all the details of the changes Available one month prior to the entry into force of a new version of the CPC Scheme

Home	F16M11/2
Latest news	
About CPC	
Objectives	Notice of Changes
CPC Scheme and Definitions	
CPC Revisions	Searchable NoC Archive
Notice of Changes	
Ongoing CPC Projects	CPC 2021.02:
Pre-release	<u>CPCNOC1036MP0499various</u>
CPC Concordances	• CPCNOC1037MP0501C09J
CPC Training	• CPCNOC1038MP0478A24B
Events	 CPCNOC1039MP0483G11C

EUROPEAN PATENT OFFICE U.5. PATENT AND TRADEMARK OFFICE

CPC NOTICE OF CHANGES 1037

DATE: FEBRUARY 1, 2021

PROJECT MP0501

The following classification changes will be effected by this Notice of Changes

Action	Substan	General	
SCHEME:	C. Landson	Second Second	
Titles Changed:	0.041	Subclass	
Notes Modefied:	C09J	Subclass	
DEFINITIONS:	-		
Definitions Modified	C09J	Subclass	

No other subclasses/groups are impacted by this Notice of Changes.

This Notice of Changes includes the following [Check the ones included]:

- 1. CLASSIFICATION SCHEME CHANGES
 - A New, Modified or Deleted Group(x)
 - B. New, Modelied or Deleted Warning(s)
 - 🖾 C. New, Modified or Deleted Note(s)
 - D. New, Modified or Deleted Guidance Heading(s)

2. DEFINITIONS

- A. New or Modified Definitions (Full definition template)
- B. Modified or Deleted Definitions (Definitions Quick Fix)
- 1.
 REVISION CONCORDANCE LIST (RCL)
- 4.
 CHANGES TO THE CPC-TO-IPC CONCORDANCE LIST (CICL)
- 1. CHANGES TO THE CROSS-REFERENCE LIST (CRL)

Past NoCs are searchable!



			Search: the search project of an
And a state of	Providents &	100.14	Sepre
TUNK!	Areata 420	1000	000
101102	(H407-12)	1946	Adde
62140.	Amages # 2h	104	ARTS
102140	Ampleto + 28	1947	R2th
107148	Anuton (3)	1946	4070
101102	APUCTA+2	1047	CHE
mriek.	TOTAL C	1044	APR
101102	SAMAGES IN .	1940	days, sina were.
1071.02	straker ()	1040	- 048
NUM.	amana 2	1041	NOM
101102	minute D.	1040	Prime .
101162	Singer a		and
ing's sub-	Avera 12	1000	ADM
12140	weekan (R	and .	- 6286
for Last	when it	1010	3071, AD11, 8210, MILW
and the	servel?	110	a state

Searchable NoC archive

Latest Publication: 2021.02

Showing 1-1,064 of 1,064 entries

Publication Date 🗸	Project Number 🕏	NoC # *	Scope 🕈	Latest Publication: 2	021.02		
2021.02	RP0491-F	1050	CO9D	Showing 1-1,064 of 1,0	54 entries		
2021.02	RP0407-F 🔄	1049	A61K				Search: gay scope, project, or dat
2021.02	RP0002 F 🖾	1048	A61N	a Music and A	Paralant Manahara &	No. of A	
2021.02	RP0500-F 🙆	1047	G01N	Publication Date \$	Project Number \$	NoC # \$	Scope *
2021.02	RP0559-F 🖾	1046	G07D	2018.08	MP0148	380	
2021.02	RP0271-#	1045	C23C	2020.08	MP0456	901	A018, A01C, A01D, A01F, A01G
2021.02	MP0491 🖾	1044	ADIN	2018.02	RP0485	472	A018, A010, A016, C05F, C12N, E01C
2021.02	MP0489	1043	A61K, G118, H01L	2016.11	MP0139	281	ADTE, ADTN, A2TE, A471, A6TE, A6TF, A6TK, A6TQ, A62C, A638
2021.02	MP0492 🖾	1042	C408	3642.44	-		
2021.02	MP0494	1041	M20H	2015.10	MP0124	127	A01D, A41C, A458, A618, A61C, A61G, A61H, A61H, A63F, B01F, B03C, B28C, B29L, B308, B608, B60K, B60N, B60P, B60P, B66P, B65F, B65H, C048
2021.02	MP0482 [2]	1040	F16H	2020.05	una a	073	The second s
2021.02	MP0483	1039	G11C	202005	2020.05 MP0439 🖉	873	A01F A23D, A23F, A458, A45C, A63D, A63J, B01J, B22F, B25D, B60Q, B60W, B81 C01C, C06B, C06C, C06D, C07H, C08K, C10C, C10M, C11C, C12C, C12Q, C21C, C
2021.02	MP0478	1038	A248				C25C, DD6J, D06N, E058, F21H, F21L, F21S, F21V, F238, G04D, G06D, G06T, G07D,
2021.02	MP0501	1037	C091				G088, G09D, G10G, G16H, G21D, H03C, H03D, H04H, H04W, H05F
2021.02	MP0499 🖾	1036	833Y, A01K, 821D, 860W	2020.08	DP0217	931	401G
2021.02	RP0708	956	F23H				

Additional files available after the list of NoCs

Home

Latest news

About CPC

Objectives

CPC Scheme and Definitions

CPC Revisions

Notice of Changes

Ongoing CPC Projects

Pre-reloase

CPC Concordances

CPC Training

Events

Publications

Press releases

Links

FAQ

Archive

Contact Us

Sitemap

F16M11/205 ***** (the axis

Notice of Changes

Searchable NoC Archive

CPC 2021.02:

- CPCNOC1036MP0499various
- CPCNOC1037MP0501C00J
- CPCNOC1038MP0478A24B
- CPCNOC1039MP0483G11C
- CPCNOC1040MP0482F16H
- · CPCNOC1041MP0494H02M
- CPCNOC1042MP0492C40B
- CPCNOC1043MP0489various
- CPCNOC1044MP0491A01N
- · CPCNOC1045RP0271C23Cfinalised
- CPCNOC1046RP0559G07Dfinalised
- CPCNOC1047RP0500G01Nfinalised
- · CPCNOC1048RP0002A61Nfinalised
- CPCNOC1049RP0407A61Kfinalised
- CPCNOC1050RP0491C09Dfinalised
- CPC Notice of Changes 956-RP0708 (F23H)
- Notice of Editorial Corrections February 2021
- CPC Compilation of Changes February 2021

EUROPEAN PATENT OFFICE U.S. PATENT AND TRADEMARK OFFICE

NOTICE OF EDITORIAL CORRECTIONS

PUBLICATION DATE: FEBRUARY 1, 2021

Summary of Editorial Corrections

The following corrections have been made to errors found late in the processing of CPC projects or after CPC projects have been published. Additional minor corrections to the scheme and definitions not associated with CPC projects are also included.

ADDITIONAL SCHEME CORRECTIONS:



ADDITIONAL DEFINITION CORRECTIONS:

Location in CPC	Correction
B32B 27/32	In the "Limiting references" section, replace
	B32B 5/02
	with
	B32B 27/30
H01M 50/00	In the "Informative references" section, for the reference D04H, teplace term
	"form"
	with
	"from"

Additional files available after the list of NoCs

Home Latest news About CPC Objectives CPC Scheme and Definitions CPC Revisions Notice of Changes Orgoing CPC Projects Pre-release CPC Concordances CPC Training

Evonts Publications

Press releases

Links

EAQ

Archive

Contact Us

Stomap

F16M11/205 (the axis

Notice of Changes

Searchable NoC Archive

CPC 2021.02:

- · CPCNOC1036MP0499various
- CPCNOC1037MP0501C09J
- CPCNOC1038MP0478A24B
- · CPCNOC1039MP0483G11C
- CPCNOC1040MP0482F16H
- + CPCNOC1041MP0494H02M
- · CPCNOC1042MP0492C40B
- · CPCNOC1043MP0489various
- CPCNOC1044MP0491A01N
- CPCNOC1045RP0271C23Cfinalised
- CPCNOC1048RP6559G07Dfinalised
- + CPCNOC1047RP0500G01Nfinalised
- · CPCNOC1048RP0002A61Nfinalised
- CPCN8C1049RP0407A61Kfinalised
- CPCNOC1050RP0491C09Dfinalised
- · CPC Notice of Changes 956-RP0708 (F23H)
- · Notice of Editorial Corrections February 2021
- CPC Compliation of Changes February 2021

Compliation of Ourges Between 202101 and 202102 D Adds	1
ATIN LOD	
AIN LOD	
A110 25:00	
ALIN 1700	
A11N 45,00	
AD1N 47,00	
ADIA MUCO	
~ D ANR	
D AND VR	
A248 3/00	
A148 1/00	
A)48 1)/00	
- Π AltK	
ALL	
A61K(31/00	
A616 42(00)	
→ □ Alth	
ALIN U.00	
~ [] AMP	
A61P 1/00	
I BILLY	
COND 1/00	
CINI CINI	
✓ ∏ C23C	
CENC 18/00	
	-

Compilation of Changes to the CPC Scheme Between 2021.01 and 2021.02

		Presentation Details
	Entries for new symbols and heading	
	Entries for existing symbols and heat	
	-text rister	
	Entries for deleted symbols and head	
	(e.g. the change could be due to an I	
	 Projects enong in '+' indicate train 	ation after reclassification was completed.
Proje	ect: MP0491 (A01N)	ation after reclassification was completed.

C09K-17/00)

NOTES

preparations A61K); PLANT GROWTH REGULATORS (compounds in general C01, C07, C08; fertilisers C05; soil conditioners or stabilisers

2021.02

Synchronisation IPC/CPC

- Synchronisation of IPC changes into CPC is essential!
- All IPC 2021.01 changes were introduced into the CPC on 1
 January 2021
- Required strict timeline between the IPC early publication (1 July 2020) and implementation of changes in the CPC by first week of August 2020

Transition from CEF to CE: update on timeline



Discussion:

- S Do you have any suggestions of candidate areas for revision?
- **§** Do you have enough information on CPC Revisions?

CPC Reclassification

Reclassification efforts at the USPTO and the EPO:

After CPC revisions group inventories need to be reclassified accordingly; this constitutes the maintenance of the system, which is carried out by the USPTO, the EPO and other CPC offices.

EPO's and USPTO's objective is to **reclassify documents within a year** past the publication date of their respective CPC releases.

EPO had at the beginning of 2020 a reclassification backlog of 159.712 documents which was reduced to 17.612 documents at the end of the year (71% reduction).

USPTO reclassified 155.244 documents during FY 2020.

CPC Reclassification timeline

"Soft delete" implemented!



Expiration of outdated CPCNO allocations

Situation: following a CPC revision, symbol X is to be deleted from the CPC scheme



EPO/USPTO reclassification completed

How to send reclassified data to the EPO?

- Submission through classification data loading web service indicating Add or Delete in the input.
- Submission as a **separate file in XML format**, with full classification picture for the reclassified publication (unload/reload).
- More information in the CPC Implementation Manual

Support for Reclassification:

- CPC Reclassification Service in the ECP with following features expected:
- EPO/USPTO reclassify according to **reclassification policy**
- Provision of **CPC reclassification working lists** for each office (remaining work, e.g. unique documents)
- **Residual working lists** available on request
- **Reclassification progress** available

Discussion:

- S Experience from an office who recently started reclassifying?
- S What is preventing your office from reclassifying following CPC revisions?
- S How can the EPO / USPTO support you further to make this happen?

Session - 2

Updates on the CPC

Combination Sets (C-Sets)

- S The list of authorized areas for classification with C-Sets revised in February 2020 with additional classification practice information
- S Extra table showing CPC ranges in the polymers' area where C-Sets are not used for font file classification but can still be used for search.
- S Projects to harmonize detailed definitions for the use of C-Sets in the area of polymers such as C08F, C08G, C08K, C08L, C09D, C09J completed in January 2020 and B32B.

published Mar 2021

- S Projects to clean wrong information on C-Sets in the non- authorized areas completed.
- § Updated table published March 2021



Combination Sets (C-Sets)

Subclasses where C-sets are authorized (status March 2021):

CPC Sections	A	В	C	D	E	F	G	н
	A01N	B01D	C04B	D07B			G01N	H01L
	A23G	B01J	C05B				G02B	
	A23V	B05D	C05D					×
	A61K	B22F	C05F	Ĩ.				
1	A61L	B29C	C05G					
	A61M	B32B	C07C					
CPC		B65H	C08F		2016			
Subclasses:			C08G		No	one		
			C08K					
Pu	blished in J	anuary	C08L					
	2021		C09D					
	1.000		C09J					
			C10M		Published in J	anuary and Febru	ary 2020	
			C12N					
			C12Q					

https://www.cooperativepatentclassification.org/publications/CombiSetsListofFields.pdf

Use of C-Sets in Notes in the scheme



COOPERATIVE PATENT CLASSIFICATION

LAYERED PRODUCTS, Le. PRODUCTS BUILT-UP OF STRATA OF FLAT OR NON-FLAT, e.g. CELLULAR OR HONEYCOME, FORM

NOTES 1. This subclass <u>covers</u>:

 layered products comprising different kinds of material or layered products not characterised by the particular kind of material used;

 a product similar to a layered product but comprising only material in the form of a sheet or network embedded in a mass of plastics or of physically-similar substances which mass penetrates the said sheet or network and les on both sides of the latter (e.g. so that the sheet or network reinforces the plastics substance) PRCVIDED THAT the embedded sheet or network estends coherently or connectedly over substancing PRCVIDED that The embedded sheet or network may be a fabric or a series substancing in the substantial product. This the embedded sheet or network may be a fabric or a series subclance of the product in the product in the sheet or network may be a fabric or a series subclass only if it is essentially a process of building-up an asserted by of layers of which at lead one outer layer is preformed. If the embedded material comprises only a series of unconnected rocks, the product is not classified in this subclass.

2. This subclass does not cover

- processes or apparatus used in, or in connection with, the production or treatment of any product, if the process or apparatus is fully classifiable in a single other class or subclass for processes or apparatus, e.g. 805, 829, 8440, COBJ, COBJ, C23;
- compositions or preparation or treatment thereof, unless they are essentially restricted to layered products
- and cannot be fully classified in another class without ignoring this restriction;
- etched metallic pattern on the surface of a printed circuit board.

In this subclass

8. {In this subclass, combination sets [C-Sets] are used. The detailed information about the C-Sets construction and the associated syntax rules are found in the definitions of B32B.}

WARNING

The following IPC groups are not in the CPC scheme. The subject matter for these IPC groups is classified in the following CPC groups:

B32B3/24

B32B17/12

covered by covered by B32B 3/266 B32B 17/067

groups B29C 45/16 or B29C 48/18 6. (In this subclass,)

- The classification of layered products is provided for in many classes, most of which are confined to a
 particular kind of material. However, in order that this subclass may provide a basis for making a complete
 search with respect to layered products, all relevant subject matter is classified in this subclass even though
 it may also be classified in other disess.
- (in groups B32B 37/00, B32B 38/00, B32B 41/00 and B32B 39/00, the following expressions are used with the meaning indicated:
 - "Tay-up" is considered to be the action of combining separate layers, one on top of the other, in order to form a half-product for entering the liaminating process.
 "Taminating" means the action of combining previously unconnected but possibly laid up layers to become
 - "naminating" means the action of combining previously unconnected but possibly laid up layers to become one product whose layers will remain together;
 "partial laminating" occurs when one layer does not fully cover a surface of another layer, whereby the layer
 - partial rammating occurs when one take occurs for taky cover a surface or when two coextensive layers are with the greater surface area is taminated on only part of its surface or when two coextensive layers are bonded on only part of their facing surfaces;
- (In this subclass, combination sets (C-Sets) are used. The detailed information about the C-Sets construction the associated syntax rules are found in the definitions of B32B.)

WARNING

The following IPC groups are not in the CPC scheme. The subject matter for these IPC groups is classified in the following CPC groups:

B32B3/24	covered by	B32B 3/266
B32B17/12	covered by	B32B 17/067

C-sets notification in definitions

Combination Sets (C-Sets):

In this subclass, C-Sets classification is applied to the following groups, listed in the table below, if the document discloses a pertinent combination of technical features that cannot be covered by the allocation of a single symbol. The fourth column of the table indicates the place where the detailed information about the C-Sets construction and the associated syntax rules can be found, in the definition section "Special rules of classification".

C-Sets ID	Base Symbols	Subsequent Symbols	C-Sets Formula; Location of C-Sets Rules
#B32Ba	B32B 17/10005 ₽	B32B 2319/00 – B32B 2386/00	(B32B 17/10005, B32B 2319/00 – B32B 2386/00), laminated safety glass structure comprising a polymeric intermediate layer sandwiched between interlayers, and the polymeric material of the polymeric intermediate layer; see B32B 17/10005.

The specific C-Sets rule is located at only one place of the base symbol in the section "Special rules of classification" in the definition. If the C-Sets rule is applicable to all groups of a subclass, it is located at the subclass level only. If the same C-Sets rule is applicable to multiple groups or subgroups within the same subclass, the C-Sets rule is placed at the highest group or subgroup of the multiple groups.

C-sets notification in definitions

Special rules of classification

Laminated safety glass comprising at least one layer of inorganic glass, a resin interlayer and an external layer of a synthetic polymeric sheet or film is classified using the appropriate group selected from B32B 17/1009 - B32B 17/1099 together with the B32B 2319/00 - B32B 2386/00 orthogonal Indexing symbol that designates the polymeric material of said external polymer layer as a single symbol.

The presence of resin interlayers, their properties and/or their compositions are further specified in groups B32B 17/1055 - B32B 17/10798.

When B32B 17/10005 is used as a base symbol in C-Sets, it is not allocated as a separate single symbol.

Combination sets (C-Sets):

C-Sets statement: #B32Ba

- In subgroup B32B 17/10005, the polymeric material of an intermediate layer sandwiched between interlayers of a laminated safety glass or glazing is classified in the form of C-Sets.
- In #B32Ba, the base symbol, representing the laminated safety glass structure comprising an interlayer adjacent the glass, is taken from subgroup B32B 17/10005, whereas the subsequent symbol representing the nature of the polymeric material of the intermediate layer sandwiched between interlayers is taken from the groups B32B 2319/00 - B32B 2386/00.
- When the polymeric intermediate layer comprises a mixture of polymeric materials taken from B32B 2319/00 -B32B 2386/00, separate C-Sets are given based on each polymeric material as the subsequent symbol.
- B32B 17/10005 is not allocated as a separate single symbol when it is allocated as a base symbol in a C-Set.

C-Sets syntax rules:

- Each C-Set shall contain exactly two symbols.
- Duplicate symbols are not allowed in these C-Sets.
- The order of symbols in these C-Sets is relevant as it reflects the laminated safety glass structure as the base symbol, followed by the polymeric material forming the intermediate layer as the subsequent symbol.

C-Sets examples:

- #B32Ba: In a safety glass laminate (B32B 17/10005) comprising outer glass panes and a composite interlayer comprising a polycarbonate sheet, the polycarbonate (B32B 2369/00) sandwiched between two polyvinyi butyral (PVB) interlayers is classified as (B32B 17/10005, B32B 2369/00) and the PVB interlayers are classified as B32B 17/10761.
- WB32Ba: In a safety glass (B32B 17/10005) comprising a first outer layer of glass, a second outer layer of rigid polymer and an intermediate film adhering the first outer layer to the second outer layer, wherein the intermediate film has the layer structure: polyurethane/polyacrylaterpolyurethane, the polyacrylate (B32B 2333/06) is classified as (B32B 17/10005, B32B 2333/06) and the polyurethane interlayers are classified as B32B 17/1077.
- WB32Ba: In a glass laminate (see figure below) comprising a thermoplastic top layer 12 of polycarbonate (B32B 336900), a bottom layer 16 formed of tempered glass, and an intermediate layer 14 of polyethylene terepthrialate (PET) (B32B 235700) positioned between the top 12 and bottom 16 layers, wherein the three layers 12, 14, and 16 are bonded together using a polyurethane adhesive 18 and the glass laminate meets safety glass requirements (B32B 17/10005), the PET intermediate layer 14 is classified as (B32B 17/10005, B32B 2367/00), the polyurethane adhesive layers (interlayers) 18 are classified as B32B 17/1077, and the polycarbonate top (outer) layer 12 is classified as B32B 2369/00 as a single symbol.

12	Polycarbonate Outer Layer
18	Polyurethane Interlayer
14	PET Intermediate Layer
18	Polyurethane Interlayer
16	Tempered Glass

Subclass Y10T:

- Technical subjects covered by former USPC cross-reference art collections [XRACs] and Digests and technical subjects from selected USPC
 - § Y10T for miscellaneous hardware, metal working, machine elements, cutting, single crystals, fluid handling etc.

Publication	Date 🗸	Project Number 🗢	NoC # 👻	Scope 🗢
2021.01		RP0462 🗳	1029	F16D, Y10T
2020.08		RP0322 🗳	925	С09К, У10Т
2020.08		RP0423 🗳	892	Y10T
2019.05		RP0420 🗳	659	Y10T

 Y10T subclass is under revision process...

- Deleted some group(s) from Y10T subclass
- Revised some group(s) from Y10T to main subclass(es)

New Y02/Y04S

\leftarrow \rightarrow \vdots \checkmark \blacktriangle \bigcirc CPC \bigcirc $[\dots]$ 2000 $\boxed{2000}$			Y02A »
Classification symbol	Title and description		
Y	GENERAL TAGGING OF NEW TECHNOLOGICAL DEVELOPMENTS; GENERAL TAGGING OF CROSS-SECTIONAL TECHNOLOGIES SPANNING OVER SEVERAL SECTIONS OF THE IPC; TECHNICAL SUBJECTS COVERED BY FORMER USPC CROSS-REFERENCE ART COLLECTIONS [XRACs] AND DIGESTS	S	0
Y02	TECHNOLOGIES OR APPLICATIONS FOR MITIGATION OR ADAPTATION AGAINST CLIMATE CHANGE		0
Y02A	TECHNOLOGIES FOR ADAPTATION TO CLIMATE CHANGE	S	0
Y02B	CLIMATE CHANGE MITIGATION TECHNOLOGIES RELATED TO BUILDINGS, e.g. HOUSING, HOUSE APPLIANCES OR RELATED END-USER APPLICATIONS	S	
Y02C	CAPTURE, STORAGE, SEQUESTRATION OR DISPOSAL OF GREENHOUSE GASES [GHG]	S	
V02D	CLIMATE CHANGE MITIGATION TECHNOLOGIES IN INFORMATION AND COMMUNICATION TECHNOLOGIES [ICT], I.E. INFORMATION AND COMMUNICATION TECHNOLOGIES AIMING AT THE REDUCTION OF THEIR OWN ENERGY USE	S	•
Y02E	REDUCTION OF GREENHOUSE GAS [GHG] EMISSIONS, RELATED TO ENERGY GENERATION, TRANSMISSION OR DISTRIBUTION	S	
Y02P	CLIMATE CHANGE MITIGATION TECHNOLOGIES IN THE PRODUCTION OR PROCESSING OF GOODS	S	0
Y02T	CLIMATE CHANGE MITIGATION TECHNOLOGIES RELATED TO TRANSPORTATION	S	
Y02W	CLIMATE CHANGE MITIGATION TECHNOLOGIES RELATED TO WASTEWATER TREATMENT OR WASTE MANAGEMENT	S	
Y04S	SYSTEMS INTEGRATING TECHNOLOGIES RELATED TO POWER NETWORK OPERATION, COMMUNICATION OR INFORMATION TECHNOLOGIES FOR IMPROVING THE ELECTRICAL POWER GENERATION, TRANSMISSION, DISTRIBUTION, MANAGEMENT OR USAGE, i.e. SMART GRIDS	S	

A short history of Y02/Y04S

Tagging scheme for climate change mitigation technologies (CCMTs). 2010: starting with Y02E ("Clean energy generation")

2018: added Y02A ("Adaptation to climate change")

In summer 2020: CPC revision

. . .

Y02/Y04S classification was "pruned"

Number of entries went down from >1.900 to about 350.

Why pruning?



Pruning example

Solar thermal energy

Y02E10/40	1 Solar thermal energy, e.g. solar towers	
Y02E10/41	2 Tower concentrators	transfer to Y02E10/40
Y02E10/42	2 Dish collectors	transfer to Y02E10/40
Y02E10/43	2 Fresnel lenses	transfer to Y02E10/40
Y02E10/44	2 Heat exchange systems	
Y02E10/45	2 Trough concentrators	transfer to Y02E10/40
Y02E10/46	 Conversion of thermal power into mechanical power, e.g. Rankine, Stirling solar thermal engines 	
Y02E10/465	3 Thermal updraft	transfer to Y02E10/46
Y02E10/47	2 Mountings or tracking	

Where do you find the new scheme?



DocDB EPO worldwide bibliographic data



www.cooperativepatentclassification.org

Home Latest news About CPC Objectives CPC Scheme and Definition CPC Revisions CPC Concordances

At the end of the day

• The Y-tags are **less granular** – still, they are suitable for external users needs.

• Search of the core invention done using CPC & IPC sections A-H

• The new tagging scheme is **easier to maintain**.

• Artificial Intelligence will be used to update the inventories in the future.

Discussion:

• Experiences and questions from the offices on Y02/Y04

CPC Training/Events

Want to know more about CPC classification practice?

- CPC Scheme & Definitions
- CPC General and Advanced training
- See e-learning modules on the cpcinfo.org website (European Patent Academy):
 - Using CPC in classification
 - Practical and strategic aspects of the CPC
- CPC Field-specific training material:
 - Recorded lectures on cpcinfo.org (European Patent Academy)

CPC training modules:



https://www.cooperativepatentclassification.org/Training

CPC training material

Cooperative Patent Classification	F16M11/2028 ••••• (for rolling, La. for greating a londwage second mater) F16M11/2035 •••• (In more three ends	e-lea
Exception Patenti Schutz Sectored Studyo Patent and Statement Billion	F16M11/2035 (in more than one direction)	
	F16M11/2042 •••••{constituted of several dependent jump}	
Hume	F16M11/205 ***** (the axis of rotation intersecting in a angle pointing greater)	2
Latest news		CDC
About CPC Objectives	CPC Training	CPC
CPC Scheme and Defodium		
CPC Revisions	The EPO and the USPTO have jointly properted CPC biasing material to support users in their learning process of the CPC clausification system. Co.	
CPC Concordances	Use the holes below to access the material	
CPC Training	Uspto	e-learning centre s Courses s CP
Events		
Publications	CPC Field Specific barring	0
Print teleases	Following (Im Init you can access the EPO learning platform (registration required, tree of charges many sean consult some CPC Field Specific Training	Information
Links	incorded tectures where CPIC expects or your the classification practice in their	
FAG	tepsective helds of expertise	12
Archive		INTRODUCTION
Contact Us	 Course "Onne CPC in Ministration" 	INTRODUCTION
		This course consists of 24 recorded let
Sheman	 GPC General course. 	presentations contain examples from n
9-32	+ CPC Essentials	
	 Part A., inbiduction in CPC, Casaritata and patient change above systemic 	RECORDED LECT
	< Part B_CPC Scheme	RECORDED LECT
	 Eart.GGPC Scherner, Durfreihons 	
		Here you can access the recorded p presentations cover the rules and cr
	This Script is copyrighted material and remains the intellectual work and property	and examples
	of the European Patent Office (EPO). It is shared true of charge "as is" for the use of transing exclusively, without ensuring that it is free from any errors or on-ssisters.	You can view each video in its entire
	No wastarily of any kind either express or implied is given. Any direct,	
	indirect, special, incidental, punitive, exemplary or consequential damage(s), Issues of data, profits or revenues acturing out of or in connection with the unarise	SECTION A
	inability to use the EPO's Scopt are the bability of the user, even if the EPO is advised of the possibility of much damage(h).	A61N2 Magnetotherapy, A61N5
		SECTION B
	List of beckercal arous, where Construction Sets are avoid.	B01J3-B01J19: Reactors (Philip
	General training on Combination Sets	B25C: Hand-held nailing or stap
	Training material on Combination Sets in the Polymers area	



CPC training - EPO

- In view of Covid-19, CPC field-specific training went virtual ...
- Online training concept developed



- Gradually deployed since September 2020 (CNIPA and INPI Brazil)
- CPC Collective training event (online, October November 2021)

CPC Training - USPTO

- USPTO training In 2019/2020:
 - Examiner(s)/classifier(s) focused workshop / training
 - Training material
 - Previous training held at Israel, Korea, and the USPTO
 - For more information or feedback contact the USPTO classification division (CQIC – classification quality and international coordination division)

Outreach events 2020

- CPC Annual Meeting with offices (Geneva, 18 February 2020)
- CPC Annual Meeting with industry users (online, 25 June 2020)
- **PDG/IMPACT** meeting (online, 22-23 October 2020)
- **PATCOM** meeting (online, 22-23 October 2020)
- **PIUG** Annual Conference (online, 26-30 October 2020)
- Search Matters 2020 (online, 14-16 October 2020)
 Ø CPC and disruptive technologies
- EPOPIC 2020 (online, 3-4 November 2020)
 Ø Discussion Round on cpcinfo.org revamping

2021 outreach events with CPC

CPC Annual meeting with industry users (online, 29 March 2021)

- § IP5 WG1§ IPC/CE
- § PDG/IMPACT
- § Patent Data User Day
- § Patcom
- § ...



§ Specific training needs by offices

Break (10 mins)

Open Floor Discussion

Discussion:

- Would there be any CPC products that you would need?
- Are there any specific issues you are encountering with the implementation of the CPC?
- Any important updates (for example new documents (re-)classified, new CPC tools launched, etc...) in the implementation of the CPC at your office you would like to share with the group?
- Input(s) for cpcinfo.org revamping.

Cooperative Patent Classification

European Patent Office United States Patent and Trademark Office





Thank you for your attention!

More info?

www.cpcinfo.org

cpc@uspto.gov

cpc@epo.org