



## Cooperative Patent Classification (CPC)

### **Combination Sets**





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#### What are Combination Sets?

Groups of "Linked symbols" – each C-set identifies the presence of technical features taken "in combination" or "together"

- All classification symbols used must be valid symbols
- Relate to a special classification / search technique used in a limited number of technical fields
- Use of Combination Sets in a field should be clearly identified in the Notes in the Scheme and/or from relevant Definitions
- Available in Espacenet / DocDB, searchable in EPODOC, making use of the dedicated field /CLC



#### **Major areas:**

#### Preparation of organic compounds (C07C)

- single symbols: compound 1, compound 2, process 1, process 2
- by using a set of linked symbols, one can indicate that compound 1 is made by process 1, compound 2 is made by process 2 and so on.

#### Compositions / Mixtures

- every constituent of the mixture is part of a set of linked information
- a constituent of the mixture also receives a separate classification symbol e.g. CCI when it is special or of importance or described in detail.
  - Pesticides (A01N)
  - Polymer mixtures (C08L)
    - the set indicates the major polymeric component and minor amounts of polymeric and non-polymeric constituents
  - Cements (C04B)



#### Acyclic and carbocyclic compounds (C07C)

Combination sets in this area consist of a **process group** (base symbol), followed by and linked to the **group of the product**.



#### **Combination Sets — example 1**

Preparation of lactic acid by oxidation

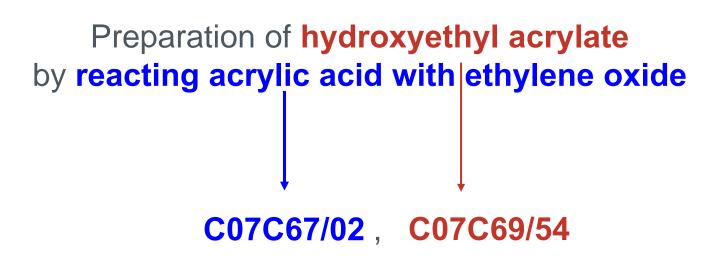
C07C 51/16 C07C 59/08

C07C 51/16, C07C 59/08

/CLC C07C 51/16 L C07C59/08 /CLC C07C 51/16 S C07C59/08



#### **Combination Sets — example 2**



/CLC C07C67/02 L C07C69/54

/CLC C07C67/02 S C07C69/54



#### Combination Sets Record — example 3

- GB2111984
  - 1. A method for producing phloroglucin which comprises decomposition of 1,3,5- triisopropyl benzene tri-hydro peroxide (hereinafter referred to as THPO), in the presence of an acid catalyst [...].
- CCI C07C37/08
  - CLC C07C37/08, C07C39/10, INV
- Search in EPODOC:
- /CCI C07C37/08 -> 445 families
  - /CLC C07C37/08 s C07C39/10 -> 4 families



#### Advantage of combination sets in C07C

- Indication of process products does not "flood" groups meant for novel compounds (different database fields)
  - increased search efficiency for novel compounds
- Retain link between a process and its product
  - increased precision when a document contains two or more different processes, leading to two or more different products
  - no extra costs (process and product have to be identified anyway)



#### **Display in EPODOC**

```
[EPODOC: SS 1] gb2111984/pn
Results in EPODOC 1
[SS 2] ..li
1/1 © EPODOC / EPO
PΝ
     - GB2111984 A 19830713
PNFP - GB2111984 B 19851002
PR - JP19810193939 19811201
AP
     - GB19820032916 19821118
DT
    _ *
CCI - C07C37/08
CLC - <u>C07C37/08</u>, <u>C07C39/10</u>, INV
EC - C07C37/08
ECL - C07C37/08, C07C39/10
PA
     - (A B)
      SUMITOMO CHEMICAL CO
ΤI
     - (AB)
       METHOD FOR PRODUCING PHLOROGLUCIN
```

Mapping to EC/ECL to be discontinued from 1 Nov 2014 !!!



### **Display in Espacenet**

#### Bibliographic data: GB2111984 (A) — 1983-07-13

★ In my patents list	≯ EP Regis	ter <b>≣!</b>	Report data error		<b>₽</b> Print
METHOD FOR PI	RODUCING P	HLORO	GLUCIN		
Page bookmark	GB2111984 (A)	- METH	OD FOR PRODUCIN	G PHLOROGLUCIN	
Inventor(s):	CHIYODA TSUTOMU; NAKAMURA MAKOTO; HASEGAWA SHINICHI <u>+</u>				
Applicant(s):	SUMITOMO CHEMICAL CO ±				
Classification:	- international:		0 <b>0; B01J27/04; B01</b> C07C39/10	/27/06; C07C27/00; C07C37/08	; C07C37/50; C07C39/10; C07C67/00,
	- cooperative:	C07C37	<u>08</u> → <u>more</u>		
Application number:	GB 198200329	16 198211	18		
Priority number(s):	<u>JP19810193939 19811201</u>				
Also published as:	→ GB2111984 (B) D US4463199 (A) D NL8204640 (A) D JPS5896034 (A) → JPH0380785 (B2)				

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#### Bibliographic data: GB2111984 (A) — 1983-07-13

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METHOD FOR PRODUCING PHI OROGI LICIN						

Page bookmark	GB2111984 (A) - METHOD FOR PRODUCING PHLOROGLUCIN					
Inventor(s):	CHIYODA TSUTOMU; NAKAMURA MAKOTO; HASEGAWA SHINICHI <u>+</u>					
Applicant(s):	SUMITOMO CHEMICAL CO ±					
Classification:	- international:	nternational: <i>B01J27/00; B01J27/04; B01J27/06; C07C27/00; C07C37/08; C07C37/50; C07C39/10; C07C67/00;</i> (IPC1-7): C07C39/10				
	- cooperative:	default <u>C07C37/08</u>				
		C-sets <u>C07C37/08</u> , <u>C07C39/10</u>	→ <u>less</u>			
Application number:	GB 198200329	6 19821118				
Priority number(s):	<u>JP19810193939 19811201</u>					
Also published as:	→ GB2111984 (B) D US4463199 (A) D NL8204640 (A) D JPS5896034 (A) → JPH0380785 (B2)					



#### **Combination Sets in Pesticides – Example 4**

- PN US2010173121 A1 20100708
- TI Method and Treatment Composition for Imparting Durable Antimicrobial Properties to Carpet
- AB A treatment compositions for imparting durable antimicrobial properties to a carpet comprising a <u>fluoropolymer</u> and an antimicrobial compound selected from <u>zinc pyrithione</u> and/or a <u>silver-containing</u> antimicrobial compound and a <u>foamer</u>.
  - CCI A01N25/30; D06M11/79; D06M11/83; D06M13/47; D06M15/277; D06M15/295; D06M15/33; D06M15/3335; D06M15/576; D06M15/657; D06M15/71; D06M16/00; D06M23/04
  - CLC A01N25/30, A01N25/10, A01N43/40, A01N59/16, INV
    - A01N25/30, A01N2300/00, INV

A01N2300/00 indicates that this is a mixture

A01N2300/00

Combinations or mixtures of active ingredients covered by classes <u>A01N27/00</u>to <u>A01N65/48</u> with other active or formulation relevant ingredients, e.g. specific carrier materials or surfactants, covered by classes <u>A01N25/00</u>to <u>A01N65/48</u>



#### **Combination Sets in Polymer Chemistry**

- C08L: Compositions of Macromolecular Compounds
- C08K: Additives as Compounding Ingredients
- C08F/C08G: Polymers formed from C=C-bonds



### Compositions of Macromolecular Compounds (C08L)

A combination set for a polymeric blend uses a **base symbol** for the **main polymeric component**, and **additional symbols** for the **other polymeric components and additives**.

#### **Example 5:**

A composition of 90 wt% polypropylene and 5 wt% polyamide and 5 wt% carbon black:

CLC - C08L23/10, C08L77/00, C08K3/04



In addition, further groups may be used in combination with the C-sets in order to characterize **features of the composition**. Such groups are classified and searched in the field **/CCA** outside the C-set.

#### **C08L2205/00** Polymer mixtures characterised by other features

C08L2205/02	<ul> <li>containing two or more polymers of the same C08L-group</li> </ul>
C08L2205/03	containing three or more polymers in a blend
C08L2205/04	containing interpenetrating networks
C08L2205/08	<ul> <li>containing additives to improve the compatibility between two polymers</li> </ul>
C08L2205/12	<ul> <li>containing additives being liquid crystalline or anisotropic in the melt</li> </ul>
C08L2205/14	containing polymeric additives characterised by shape
C08L2205/24	Crystallisation aids



#### **Combination Sets in Cements (C04B)**

- PN US2008178771 A1 20080731
- TI Fiber reinforced cement composition and products and manufacturing process
- AB A fiber reinforced cement composition comprising a hydraulic inorganic material (<a href="Portland cement">Portland cement</a>), a siliceous material (<a href="pearl stone">pearl stone</a> and/or <a href="fly ash">fly ash</a>), a woody reinforcement (<a href="mailto:used paper">used paper</a>) and a finely dividing fiber reinforced cement product which is produced by said raw materials and <a href="mailto:cured in an autoclave">cured in an autoclave</a>. It additionally comprises <a href="mailto:expanded perlite">expanded perlite</a> or <a href="mailto:mica">mica</a> and a <a href="mailto:water-soluble resin">water-soluble resin</a>
- CCI C04B28/04
- CLC C04B28/04, C04B14/185, C04B14/22, C04B18/08, C04B18/241, C04B40/024, C04B2103/0053, INV
  - C04B28/04, C04B14/20, C04B14/22, C04B18/08, C04B18/241, C04B40/024, C04B2103/0053, INV



#### **C-sets in cements** indicate:

- individual components of a mixture in combination
- alternative compositions
- the role played by a specific component
- additional features / properties / uses



#### **C-set structure**

- The first symbol in a C-set is called the base symbol
- Base symbol can be Invention (CCI) or Additional (CCA) information
- Base symbol determines whether the combination set as a whole is to be considered as "invention information set" or as "additional information set"
- Base symbol determines the "authorisation" rights for the allocation/deletion
- Every combination set is a separate paragraph indicated by a –
- Up to 99 symbols are allowed in each paragraph
- Up to 99 paragraphs per document



#### **Combination sets in EPOQUE**

/CLC CPC Linked symbols, Confirmed

/CLU CPC Linked symbols, Unreviewed

/CLQ CPC Linked symbols, Raise-Hand by EPO

• /CLC /CLQ /CLU



#### **Database indexing — short forms**

/CLC C04B41/52 L C04B41/4523 L C04B41/4884

is equivalent to

```
/CLC C04B41/52 L "/4523" L "/4884" 
/CLC C04B41/52 L 41/4523 L 41/4884 
/CLC C04B41/52 L B41/4523 L B41/4884
```

- While searching, it is allowed to omit the part of further symbols which is also found in the first or "base" symbol
  - main group (everything before the slash), e.g. C04B41
  - sub-class (first four characters), e.g. C04B
  - class (first three characters), e.g. C04



#### **/LOW**

#### Only with **long form!**

Long form: /CL C07C67/08 L C07C69/54

Short form: /CL C07C67/08 L 69/54

**/LOW** qualifier includes subgroups

/CL C07C67/08 L **C07C69/52/LOW** 



/CL **C07C29/15/LOW** s 31/04



<del>/CL C07C31/15 s 31/02/LOW</del>

No! Full symbol needed!

(here, same as C07C31/15 s 31/02)



#### **Searching in a C-set**

- available co-occurrence operators: **L**, **P**, **S** gives the possibility to search the linked symbols within a set
- Using the % after a symbol means that this symbol must be the base symbol

#### Example

/CLC C04B28/14 s C04B14/301 s C04B14/42

/CLC C04B16/08% s C04B20/1066



#### Occurrence and position of linked symbols

- Contrary to "single" symbols, it is allowed to have duplicate symbols in a set of linked symbols
- Multiple (>1) occurrence of symbols is marked by %n (with n>1)
- Only combination sets with the same set of symbols in the same order are considered to be full duplicates, a change of order makes it a different combination set
- Position of a symbol in a set can be searched by .m (with m=position)
- Each combination set starts with a hyphen '-' in the CLC field of EPODOC



#### **Example**

- CLC C04B28/32, C04B14/02, C04B22/0013, C04B22/068, C04B22/085, C04B22/085%2, C04B22/12, C04B22/12%2, C04B22/14, C04B22/14%2, C04B22/14%3, C04B22/14%4, C04B22/143
- (First) base symbol is indexed C04B28/32%
- Searching Occurrence
  - /CLC C04B22/14%3
    - searches 3 occurrences of C04B22/14
- Searching Position
  - /CLC C04B22/0013.3
    - searches C04B22/0013 at position 3



#### Conclusion

#### **Combination Sets:**

- are a powerful classification and search technique
- reduce "noise" in search
- are easy to apply in most areas
- do not require more time to apply than single symbols, except in special cases (e.g. cements)



# Thank you for your attention!

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