

EUROPEAN PATENT OFFICE
U.S. PATENT AND TRADEMARK OFFICE

CPC NOTICE OF CHANGES 1062

DATE: MAY 1, 2021

PROJECT RP0597

The following classification changes will be effected by this Notice of Changes:

<u>Action</u>	<u>Subclass</u>	<u>Group(s)</u>
SCHEME:		
Symbols New:	G02B	6/38875, 6/3888
Titles Changed:	G02B	6/3887, 6/3889
Warnings New	G02B	6/3887, 6/38875, 6/3888, 6/3889

No other subclasses/groups are impacted by this Notice of Changes.

This Notice of Changes includes the following [Check the ones included]:

1. CLASSIFICATION SCHEME CHANGES

- A. New, Modified or Deleted Group(s)
- B. New, Modified or Deleted Warning(s)
- C. New, Modified or Deleted Note(s)
- D. New, Modified or Deleted Guidance Heading(s)

2. DEFINITIONS

- A. New or Modified Definitions (Full definition template)
- B. Modified or Deleted Definitions (Definitions Quick Fix)

- 3. REVISION CONCORDANCE LIST (RCL)
- 4. CHANGES TO THE CPC-TO-IPC CONCORDANCE LIST (CICL)
- 5. CHANGES TO THE CROSS-REFERENCE LIST (CRL)

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1. CLASSIFICATION SCHEME CHANGES

A. New, Modified or Deleted Group(s)

SUBCLASS G02B – OPTICAL ELEMENTS, SYSTEMS, OR APPARATUS (G02F takes precedence; measuring instruments, see the relevant subclass of G01, e.g. optical rangefinders G01C; testing of optical elements, systems, or apparatus G01M 11/00; spectacles G02C; sound lenses G10K 11/30; electron and ion "optics" H01J; X-ray "optics" H01J, H05G 1/00; optical elements structurally combined with electric discharge tubes H01J 5/16, H01J 29/89, H01J 37/22; microwave "optics" H01Q; combination of optical elements with television receivers H04N 5/72; heating arrangements specially adapted for transparent or reflecting areas H05B 3/84)

<u>Type*</u>	<u>Symbol</u>	<u>Indent Level Number of dots (e.g. 0, 1, 2)</u>	<u>Title</u> <u>“CPC only” text should normally be enclosed in {curly brackets}**</u>	<u>Transferred to#</u>
C	G02B 6/3887	5	{Anchoring optical cables to connector housings, e.g. strain relief features}	G02B 6/3887, G02B 6/38875, G02B 6/3888
N	G02B 6/38875	6	{Protection from bending or twisting}	
N	G02B 6/3888	6	{Protection from over-extension or over-compression}	
C	G02B 6/3889	6	{using encapsulation for protection, e.g. adhesive, molding or casting resin}	G02B 6/38875, G02B 6/3888, G02B 6/3889

*N = new entries where reclassification into entries is involved; C = entries with modified file scope where reclassification of documents from the entries is involved; Q = new entries which are firstly populated with documents via administrative transfers from deleted (D) entries. Afterwards, the transferred documents into the Q entry will either stay or be moved to more appropriate entries, as determined by intellectual reclassification; T= existing entries with enlarged file scope, which receive documents from C or D entries, e.g. when a limiting reference is removed from the entry title; M = entries with no change to the file scope (no reclassification); D = deleted entries; F = frozen entries will be deleted once reclassification of documents from the entries is completed; U = entries that are unchanged.

NOTES:

- **No {curly brackets} are used for titles in CPC only subclasses, e.g. C12Y, A23Y; 2000 series symbol titles of groups found at the end of schemes (orthogonal codes); or the Y section titles. The {curly brackets} are used for 2000 series symbol titles found interspersed throughout the main trunk schemes (breakdown codes).
- U groups: it is obligatory to display the required “anchor” symbol (U group), i.e. the entry immediately preceding a new group or an array of new groups to be created (in case new groups are not clearly subgroups of C-type groups). Always include the symbol, indent level and title of the U group in the table above.
- All entry types should be included in the scheme changes table above for better understanding of the overall scheme change picture. Symbol, indent level, and title are required for all types.
- “Transferred to” column must be completed for all C, D, F, and Q type entries. F groups will be deleted once reclassification is completed.
- When multiple symbols are included in the “Transferred to” column, avoid using ranges of symbols in order to be as precise as possible.

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- For administrative transfer of documents, the following text should be used: “< administrative transfer to XX>”, “<administrative transfer to XX and YY simultaneously>”, or “<administrative transfer to XX, YY, ...and ZZ simultaneously>” when administrative transfer of the same documents is to more than one place.
- Administrative transfer to main trunk groups is assumed to be the source allocation type, unless otherwise indicated.
- Administrative transfer to 2000/Y series groups is assumed to be “additional information”.
- If needed, instructions for allocation type should be indicated within the angle brackets using the abbreviations “ADD” or “INV”: <administrative transfer to XX ADD> , <administrative transfer to XX INV>, or < administrative transfer to XX ADD, YY INV, ... and ZZ ADD simultaneously>.
- In certain situations, the “D” entries of 2000-series or Y-series groups may not require a destination (“Transferred to”) symbol, however it is required to specify “<no transfer>” in the “Transferred to” column for such cases.
- For finalisation projects, the deleted “F” symbols should have <no transfer> in the “Transferred to” column.
- For more details about the types of scheme change, see CPC Guide.

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B. New, Modified or Deleted Warning(s)

SUBCLASS G02B – OPTICAL ELEMENTS, SYSTEMS, OR APPARATUS (G02F takes precedence; measuring instruments, see the relevant subclass of G01, e.g. optical rangefinders G01C; testing of optical elements, systems, or apparatus G01M 11/00; spectacles G02C; sound lenses G10K 11/30; electron and ion "optics" H01J; X-ray "optics" H01J, H05G 1/00; optical elements structurally combined with electric discharge tubes H01J 5/16, H01J 29/89, H01J 37/22; microwave "optics" H01Q; combination of optical elements with television receivers H04N 5/72; heating arrangements specially adapted for transparent or reflecting areas H05B 3/84)

<u>Type*</u>	<u>Location</u>	<u>Old Warning</u>	<u>New/Modified Warning</u>
N	G02B 6/3887		Group G02B 6/3887 is impacted by reclassification into groups G02B 6/38875 and G02B 6/3888. Groups G02B 6/3887, G02B 6/38875 and G02B 6/3888 should be considered in order to perform a complete search.
N	G02B 6/38875		Group G02B 6/38875 is incomplete pending reclassification of documents from groups G02B 6/3887 and G02B 6/3889. Groups G02B 6/3887, G02B 6/3889 and G02B 6/38875 should be considered in order to perform a complete search.
N	G02B 6/3888		Group G02B 6/3888 is incomplete pending reclassification of documents from groups G02B 6/3887 and G02B 6/3889. Groups G02B 6/3887, G02B 6/3889 and G02B 6/3888 should be considered in order to perform a complete search.
N	G02B 6/3889		Group G02B 6/3889 is impacted by reclassification into groups G02B 6/38875 and G02B 6/3888. Groups G02B 6/3889, G02B 6/38875 and G02B 6/3888 should be considered in order to perform a complete search.

*N = new warning, M = modified warning, D = deleted warning

NOTE: The "Location" column only requires the symbol PRIOR to the location of the warning. No further directions such as "before" or "after" are required.

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3. REVISION CONCORDANCE LIST (RCL)

Type*	From CPC Symbol (existing)	To CPC Symbol(s)
C	G02B 6/3887	G02B 6/3887, G02B 6/38875, G02B 6/3888
C	G02B 6/3889	G02B 6/38875, G02B 6/3888, G02B 6/3889

* C = entries with modified file scope where reclassification of documents from the entries is involved; Q = new entries which are firstly populated with documents via administrative transfers from deleted (D) entries. Afterwards, the transferred documents into the Q entry will either stay or be moved to more appropriate entries, as determined by intellectual reclassification; D = deleted entries; F = frozen entries will be deleted once reclassification of documents from the entries is completed.

NOTES:

- Only C, D, F, and Q type entries are included in the table above.
- When multiple symbols are included in the “To” column, do not use ranges of symbols.
- For administrative transfer of documents, the following text should be used: “< administrative transfer to XX>”, “<administrative transfer to XX and YY simultaneously>”, or “<administrative transfer to XX, YY, ...and ZZ simultaneously>” when administrative transfer of the same documents is to more than one place.
- Administrative transfer to main trunk groups is assumed to be the source allocation type, unless otherwise indicated.
- Administrative transfer to 2000/Y series groups is assumed to be “additional information”.
- If needed, instructions for allocation type should be indicated within the angle brackets using the abbreviations “ADD” or “INV”: <administrative transfer to XX ADD>, <administrative transfer to XX INV>, or < administrative transfer to XX ADD, YY INV, ... and ZZ ADD simultaneously>.
- In certain situations, the “D” entries of 2000-series or Y-series groups may not require a destination (“To”) symbol, however it is required to specify “<no transfer>” in the “To” column for such cases.
- RCL is not needed for finalisation projects.

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4. CHANGES TO THE CPC-TO-IPC CONCORDANCE LIST (CICL)

<u>CPC</u>	<u>IPC</u>	<u>Action*</u>
G02B 6/38875	G02B 6/38	NEW
G02B 6/3888	G02B 6/38	NEW

*Action column:

- For an (N) or (Q) entry, provide an IPC symbol and complete the Action column with "NEW."
- For an existing CPC main trunk entry or indexing entry where the existing IPC symbol needs to be changed, provide an updated IPC symbol and complete the Action column with "UPDATED."
- For a (D) CPC entry or indexing entry complete the Action column with "DELETE." IPC symbol does not need to be included in the IPC column.
- For an (N) 2000 series CPC entry which is positioned within the main trunk scheme (breakdown code) provide an IPC symbol and complete the action column with "NEW".
- For an (N) 2000 series CPC entry positioned at the end of the CPC scheme (orthogonal code), with no IPC equivalent, complete the IPC column with "CPCONLY" and complete the action column with "NEW".

NOTES:

- F symbols are not included in the CICL table above.
- T and M symbols are not included in the CICL table above unless a change to the existing IPC is desired.