



7th EPO-USPTO CPC annual meeting with national offices

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Dimple Sopariwala – Richard Lee (USPTO)

18 February 2020

F16M11/2042 •••• {constituted of several dependent joints}

F16M11/205 ••••• { the axis of rotation intersecting in a single point e.g. gintuits}

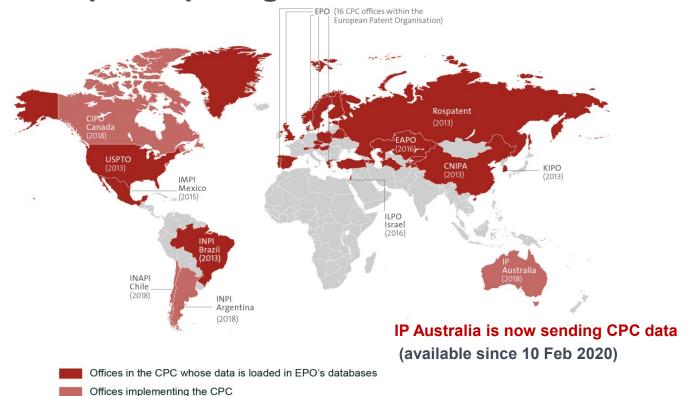
Agenda:

- CPC and National offices
- CPC coverage
- CPC updates
- CPC Collaborative Environment (CE)
- CPC International
 - Impact on DocDB XML users
- CPC updates at the USPTO

The Cooperative Patent Classification

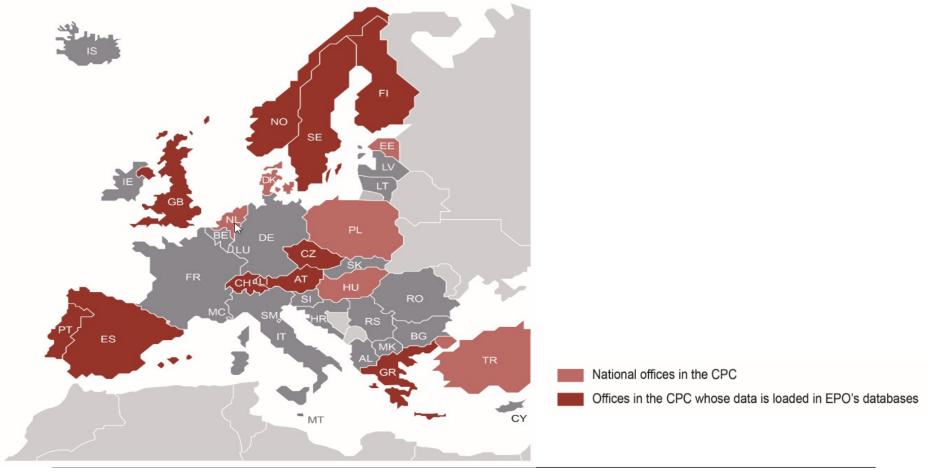
From a bilateral initiative to a global international classification system

29 Offices participating in the CPC



Source: European Patent Office

... including 16 EPO Member States

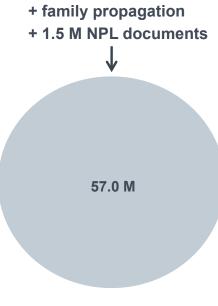


CPC coverage

Much more than EP & US documents

CPC coverage **EPO** core collection

Country	Country Code	Total Number of Bibliographic Data Records (source: EPODOC on 05/02/2020)	Number of Bibliographic Data Records classified in CPC	% of Bibliographic Data Records classified in CPC
EPO	EP	3.592.456	3.590.219	99,9%
United States	US*	12.834.263	12.822.785	99,9%
Austria	AT	1.008.631	723.590	71,7%
Belgium	BE	589.019	555.383	94,3%
Switzerland	СН	719.173	580.443	80,7%
Germany	DE	5.756.720	4.956.846	86,1%
France	FR	2.460.929	2.440.721	99,2%
United Kingdom	GB	2.409.191	2.153.523	89,4%
Luxembourg	LU	63.216	32.192	50,9%
The Netherlands	NL	551.987	539.484	97,7%
ARIPO	AP	4.073	3.992	98,0%
Australia	AU	1.498.948	1.203.520	80,3%
Canada	CA	2.497.260	1.385.029	55,5%
OAPI	OA	13.432	13.207	98,3%
WIPO	wo	3.713.592	3.703.858	99,7%
	*US A and B Publications	•		
	TOTAL	<u>37.712.890</u>	<u>34.704.792</u>	



57 M documents classified in the CPC

Publications with allocations from national offices

Country	Country Code	Total Number of Bibliographic Data Records (source: EPODOC on 05/02/2020)	Overall Number of publications classified in CPC (Family level)	Number of publications classified by the national office
Australia (14 Feb 2020)	AU	1.500.883	4.372	3.775 (1.966 WO and 1.809 AU)
Austria	AT	1.008.631	723.590	11.530
Brazil	BR	740.900	508.861	25.536
China	CN	20.632.269	6.903.470	4.420.981
Czech Republic	CZ	95.434	45.135	1.099
EAPO	EA	54.606	49.101	3.569
Finland	FI	197.351	119.187	9.862
Greece	GR	101.097	54.600	7.025
Israel	IL	109.538	95.471	1.218
Korea	KR	4.153.209	2.748.463	1.774.957
Mexico	MX	317.703	290.397	1.567
Norway	NO	208.845	182.975	9.512
Portugal	PT	131.318	120.947	575
Russian Fed.	RU	1.088.882	353.414	131.475
Spain	ES	1.412.323	735.927	38.190
Sweden	SE	523.374	336.137	145.431
Switzerland	СН	719.173	580.443	3.544
United Kingdom	GB	2.409.191	2.153.523	159.961
	TOTAL	<u>33.903.844</u>	<u>16.001.641</u>	<u>6.746.032</u>

2020 events where CPC will be presented

- **7th CPC Annual meeting with National Offices,** 18 February 2020, (WIPO) Geneva, Switzerland
- CPC Annual meeting with Industry, 20 March 2020, EPO Vienna

Patent Information Users Group (PIUG) 2020 annual conference,
 26-30 April 2020, Orlando, Florida, United States

CPC Update

CPC Release Schedule

- Four releases per year
- Announced under "Latest News" section on www.cpcinfo.org

Latest news Objectives CPC Scheme and Definitions **CPC Revisions CPC Concordances CPC Training** Events Publications Press releases Links Archive Contact Us Sitemap

Cooperative

Classification

Patent



Four CPC releases in 2020:

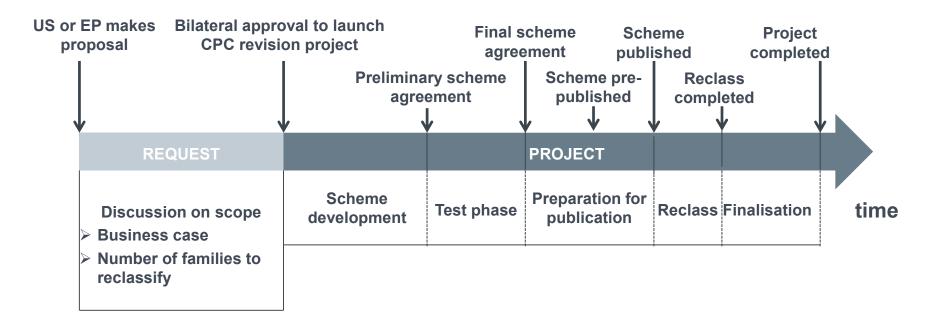
CPC 2020.01 - 1 January 2020

- 1 February 2020 CPC 2020.02

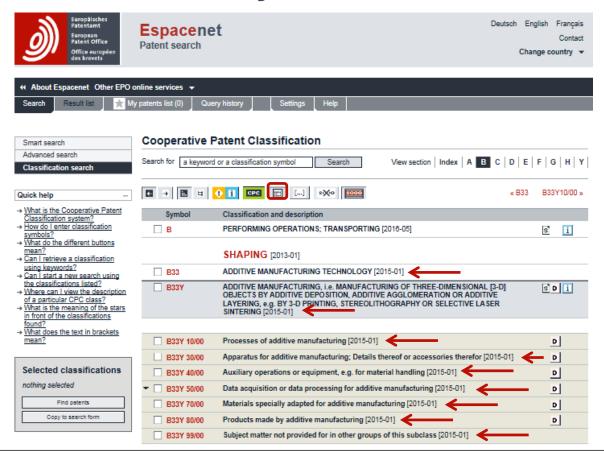
CPC 2020.05 - 1 May 2020

 1 August 2020 CPC 2020.08

The CPC revision process

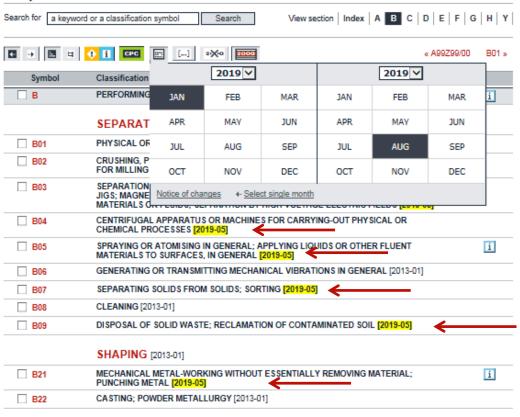


How can I see that a symbol has been revised?



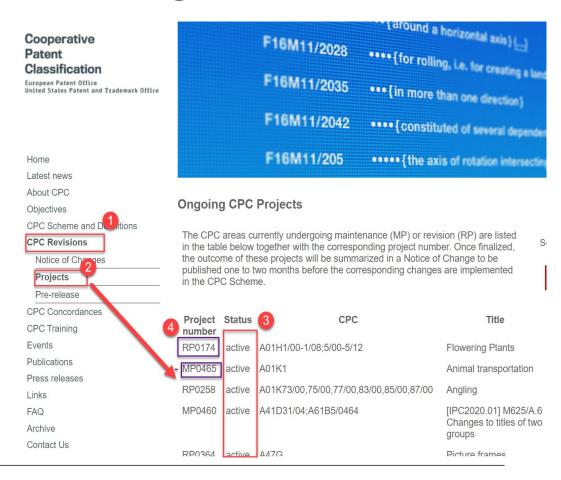
... within a specific time frame?

Cooperative Patent Classification



In which areas are projects running?

- For the public, the list of active projects can be retrieved from cpcinfo.org
- Offices interested in following CPC revisions are encouraged to make the necessary SAML (single sign-on) developments to access the CEF.



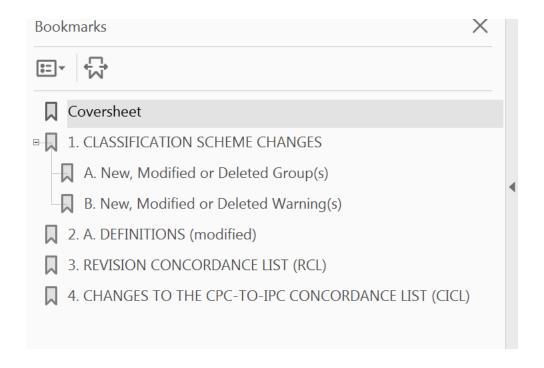
How can I look at the details of the changes?

Contained in the CPC Notices of Changes (NoCs)

- PDF/XML documents containing all the details of the changes
- Available one month prior to the entry into force of a new version of the CPC Scheme



NoC for RP0151 (H01L) – structure – cover sheet



EUROPEAN PATENT OFFICE U.S. PATENT AND TRADEMARK OFFICE

CPC NOTICE OF CHANGES 704

DATE: AUGUST 1, 2019

PROJECT RP0151

The following classification changes will be effected by this Notice of Changes:

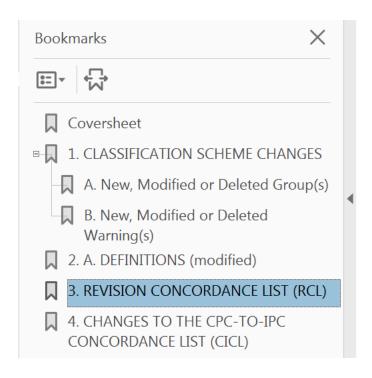
Action	Subclass	Group(s)
SCHEME:		
Symbols Deleted:	H01L	21/28273, 21/28282, 21/28291
Symbols New:	H01L	29/4011, 29/40111, 29/40114, 29/40117
Titles Changed:	H01L	21/04, 21/28
Warnings New:	H01L	29/401, 29/4011, 29/40111, 29/40114, 29/40117
DEFINITIONS:		
Definitions Modified:	H01L	21/04, 21/28

No other subclasses/groups are impacted by this Notice of Changes.

This Notice of Changes includes the following [Check the ones included].

- 1. CLASSIFICATION SCHEME CHANGES
 - A. New, Modified or Deleted Group(s)
 - B. New, Modified or Deleted Warning(s)
 - C. New, Modified or Deleted Note(s)
- D. New, Modified or Deleted Guidance Heading(s)
- 2. DEFINITIONS
 - A. New or Modified Definitions (Full definition template)
 - B. Modified or Deleted Definitions (Definitions Quick Fix)
- 3. X REVISION CONCORDANCE LIST (RCL)
- 4. CHANGES TO THE CPC-TO-IPC CONCORDANCE LIST (CICL)

NoC for RP0151 – Revision Concordance List (RCL)



CPC NOTICE OF CHANGES 704

DATE: AUGUST 1, 2019

PROJECT RP0151

REVISION CONCORDANCE LIST (RCL)

Type*	From CPC Symbol (existing)	To CPC Symbol(s)
D	H01L21/28273	<administrative to<br="" transfer="">H01L29/40114></administrative>
D	H01L21/28282	<administrative to<br="" transfer="">H01L29/40117></administrative>
D	H01L21/28291	<administrative to<br="" transfer="">H01L29/40111></administrative>
С	H01L29/401	H01L29/401, H01L29/4011, H01L29/40111, H01L29/40114, H01L29/40117

^{*} C = entries with modified file scope where reclassification of documents from the entries is involved; Q = nev are firstly populated with documents via administrative transfers from deleted (D) entries. Afterwards, the transinto the O entry will either stay or be moved to more appropriate entries, as determined by intellectual reclassifi

Past NoCs are searchable!

Cooperative Patent Classification

European Patent Office United States Patent and Trademark Office

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Notice of Changes

Searchable NoC Archive

CPC 2019.02:

- · CPC Notice of Changes 394-MP0314 (various)
- · CPC Notice of Changes 525-MP0393 (G06F)
- · CPC Notice of Changes 638-RP0586 (F02M)
- CPC Notice of Changes 639-RP0542 (various)
- · CPC Notice of Changes 640-RP0571 (B65D)
- CPC Notice of Changes 641-RP0578 (various)

Searchable NoC archive

Latest Publication: 2019.02

Publication Date *	Project Number \$	NoC# ₩	Sc	ope \$				
2019.02	RP0126-F 🔼	653	В6	B64C				
2019.02	RP0568 🔼	652	B2	B2ac Ruin Raak				
2019.02	RP0572 🗷	651	F2	Latest Publication				
2019.02	RP0565 🖪	650	В6	Publication Date \$ 2018.02	Project Number RP0485 △	NoC # 472	Scope A A01B, A01D, A01G, C05F, C12N, E01	
2019.02	RP0569 🖪	649	C1	2015.01	RP0119 🖺	59	A01G	
2019.02	MP0414 🖾	648	C1	2016.05	MP0189 🖪	200	A01G, A01K, B65F	
2019.02	RP0573 🖾	647	GC	2019.02 2018.05	RP0578 🔁	641	A01G, C12N	
2019.02	RP0557 🖾	646		2018.05	RP0484 ⚠ MP0353 ☒	501	A01H A01K	
				2018.02	DP0187 🖪	457	A01K	
2019.02	RP0566 🗷	645	A4	2017.01	RP0414 🚨	352	A01K	
				2015.05	RP0020 🗷	79	A01K	
				2018.05	MP0354 🗷	509	A01M	
				2018.01	MP0397 🗷	468	A21D	
				2017.01	RP0412 🗗	355	A21D	
				2016 11	MP0156 🖾	286	A21D	

CPC Notices of Changes (NoC) publications:

2019 NOC PUBLICATION	RP	DP	МР	TOTAL
JANUARY	41	8	32	81
FEBRUARY	15	0	3	18
MAY	36	4	10	50
AUGUST	15	3	14	32
2020 NOC PUBLICATION	RP	DP	МР	TOTAL
JANUARY	54	12	5	71
FEBRUARY	9	2	1	12

3

13

70

54

MAY (in process)

AUGUST

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CPC Revisions

In this area, information regarding changes made to the CPC scheme will be published in the form of "Notice of Changes" (formerly know as CPC Classification orders).



Information will also be provided about ongoing CPC Scheme revision projects.

Under the navigation title "Pre-release", as of May 2014, material such as the scheme, notices of changes, concordances, will be made available to the public about one month ahead of official entry into force of the corresponding material.





Projects completed in 2019-2020:

	Count of Project	
Row Labels	Туре	
2019.01		81
DP		8
MP		32
RP		41
2019.02		18
MP		3
RP		15
2019.05		50
DP		4
MP		10
RP		36
2019.08		32
DP		3
MP		14
RP		15
2020.01		71
DP		12
MP		5
RP		54
2020.02		12
DP		1
MP		2
RP		9
Grand Total	2	264

Additional files available after the list of NoCs

F16M11/205 Home •••• { the ax Latest news About CPC **Notice of Changes** Objectives CPC Scheme and Definitions Searchable NoC Archive **CPC Revisions Notice of Changes** Projects CPC 2019.08: Pre-release · CPC Notice of Changes 704-RP0151 (H01L) **CPC Concordances** CPC Notice of Changes 705-MP0413 (H04N) **CPC Training** CPC Notice of Changes 706-RP0345 (C12Q) OF A MOREO OF CHANGES FOR THE OZOO (OUTD) CPC Notice of Changes 732-MP0441 (various) CPC Notice of Changes 733-MP0445 (various) CPC Notice of Changes 734-MP0446 (various) · CPC Notice of Changes 735-MP0303 (G06F) Notice of Editorial Corrections August 2019 Notice of Change of XML Artefacts August 2019 CPC Compilation of Changes August 2019

EUROPEAN PATENT OFFICE U.S. PATENT AND TRADEMARK OFFICE NOTICE OF EDITORIAL CORRECTIONS PUBLICATION DATE: August 1, 2019

Summary of Editorial Corrections

The following corrections have been made to errors found late in the processing of CPC projects issued in [add publication dates here]. Additional minor corrections to the scheme and definitions not associated with CPC projects are also included.

CORRECTIONS FROM 2019.05 PROJECTS:

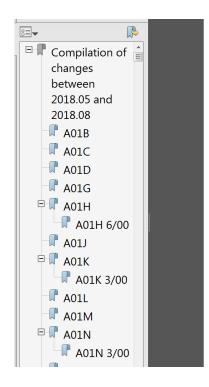
RP0129 Definitio	n Corrections					
Location	Correction					
A61M35/00	DELETE: the following table rows from the Limiting References section:					
	Bathing devices, in general Baths for specific parts of the body, in general Apparatus for applying media using vibrations Apparatus for iontophoresis	A61H33/00 A61H35/00 A61M37/0092 A61N1/30				
	INSERT: the following table rows into the Informative Buthing devices, in general Buths for specific parts of the body, in general Apparatus for applying media using vibrations Apparatus for iontophoresis	References section: A61H33/00 A61H35/00 A61H37/0092 A61N1/30				

Name	Type
Documentation	File folder
RP0144-cicl.xml	XML Document
RP0144-rcl.xml	XML Document
RP0151-cicl.xml	XML Document
RP0151-rcl.xml	XML Document
RP0211-cicl.xml	XML Document
RP0211-rcl.xml	XML Document
RP0238-cicl.xml	XML Document
RP0238-rcl.xml	XML Document

CPC 2019.05:

CPC Notice of Changes 654_RP0100 (G11R)

CPC compilation of changes (1/2)



2018.08

Compilation of changes to the CPC Scheme between 2018.05 and 2018.08

Presentation details

Entries for new symbols and headings
Entries for existing symbols and headings

- -text insertions
- -text deletions

Entries for deleted symbols and headings

Black text in italics

Green text in italics with yellow background Red strikethrough text with grey background Black strikethrough text

- In cases where the originating project could not be found, "N/A" is given for the Project information (e.g. the change could be due to an Editorial Correction).
- Projects ending in "-F" indicate finalisation after reclassification was completed.

Project: N/A (A01B)

A01B

SOIL WORKING IN AGRICULTURE OR FORESTRY; PARTS, DETAILS, OR ACCESSORIES OF AGRICULTURAL MACHINES OR IMPLEMENTS, IN GENERAL (making or covering furrows or holes for sowing, planting, or manuring A01C 5/00; soil working for engineering purposes E01, E02, E21;

CPC compilation of changes (2/2)

Project: RP0290 (F16B)

C F16B 17/00

Connecting constructional elements or machine parts by a part of or on one member entering a hole in the other {and involving plastic deformation} (construction of pins, bolts or rivets F16B 19/00; riveting F16B 19/04; means for preventing withdrawal of a pin, spigot or the like from its operative position, stud-and-socket releasable fastenings F16B 21/00)

WARNING

Group <u>F16B 17/00</u> is impacted by reclassification into groups <u>F16B 9/02</u>, F16B 9/05 - F16B 9/09.

All groups listed in this Warning should be considered in order to perform a complete search.

D F16B 17/002

<administratively transferred to <u>F16B 17/00</u>>
 {Non-releasable connections, i.e. by means of plastic deformation}

M F16B 17/004

• {of rods or tubes mutually}

E F16B 17/006

• • {of rods or tubes to sheets or plates}

M F16B 17/008

{of sheets or plates mutually} (joining sheets by riveting without the use of separate rivets F16B 5/045)}

CPC revisions – pre-release area

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Pre-release

In this area of the website, CPC related material such as scheme files, notices of changes, concordances, etc, will be published **about one month before official entry into force** of this material.

The publication of the pre-released material started on 6 May 2014 concerning the June 2014 CPC scheme version (2014-06).

The pre-release will normally happen on the first Tuesday of a given month (for example Tuesday 6 May 2014) for entry into force on the first day of the following month (for example 1 June 2014).

2 July 2019: 2019.08 pre-released material:

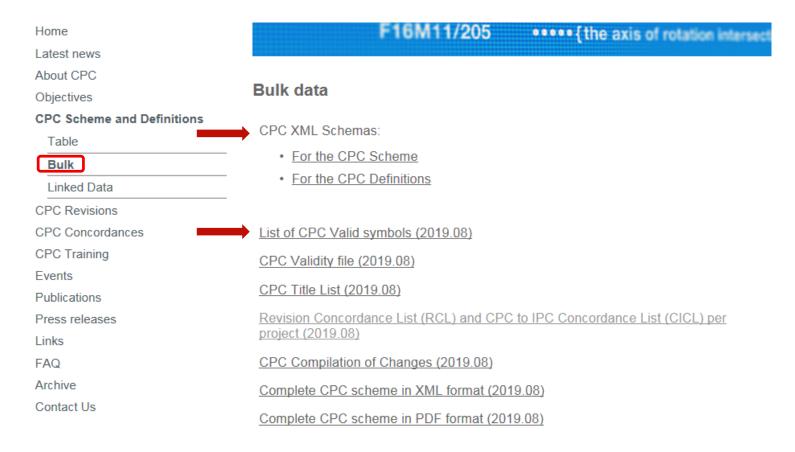
- 2019.08 CPC Scheme in PDF and in XML
- 2019.08 CPC to IPC concordance in PDF, XML and TXT
- Notices of Changes related to the "2019.08 CPC Scheme":
- <u>CPC Notice of Changes 704-RP0151 (H01L)</u>
- CPC Notice of Changes 705-MP0413 (H04N)







CPC revisions – bulk data



CPC revisions – bulk data - list of valid CPC symbols (2019.08)

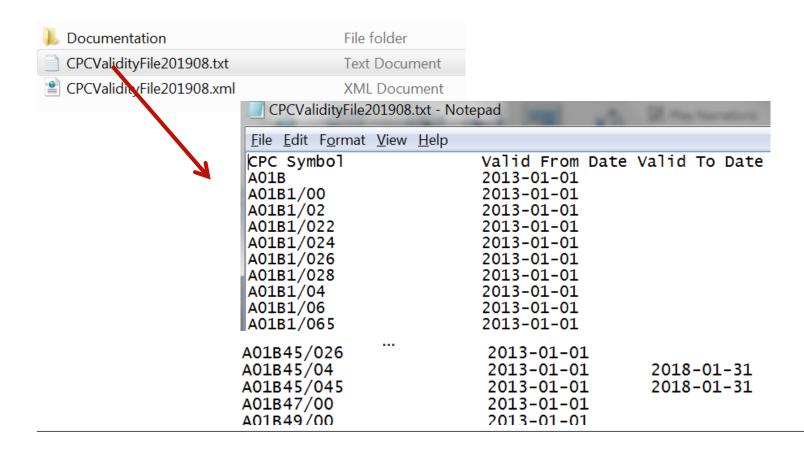
.csv → .xls

SYMBOL	Level	Breakdown-code	Not-allocatable	Additional-only	Sort-key	Status
Α	2	FALSE	TRUE	FALSE	Α	published
A01	4	FALSE	TRUE	FALSE	A01	published
A01B	5	FALSE	TRUE	FALSE	A01B	published
A01B 1/00	7	FALSE	FALSE	FALSE	A01B 1/00	published
A01B 1/02	8	FALSE	FALSE	FALSE	A01B 1/02	published
A01B 1/022	9	FALSE	FALSE	FALSE	A01B 1/022	published
A01B 1/024	9	FALSE	FALSE	FALSE	A01B 1/024	published
A01B 1/026	9	FALSE	FALSE	FALSE	A01B 1/026	published
A01B 1/028	9	FALSE	FALSE	FALSE	A01B 1/028	published
A01B 1/04	9	FALSE	FALSE	FALSE	A01B 1/04	published
A01B 1/06	8	FALSE	FALSE	FALSE	A01B 1/06	published
A01B 1/065	9	FALSE	FALSE	FALSE	A01B 1/065	published

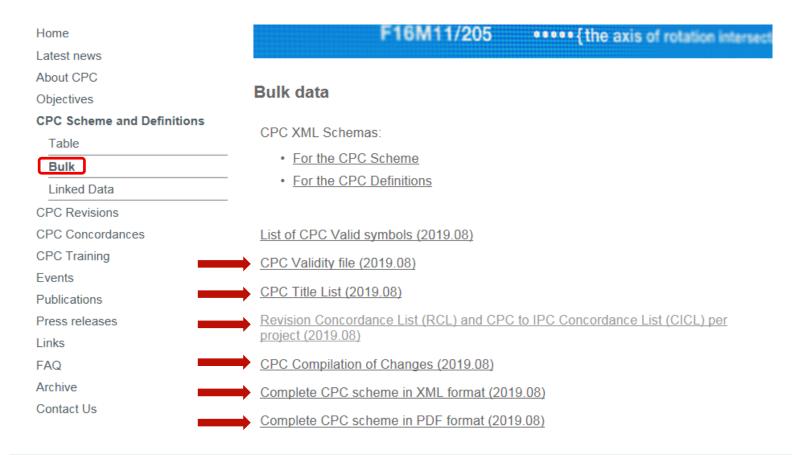
CPC revisions – bulk data

F16M11/205 •••••{ the axis of rotation intersec Home Latest news About CPC **Bulk data** Objectives **CPC Scheme and Definitions** CPC XML Schemas: Table · For the CPC Scheme Bulk · For the CPC Definitions Linked Data **CPC Revisions** List of CPC Valid symbols (2019.08) **CPC Concordances CPC Training** CPC Validity file (2019.08) Events CPC Title List (2019.08) **Publications** Press releases Revision Concordance List (RCL) and CPC to IPC Concordance List (CICL) per project (2019.08) Links CPC Compilation of Changes (2019.08) FAQ Archive Complete CPC scheme in XML format (2019.08) Contact Us Complete CPC scheme in PDF format (2019.08)

CPC revisions – bulk data - CPC validity file



CPC revisions – bulk data



CPC revisions – bulk data

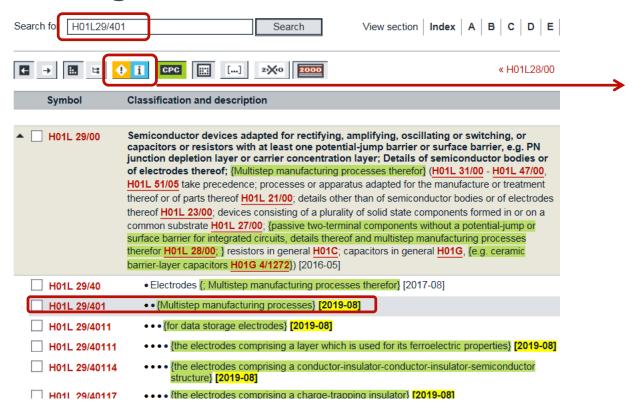
CPC Definitions in PDF format (Last updated 1 August 2019) Home For the A section: <u>A01B-A45F</u>; <u>A46B-A63K</u> Latest news For the B section: B01B-B29B; B29C-B65C; B65D-B82Y About CPC Objectives For the C section **CPC Scheme and Definitions** · For the D section Table For the E section Bulk For the F section Linked Data For the G section **CPC Revisions** For the H section CPC Concordances CPC Definitions in XML format (Last updated 1 August 2019). **CPC Training** Events For the A section: A01B-A45F; A46B-A63K **Publications** For the B section: B01B-B29B; B29C-B65C; B65D-B82Y Press releases For the C section Links For the D section FAQ For the E section Archive For the F section Contact Us · For the G section · For the H section

How do I know which collections to search during reclassification?

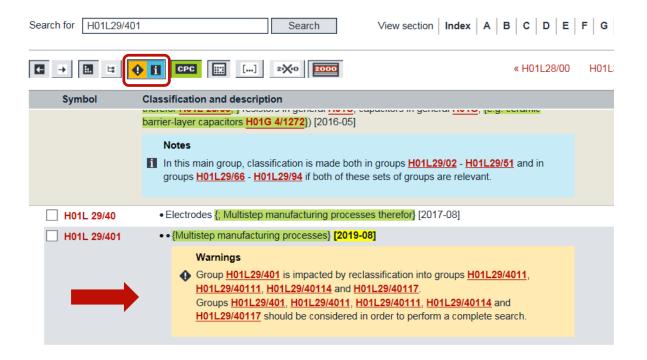
NoC of RP0151 (H01L):

			(HUIL ZI/ZŏZÿI takes precedence)}	
D	H01L21/28282	5	{comprising a charge trapping insulator}	<administrative to<br="" transfer="">H01L29/40117></administrative>
D	H01L21/28291	5	{comprising a layer which is used for its ferroelectric properties}	<administrative 40111="" h01l29="" to="" transfer=""></administrative>
С	H01L29/401	2	{Multistep manufacturing processes}	H01L29/401, H01L29/4011, H01L29/40111, H01L29/40114, H01L29/40117
N	H01L29/4011	3	{for data storage electrodes}	
N	H01L29/40111	4	{the electrodes comprising a layer which	

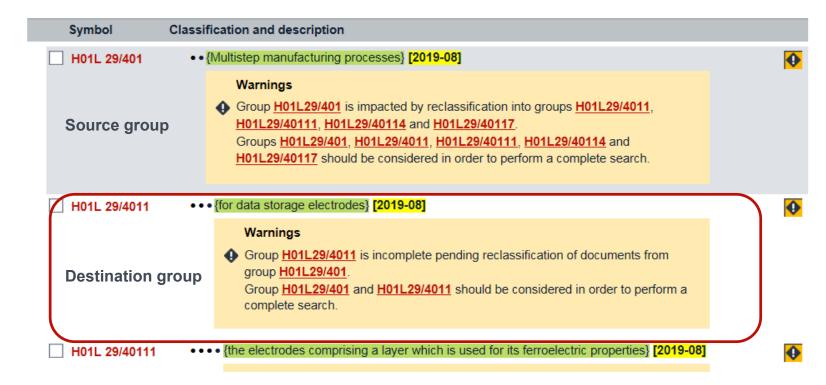
Finding revision information ...



... the importance of Warnings!



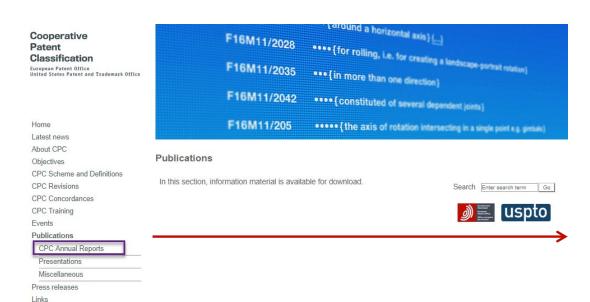
... also in the destination groups

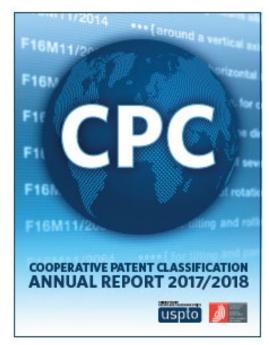


When do I know that reclassification is completed?

- When reclassification is completed ...
 - the old, empty symbols are deleted
 - All the corresponding warnings (source and destination) are deleted
- No warning means that the inventories of a symbol are complete

CPC Annual Report 2017/2018





http://www.cooperativepatentclassification.org/publications/AnnualReports

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Combination Sets (C-Sets)

- The list of authorized areas for classification with C-Sets has been revised in February 2020 with additional classification practice information
- An extra table has been added showing CPC ranges in the polymers' area where C-Sets are not used for font file classification by can still be used for search.
- **Projects to harmonize detailed definitions** for the use of C-Sets in the area of polymers such as C08F, C08G, C08K, C08L, C09D, C09J have been completed.
- Projects to clean wrong information on C-Sets in the nonauthorized areas have been completed.

Combination Sets (C-Sets)

Updated list of subclasses where combination sets are authorised **published**:

CPC Sections	Α	В	С	D	E	F	G	н
	A01N	B01D	C04B	D07B			G01N	H01L
	A23G	B01J	C05B				G02B	
	A23V	B05D	C05D					
	A61K	B22F	C05F					
	A61L	B29C	C05G					
	A61M	B32B	C07C					
СРС		B65H	C08F					
Subclasses:			C08G		NO.	one		
			C08K					
			C08L		Published	in January		
			C09D		and Febru	•		
			C09J					
			C10M					
			C12N					
			C12Q					

 $\underline{https://www.cooperative patent classification.org/publications/Combi Sets List of Fields.pdf}$

Use of C-Sets in Notes in the scheme

C08F MACROMOLECULAR COMPOUNDS OBTAINED BY REACTIONS ONLY INVOLVING CARBON-TO-CARBON UNSATURATED BONDS

NOTES

- 1. In this subclass, boron or silicon are considered as metals.
- 2. In this subclass, the following expression is used with the meaning indicated:
- "aliphatic radical" means an acyclic or a non-acyclic or a no
- a. an element other than carbon;
- a carbon atom having a double bond to one
 an aromatic carbocyclic ring or a heterocycl
- Examples: Polymers of
- a. CH₂=CH—O—CH₂—CH₂—NH—COO
 b. CH₂=CH-C(=O)-CH=CH₂ are classified
- c. para-C₆H₄Cl(CH=CH₂) are classified in
- 3. Therapeutic activity of compounds is further class
- In this subclass, the last place priority rule is appli contrary, a catalyst or a polymer is classified in th
- 5. In this subclass:
- a. macromolecular compounds and their preparat processes for the preparation of macromolecula groups <u>C08F 2/00-C08F 8/00</u> for the processes

7. {In this subclass, combination sets [C-Sets] are used. The detailed information about the C-Sets construction and the associated syntax rules are found in the Definitions}

WARNING

In this subclass non-limiting references (in the sense of paragraph 39 of the Guide to the IPC) may still be displayed in the scheme.

also classified in the groups for the types of reactions employed, if or interest,

- b. subject matter relating to both homopolymers and copolymers is classified in groups C08F 10/00-C08F 38/00;
- subject matter limited to homopolymers is classified only in groups C08F 110/00-C08F 138/00;
- d. subject matter limited to copolymers is classified only in groups C08F 210/00-C08F 246/00;
- e. in groups <u>C08F 210/00-C08F 238/00</u>, in the absence of an indication to the contrary, a copolymer is classified according to
 the major monomeric component.
- This subclass <u>covers</u> also compositions based on monomers which form macromolecular compounds classifiable in this subclass. In this subclass:
- a. if the monomers are defined, classification is made according to the polymer to be formed:
 - in groups C08F 10/00-C08F 246/00 if no preformed polymer is present;
 - in groups <u>C08F 251/00-C08F 291/00</u> if a preformed polymer is present, considering the reaction to take place as a graft or cross-linking reaction;
- b. if the presence of compounding ingredients is of interest, classification is made in group C08F 2/44
- c. if the compounding ingredients are of interest per se, classification is also made in subclass C08K
- {In this subclass, combination sets [C-Sets] are used. The detailed information about the C-Sets construction and the associated syntax rules are found in the Definitions}

WARNING

In this subclass non-limiting references (in the sense of paragraph 39 of the Guide to the IPC) may still be displayed in the scheme.

C-sets notification in definition:

Combination Sets (C-Sets):

In this subclass, C-Sets classification is applied to the following groups, listed in the table below, if the document discloses a pertinent combination of technical features that cannot be covered by the allocation of a single symbol. The fourth column of the table indicates the place where the detailed information about the C-Sets construction and the associated syntax rules can be found, in the section "Special rules of classification".

C-SETS ID	BASE SYMBOLS	SUBSEQUENT SYMBOLS	C-SETS FORMULA; LOCATION OF C-SETS RULES
#C8Ka	C08K 3/00 - C08K 13/08 (excluding breakdown indexing codes)	C08L 1/00 - C08L 101/16 (excluding breakdown indexing codes)	(<u>C08K</u> , <u>C08L</u>); an additive with a single polymer; see <u>C08K</u>
#C8Lb	C08L 1/00 - C08L 101/16 (excluding breakdown indexing codes)	C08L 1/00 - C08L 101/16 (excluding breakdown indexing codes), C08K 3/00 - C08K 13/08 (excluding breakdown indexing codes)	(C08L, C08L,, C08K,); a composition comprising two or more polymers with additive(s); see C08L
#C8Lb(Si)	C08L 1/00 - C08L 101/16 (excluding C08L 83/02 - C08L 83/16)	C08L 83/02 - C08L 83/16, C08L 83/00, C08K 3/00 - C08K 13/08 (excluding breakdown indexing codes)	(C08L, C08L 83/02 - C08L 83/16, C08L 83/00,, C08K,); a composition comprising one non Si-based polymer in majority and two or more Si- based polymers with additive(s); see C08L
#C8Lb(Si)2	C08L 83/02 - C08L 83/16	C08L 83/00, and optionally C08L 1/00 - C08L 101/16, (excluding C08L 83/02	(C08L 83/02 - C08L 83/16, C08L 83/00,, C08L,, C08K,); a

C-sets notification in definition:

C-Sets statement: #C8Ka

- In groups <u>C08K 3/00</u> <u>C08K 13/08</u>, a composition comprising additive(s) and one polymer is classified in the form of C-Sets.
- In these C-Sets, the base symbol, representing the additive is taken from the groups <u>C08K 3/00</u> - <u>C08K 13/08</u>, whereas the subsequent symbol representing the polymer combined with the additive is taken from the groups <u>C08L 1/00</u> - <u>C08L 101/16</u>.

C-Sets syntax rules:

- · Each of these C-Sets shall contain exactly two symbols
- · Duplicate symbols are not allowed in these C-Sets.
- Breakdown and orthogonal index codes are not allowed in the C-Sets either as base symbols or as subsequent symbols.
- The order of symbols in these C-Sets is relevant as it reflects the presence of one additive and one polymer
- If a composition comprising one polymer, e.g. polymer X, and two or more additives, e.g. Additive A and B, separate C-Sets are given to each additive and the polymer X, e.g. (additive A, polymer X) and (additive B, polymer X).
- For a composition comprising one polymer and four or more essential additives, e.g. additives
 A, B, C, D and polymer X, a C-Set is given using C08K13/yy and separate C-Sets are given to
 each additive and the polymer X. For example, (C08K13/yy, polymer X), (additive A, polymer X),
 (additive B, polymer X), (additive C, polymer X), and (additive D, polymer X).
- If an additive within <u>C08K</u> is disclosed in admixture with one polymer selected from a list of several
 polymers, but each of those polymers does not form a blend, all exemplified combinations must be
 classified as separate C-Sets, e.g. polystyrene or PVC containing a carboxylic amide is separately
 classified in (C08K 5/20, C08L 25/06) and (C08K 5/20, C08L 27/06).
- In the absence of examples, at least one C-Set is given on the basis of sufficient description of the polymer and the additive in the document.
- If an additive is used in admixture with two or more polymers in a blend, the composition is classified in a form of C-Sets following C-Sets rule in <u>C08L</u>, wherein the additive is assigned as subsequent symbol (see C-Sets #C8Lb).

C-Sets examples:

- #C8Ka: An admixture comprising carbon black (<u>C08K 3/04</u>) combined with butadiene-styrene rubber (<u>C08L 9/06</u>) is classified as (<u>C08K 3/04</u>, <u>C08L 9/06</u>).
- #C8Ka: An admixture comprising glass fibers (<u>C08K 7/14</u>) and resorcinol phosphate (<u>C08K 5/523</u>) combined with nylon 6, 6 (<u>C08L 77/06</u>) is classified as (<u>C08K 7/14</u>, <u>C08L 77/06</u>) and (<u>C08K 5/523</u>, <u>C08L 77/06</u>).
- #C8Ka: An admixture of styrene-butadiene rubber with carbon black (<u>C08K 3/04</u>), sulfur (<u>C08K 3/06</u>), silica (<u>C08K 3/36</u>) and silane coupling agent with sulfide bridge (<u>C08K 5/548</u>) is classified as (<u>C08K 13/02</u>, <u>C08L 9/06</u>), (<u>C08K 3/06</u>, <u>C08L 9/06</u>), (<u>C08K 3/04</u>, <u>C08L 9/06</u>), (<u>C08K 5/548</u>, <u>C08L 9/06</u>), and (<u>C08K 3/36</u>, <u>C08L 9/06</u>).

CPC as open linked data

Cooperative Patent Classification

European Patent Office United States Patent and Trademark Office

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CPC open linked Data

1. Access to the CPC data set

CPC

The linked data representation of the CPC taxonomy is provided as a single file in <u>N-TRIPLES</u> format compressed using GZIP. cpc.nt.gz (45M, checksum)

Search Enter search term Go





2. Information about the CPC data set

CPC sheme as linked open data

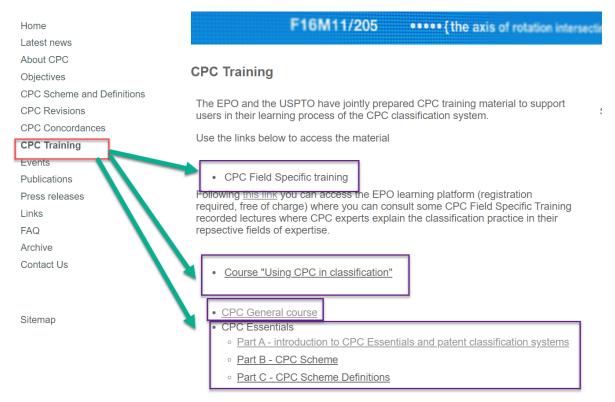
The CPC scheme is part of the product Linked open EP data which can be accessed here: $\underline{\mathsf{Open}}$

Linked data creates a public web of interlinked data that can be queried, retrieved and viewed using standardized web technologies like HTTP, URI, RDF and SPARQL.

Want to know more about CPC classification practice?

- CPC Scheme & Definitions
- CPC General and Advanced training
- See e-learning modules on the cpcinfo.org website (European Patent Academy):
 - Using CPC in classification
 - Practical and strategic aspects of the CPC
- CPC Field-specific training material:
 - Recorded lectures on cpcinfo.org (European Patent Academy)

CPC training modules:



https://www.cooperativepatentclassification.org/Training

CPC training material

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caround a horizontal axis) (_) F16M11/2028 ••••• {for rolling, i.e. for creating a landscape-portrait rolation. F16M11/2035 ••• {in more than one direction} F16M11/2042 •••• {constituted of several dependent joints} F16M11/205

CPC Training

The EPO and the USPTO have jointly prepared CPC training material to support users in their learning process of the CPC classification system.

Use the links below to access the material



Search Enter search term





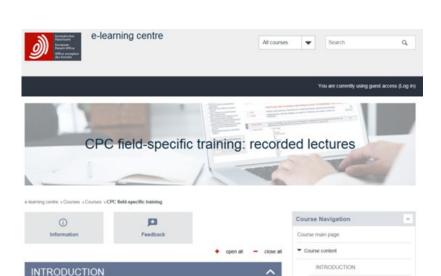
- · CPC Field Specific training
- Following this link you can access the EPO learning platform (registration required, free of charge, where you can consult some CPC Field Specific Training recorded lectures where CPC experts explain the classification practice in their repsective fields of expertise.

 - · CPC General course
 - · CPC Essentials
 - · Part A introduction to CPC Essentials and patent classification systems
 - · Part B CPC Scheme
 - · Part C CPC Scheme Definitions

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General training on Combination Sets

Training material on Combination Sets in the Polymers area



RECORDED LECTURES

DOWNLOADS



This course consists of 24 recorded lectures in which expert examiners present their CPC technical fields. The

presentations contain examples from most CPC classification sections

CPC International

Why were these changes made?

The EPO and USPTO wished:

- to treat all CPC classification symbols allocated by the EPO,
 USPTO and the other CPC Offices equally
- to facilitate access to CPC data for their examiners
- to simplify synchronisation and conflict resolution between the EPO and USPTO
- to upgrade the bilateral infrastructure

What changes are being made?

Until the end of August 2019

 All CPC classification symbols allocated by CPC Offices other than EPO and USPTO were stored at the document level in special CPCNO fields

As of 26 August 2019:

- Classification symbols allocated by all CPC offices are stored at the simple patent family level
- The office which allocated each symbol is visible and searchable
- "Raise Hand" flags are no longer being created
- US documents continue to be circulated to EPO examiners

Old situation

Document level (CPCNO)

Family level (CPC)

INPI Brazil	BR9910073	H01R 12/71	
CNIPA	CN1306684	H01R 13/65	
EPO	EP1075714	H01R 12/71 H01R 13/6581	H01R 12/71
UKIPO	GB2353908	H01R 12/73	H01R 13/6581
KIPO	KR20010071195	H01R 13/65	
PRV	SE0003892	H01R 13/6581	
USPTO	US6206729	H01R 12/71 H01R 13/6581	

New situation

(as of 26 August 2019)



INPI Brazil	BR9910073	H01R 12/71	
CNIPA	CN1306684	H01R 13/65	
EPO	EP1075714	H01R 12/71	H01R 12/71 (BR, EP, US)
		H01R 13/6581	H01R 13/65 (CN, KR)
UKIPO	GB2353908	H01R 12/73	H01R 13/6581 (EP, SE, US)
KIPO	KR20010071195	H01R 13/65	H01R 12/73 (GB)
PRV	SE0003892	H01R 13/6581	
USPTO	US6206729	H01R 12/71	
		H01R 13/6581	

New situation as of 26 August 2019

Family level (CPC)

INPI Brazil	BR9910073		
CNIPA	CN1306684		
EPO	EP1075714		H01R 12/71 (EP, BR, US) H01R 13/65 (CN, KR)
UKIPO	GB2353908		H01R 13/6581 (EP, SE, US)
KIPO	KR20010071195		H01R 12/73 (GB)
PRV	SE0003892		
USPTO	US6206729	Н0	1R 12/73 (GB)

Old situation

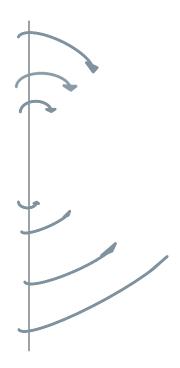
Document level (CPCNO)

INPI Brazil	BR9910073	H01R 12/71	
CNIPA	CN1306684	H01R 13/65	
EPO	EP1075714		
UKIPO	GB2353908	H01R 12/73	
KIPO	KR20010071195	H01R 13/65	
PRV	SE0003892	H01R 13/6581	
USPTO	US6206729		

New situation as of 26 August 2019

INPI Brazil	BR9910073
CNIPA	CN1306684
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UKIPO	GB2353908
KIPO	KR20010071195
PRV	SE0003892
USPTO	US6206729

Family level (CPC)



New situation as of 26 August 2019

Family level (CPC)

INPI Brazil	BR9910073	
CNIPA	CN1306684	
EPO	EP1075714	H01R 12/71 (EP, BR, US) H01R 13/65 (CN, KR)
UKIPO	GB2353908	H01R 13/6581 (EP, SE, US)
KIPO	KR20010071195	H01R 12/73 (GB)
PRV	SE0003892	
USPTO	US6206729	H01R 12/73 (GB)

CPC International Impact on DocDB XML users

Impact on DocDB XML users (1/3)

From the point of view of DocDB XML, the **changes related to the CPC International** project are **minor**:

- No impact on schema definition
- No impact on the content of element patent-classification>
- Minor change in the content of element <classification-scheme>
 - old : value "CPC" (EPO, USPTO) or "CPCNO" (other NO)
 - new: value "CPCI"
- Minor change for element <generating-office>
 - old: only populated when "scheme" = "CPCNO"
 - new: populated in all cases, i.e. also when the US or EP is the generating office

Impact on DocDB XML users (2/3)

Impact is low but benefit is substantial:

■ The office endorsing an allocation is visible in all cases

... also when this office is the EPO or USPTO

Allocations from all offices are treated equally

Impact on DocDB XML users (3/3)

 Notification and documentation was sent in November 2018, now part of the **official documentation**: http://documents.epo.org/projects/babylon/eponet.nsf/0/6266D96FA A2D3E6BC1257F1B00398241/\$File/T09.01 ST36 User Documen tation vs 2.5.8.1 en.pdf Europäisches European Office européen

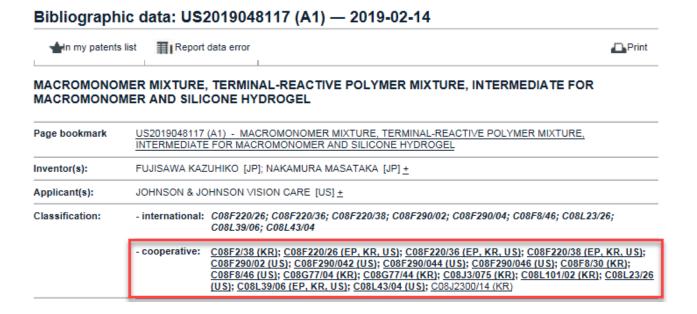
Includes sample files



des brevets

Impact on Espacenet users (1/4)

 Espacenet now displays the office(s) endorsing the CPC allocation in-between brackets next to the allocation



Impact on Espacenet users (2/4)

Offices can also be searched:

```
cpc=(C08F2220/1825 prox/unit=sentence EP)

cpc=(C08F2220/1825 prox/unit=sentence(US,EP))

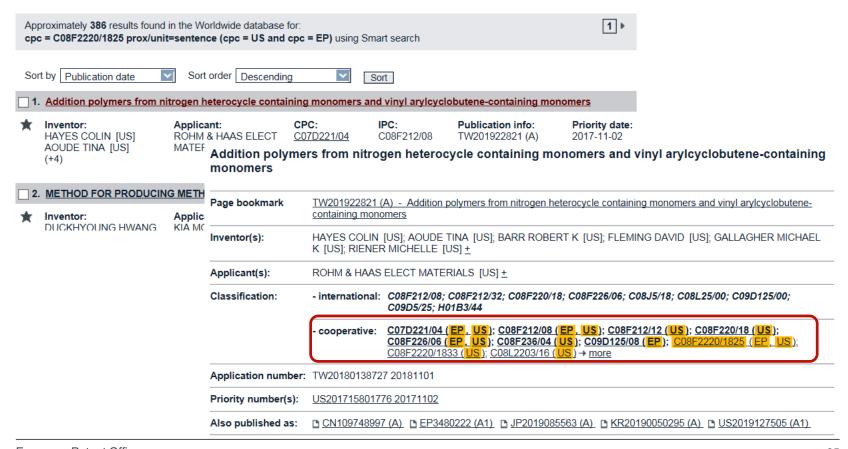
cpc=(C08F220/02/low prox/unit=sentence(US,KR,EP))

cpcc=(C07C37/08 prox/unit=sentence(CN,EP,US))

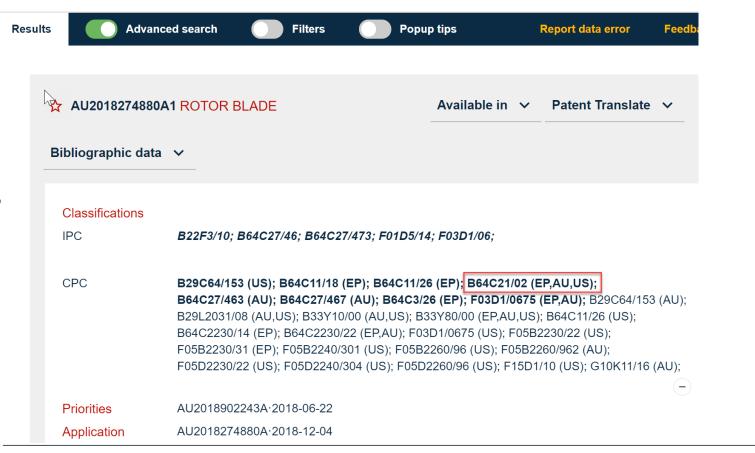
cpcc=(C08F218/08 prox/unit=sentence(C08F220/06,US,EP))
```

Example: cpc=(C08F2220/1825 prox/unit=sentence(US,EP))

Impact on Espacenet users (3/4)



Impact on Espacenet users - New Espacenet (4/4)



Summary of the CPC International project

- From an EPO-USPTO bilateral initiative, the CPC is now an international classification standard: 29 offices in the CPC
- The CPC is **extending its coverage**: 57 million documents classified
- Offices providing CPC allocations can now be displayed and searched
- With the CPC International project, the CPC is modernising its infrastructure to get ready for the future!

Cooperative Patent Classification European Patent Office United States Patent and Trademark Office





Thank you for your attention!

More info?

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cpc@epo.org