

EUROPEAN PATENT OFFICE
U.S. PATENT AND TRADEMARK OFFICE

CPC NOTICE OF CHANGES 415

DATE: MAY 1, 2017

PROJECT RP0232

The following classification changes will be effected by this Notice of Changes:

| <u>Action</u> | <u>Subclass</u> | <u>Group(s)</u> |
|--|-----------------|-----------------|
| Symbols deleted: | C08K | 2003/045 |
| | | |
| Symbols newly created: | C08K | 3/041 |
| | C08K | 3/042 |
| | C08K | 3/043 |
| | C08K | 3/044 |
| | C08K | 3/045 |
| | C08K | 3/046 |
| | | |
| Title wording change: | C08K | 3/20 |
| | C08K | 7/24 |
| | | |
| Scheme Warning Notices to be added: | C08K | 3/04 |
| | C08K | 3/20 |
| | C08K | 3/041 |
| | C08K | 3/042 |
| | C08K | 3/043 |
| | C08K | 3/044 |
| | C08K | 3/045 |
| | C08K | 3/046 |
| | C08K | 7/24 |

No other subclasses/groups are impacted by this Notice of Changes.

This Notice of Changes includes the following [Check the ones included]:

1. CLASSIFICATION SCHEME CHANGES
 - A. New, Modified or Deleted Group(s)
 - B. New, Modified or Deleted Warning Notice(s)
 - C. New, Modified or Deleted Note(s)
 - D. New, Modified or Deleted Guidance Heading(s)
2. DEFINITIONS (New or Modified)
 - A. DEFINITIONS (Full definition template)
 - B. DEFINITIONS (Definitions Quick Fix)
3. REVISION CONCORDANCE LIST (RCL)
4. CHANGES TO THE CPC-TO-IPC CONCORDANCE LIST (CICL)
5. CROSS-REFERENCE LIST (CRL)

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1. CLASSIFICATION SCHEME CHANGES

A. New, Modified or Deleted Group(s)

SUBCLASS C08K - USE OF INORGANIC OR NON-MACROMOLECULAR ORGANIC SUBSTANCES AS COMPOUNDING INGREDIENTS

| <u>Type*</u> | <u>Symbol</u> | <u>Indent Level Number of dots (e.g. 0, 1, 2)</u> | <u>Title (new or modified)</u> <u>“CPC only” text should normally be enclosed in {curly brackets}**</u> | <u>Transferred to#</u> |
|--------------|---------------|---|--|--|
| C | C08K3/04 | 2 | Carbon | C08K3/04, C08K3/041, C08K3/042, C08K3/043, C08K3/044, C08K3/045, C08K3/046 |
| N | C08K3/041 | 3 | { Carbon nanotubes } | |
| N | C08K3/042 | 3 | { Graphene or derivatives, e.g. graphene oxides } | |
| N | C08K3/043 | 3 | { Carbon nanocoils } | |
| N | C08K3/044 | 3 | { Carbon nanohorns or nanobells } | |
| N | C08K3/045 | 3 | { Fullerenes } | |
| D | C08K2003/045 | 3 | | <administrative transfer to C08K3/045> |
| N | C08K3/046 | 3 | { Carbon nanorods, nanowires, nanoplatelets or nanofibres } | |
| C | C08K3/20 | 2 | Oxides; Hydroxides {(graphene oxides C08K3/042)} | C08K3/20, C08K3/042 |
| C | C08K7/24 | 2 | inorganic | C08K7/24, C08K3/041, C08K3/042, C08K3/043, C08K3/044, C08K3/045, C08K3/046 |

*N = new entries where reclassification into entries is involved; C = entries with modified file scope where reclassification of documents from the entries is involved; Q = new entries which are firstly populated with documents via administrative transfers from deleted (D) entries. Afterwards, the transferred documents into the Q entry will either stay or be moved to more appropriate entries, as determined by intellectual reclassification; E= existing entries with enlarged file scope, which receive documents from C or D entries, e.g. when a limiting reference is removed from the entry title; M = entries with no change to the file scope (no reclassification); D = deleted entries; F = frozen entries will be deleted once reclassification of documents from the entries is completed; U = entries that are unchanged.

NOTES:

- **No {curly brackets} are used for titles in CPC only subclasses, e.g. C12Y, A23Y; 2000 series symbol titles of groups found at the end of schemes (orthogonal codes); or the Y section titles. The {curly brackets} are used for 2000 series symbol titles found interspersed throughout the main trunk schemes (breakdown codes).
- For U groups, the minimum requirement is to include the U group located immediately prior to the N group or N group array, in order to show the N group hierarchy and improve the readability and understanding of the scheme. Always include the symbol, indent level and title of the U group in the table above.
- All entry types should be included in the scheme changes table above for better understanding of the overall scheme change picture. Symbol, indent level, and title are required for all types except “D” which requires only a symbol.

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- #“Transferred to” column must be completed for all C, D, F, and Q type entries. F groups will be deleted once reclassification is completed.
- When multiple symbols are included in the “Transferred to” column, avoid using ranges of symbols in order to be as precise as possible.
- For administrative transfer of documents, the following text should be used: “< administrative transfer to XX>” or “<administrative transfer to XX and YY simultaneously>” when administrative transfer of the same documents is to more than one place.
- Administrative transfer to main trunk groups is assumed to be “invention information”, unless otherwise indicated, and to 2000 series groups is assumed to be “additional information”.

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B. New, Modified or Deleted Warning notice(s)**SUBCLASS C08K - USE OF INORGANIC OR NON-MACROMOLECULAR ORGANIC SUBSTANCES AS COMPOUNDING INGREDIENTS**

| <u>Type*</u> | <u>Location</u> | <u>Old Warning notice</u> | <u>New/Modified Warning notice</u> |
|--------------|-----------------|---------------------------|---|
| N | C08K 3/04 | | Group C08K3/04 is impacted by reclassification into groups C08K3/041, C08K3/042, C08K3/043, C08K3/044, C08K3/045 and C08K3/046. All groups listed in this warning should be considered in order to perform a complete search. |
| N | C08K3/041 | | Group C08K3/041 is incomplete pending reclassification of documents from groups C08K3/04 and C08K7/24. Groups C08K3/041, C08K3/04 and C08K7/24 should be considered in order to perform a complete search. |
| N | C08K3/042 | | Group C08K3/042 is incomplete pending reclassification of documents from groups C08K3/04 and C08K3/20. Groups C08K3/042, C08K3/04 and C08K3/20 should be considered in order to perform a complete search. |
| N | C08K3/043 | | Group C08K3/043 is incomplete pending reclassification of documents from groups C08K3/04 and C08K7/24. Groups C08K3/043, C08K3/04 and C08K7/24 should be considered in order to perform a complete search. |
| N | C08K3/044 | | Group C08K3/044 is incomplete pending reclassification of documents from groups C08K3/04 and C08K7/24. Groups C08K3/044, C08K3/04 and C08K7/24 should be considered in order to perform a complete search. |
| N | C08K3/045 | | Group C08K3/045 is incomplete pending reclassification of documents from groups C08K3/04 and C08K7/24. Groups C08K3/045, C08K3/04 and C08K7/24 should be considered in order to perform a complete search. |
| N | C08K3/046 | | Group C08K3/046 is incomplete pending reclassification of documents from groups C08K3/04 and C08K7/24. Groups C08K3/046, C08K3/04 and C08K7/24 should be considered in order to perform a complete search. |

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| <u>Type*</u> | <u>Location</u> | <u>Old Warning notice</u> | <u>New/Modified Warning notice</u> |
|--------------|-----------------|---------------------------|---|
| N | C08K 3/20 | | Group C08K3/20 is impacted by reclassification into group C08K3/042. Groups C08K3/20, and C08K3/042 should be considered in order to perform a complete search. |
| N | C08K 7/24 | | Group C08K7/24 is impacted by reclassification into groups C08K3/041, C08K3/042, C08K3/043, C08K3/044, C08K3/045 and C08K3/046. All groups listed in this warning should be considered in order to perform a complete search. |

*N = new warning, M = modified warning, D = deleted warning

NOTE: The "Location" column only requires the symbol PRIOR to the location of the warning. No further directions such as "before" or "after" are required.

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3. REVISION CONCORDANCE LIST (RCL)

| Type* | From CPC Symbol (existing) | To CPC Symbol(s) |
|--------------|-----------------------------------|--|
| C | C08K3/04 | C08K3/04, C08K3/041, C08K3/042, C08K3/043, C08K3/044, C08K3/045, C08K3/046 |
| D | C08K2003/045 | <administrative transfer to C08K3/045> |
| C | C08K3/20 | C08K3/20, C08K3/042 |
| C | C08K7/24 | C08K7/24, C08K3/041, C08K3/042, C08K3/043, C08K3/044, C08K3/045, C08K3/046 |

* C = entries with modified file scope where reclassification of documents from the entries is involved; Q = new entries which are firstly populated with documents via administrative transfers from deleted (D) entries. Afterwards, the transferred documents into the Q entry will either stay or be moved to more appropriate entries, as determined by intellectual reclassification; D = deleted entries.

NOTES:

- Only C, D, and Q type entries are included in the table above.
- When multiple symbols are included in the “To” column, avoid using ranges of symbols in order to be as precise as possible.
- For administrative transfer of documents, the following text should be used: “< administrative transfer to XX>” or “<administrative transfer to XX and YY simultaneously>” when administrative transfer of the same documents is to more than one place.
- Administrative transfer to main trunk groups is assumed to be “invention information”, unless otherwise indicated, and to 2000 series groups is assumed to be “additional information”.

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4. CHANGES TO THE CPC-TO-IPC CONCORDANCE LIST (CICL)

| <u>CPC</u> | <u>IPC</u> | <u>Action*</u> |
|---------------|------------|----------------|
| C08K 3/041 | C08K 3/04 | NEW |
| C08K 3/042 | C08K 3/04 | NEW |
| C08K 3/043 | C08K 3/04 | NEW |
| C08K 3/044 | C08K 3/04 | NEW |
| C08K 3/045 | C08K 3/04 | NEW |
| C08K 2003/045 | | DELETE |
| C08K 3/046 | C08K 3/04 | NEW |

*Action column:

- For an (N) or (Q) entry, provide an IPC symbol and complete the Action column with "NEW."
- For an existing CPC main trunk entry or indexing entry where the existing IPC symbol needs to be changed, provide an updated IPC symbol and complete the Action column with "UPDATED."
- For a (D) CPC entry or indexing entry complete the Action column with "DELETE." IPC symbol does not need to be included in the IPC column.
- For an (N) 2000 series CPC entry which is positioned within the main trunk scheme (breakdown code) provide an IPC symbol and complete the action column with "NEW".
- For an (N) 2000 series CPC entry positioned at the end of the CPC scheme (orthogonal code), with no IPC equivalent, complete the IPC column with "CPCONLY" and complete the action column with "NEW".

NOTES:

- F symbols are not included in the CICL table above.
- E and M symbols are not included in the CICL table above unless a change to the existing IPC is desired.