#### **C07C**

#### ACYCLIC OR CARBOCYCLIC COMPOUNDS

#### **Definition statement**

This place covers:

Acyclic or carbocyclic (alicyclic) low molecular weight organic compounds

Processes for the preparation of acyclic or carbocyclic (alicyclic) low molecular weight organic compounds, whereby preparation also includes purification, separation, stabilisation or use of additives

The subclass <u>C07C</u> is divided into the following fields\* (each field covering both compounds and processes):

Hydrocarbons (compounds containing exclusively carbon and hydrogen atoms)	<u>C07C 1/00</u> - <u>C07C 15/62</u>
Halogenated hydrocarbons (compounds containing exclusively carbon, hydrogen and halogen atoms)	<u>C07C 17/00</u> - <u>C07C 25/28</u>
Oxygen-containing compounds (may additionally contain halogen atoms)	C07C 27/00 - C07C 71/00
Nitrogen-containing compounds (may additionally contain halogen and/or oxygen atoms)	<u>C07C 201/00</u> - <u>C07C 291/14</u>
Sulfur, Selenium and Tellurium-containing compounds (may additionally contain halogen, oxygen and/or nitrogen atoms)	<u>C07C 301/00</u> - <u>C07C 395/00</u>
Special compounds	C07C 401/00 - C07C 409/44

<sup>\*)</sup> In the absence of an indication to the contrary, a product or process is classified in the last appropriate place (last place rule)

## Relationships with other classification places

In class <u>C07</u>, in the absence of an indication to the contrary, a compound is classified in the last appropriate subclass. The compounds defined by the subclasses <u>C07D</u>, <u>C07F</u>, <u>C07G</u>, <u>C07H</u>, <u>C07J</u> and <u>C07K</u> and their preparation are not classified in <u>C07C</u>. For instance, acyclic peptides are classified in <u>C07K</u> and not in <u>C07C</u>.

General methods of organic chemistry:

In addition to the classification in  $\underline{\text{CO7C}}$ , a classification in  $\underline{\text{C07B}}$  is generally assigned if a process is claimed broadly and the general applicability in different fields of  $\underline{\text{C07}}$  is shown by means of examples. All examples for  $\underline{\text{C07C}}$  are still classified individually in the appropriate fields in  $\underline{\text{C07C}}$ .

Compounds containing metals, e.g. metal salts and metal chelates:

The subclass <u>C07F</u> covers the following metal-containing compounds, and their preparation:

Compounds containing one or more metals whereby at least one metal is bound to carbon,

Compounds containing one or more metals, without metal-carbon bonds, that can be represented by the formula:  $(L^1)_n$ -Metal- $(L^2)_m$  (n>0 and m>0);  $L^1$  and  $L^2$  are different metal-bound moieties, and their preparation (e.g. Mg(acac)OMe),

Relationships with other classification places

Zirconates and titanates.

Other metal salts (e.g. metal alcoholates, metal phenates, metal carboxylates, metal amides, such as lithium diisopropyl amide, or mercaptides) and metal chelates of acyclic or carbocyclic low molecular weight organic compounds, and their preparation, are classified in subclass <a href="CO7C">CO7C</a> and not in subclass <a href="CO7F">CO7F</a>.

Polymers (macromolecular compounds):

Oligomers (e.g. alkoxides, esters, amides) with up to 10 (ten) repeating units are classified in C07C as low molecular weight compounds. Compounds with 11 (eleven) or more repeating units are usually classified in C08 as macromolecular compounds.

#### **MULTIPLE CLASSIFICATION**

Subclass <u>C07C</u> relates to the compounds themselves and their preparation and does not cover the application or use of the compounds under the subclass definition.

Thus, in addition to the classification in CO7C, a document should be assessed for potential classification in the places relating to the use or application of the compounds if such a use/application is claimed or specifically described (e.g. by means of examples). Likewise, documents disclosing apparatus features and catalysts used in processes should be assessed for potential classification in the appropriate places.

A non-exhaustive list of other places frequently encountered in association with compounds or processes classified in C07C is included in the Informative References below.

Biocidal, pest repellant, pest attractant, or plant growth regulatory activity of chemical compounds or preparations is further classified in A01P.

Therapeutic activity of chemical compounds classified as such in <u>C07C</u> is further classified in subclass <u>A61P</u>. Uses of cosmetics or similar toilet preparations are further classified in subclass <u>A61Q</u>.

Mixtures of compounds; preparation of mixtures:

There is no special place for mixtures of compounds in <u>C07C</u>. Accordingly, mixtures are not classified in <u>C07C</u> (see the relevant IPC classification rule concerning "Chemical Mixtures or Compositions"). Mixtures are classified according to their application/use (for a list of application related fields see the informative references below).

Similarly, the preparation of mixtures where the desired end product is the mixture and not a specific product is not generally classified in <a href="C07C">C07C</a> (e.g. the preparation of a mixture of hydrocarbons for use as fuel is classified in <a href="C10G">C10G</a>).

The only exceptions to this rule are:

Mixtures defined by a single component, e.g. the claim reads as follows: "A composition comprising a compound (I) of formula A." (Markush formula is given), which are considered as products and classified in the corresponding product group in CO7C,

Mixtures which are used in the preparation of <u>C07C</u> compounds are classified in <u>C07C</u> (e.g. an azeotropic mixture of a halogenated hydrocarbon and HF, for use in a process for preparation or purification of a halogenated hydrocarbon).

Mixtures wherein one or more of the components are mere impurities present with the desired compound, e.g. of the form "A composition of compound A and less than 50 ppm of compound B" (wherein it is clear from the description that compound B is merely an undesired impurity of A). Such mixtures are effectively a definition of a certain compound in terms of a desired degree of chemical purity.

**C07C (continued)** CPC - C07C - 2023.08

# References

# Limiting references

This place does not cover:

Inorganic compounds	<u>C01</u>
Carbamic acid	C01B 21/12
Carbon, inorganic compounds thereof, e.g. fullerenes	C01B 32/00
Phosgene	C01B 32/80
Carbides	C01B 32/90
Hydrogen cyanide, cyanic and thiocyanic acid, isocyanic and isothiocyanic acid, cyanogen, cyanamide, and cyanogen halide	C01C 3/00
Heterocyclic compounds	<u>C07D</u>
Compounds containing elements other than carbon, hydrogen, halogen, oxygen, nitrogen, sulfur, selenium and tellurium	<u>C07F</u>
Compounds of unknown constitution	<u>C07G</u>
Sugars	<u>C07H</u>
Steroids	<u>C07J</u>
Peptides	<u>C07K</u>
Dyes and pigments	<u>C09B</u>
Liquid crystal compounds	C09K 19/00
Fermentative or enzymatic processes	<u>C12P</u>
Production of organic compounds by electrolysis or electrophoresis	C25B 3/00, C25B 7/00

# Informative references

Attention is drawn to the following places, which may be of interest for search:

Pesticides, biocides, pest repellants, pest attractants, or plant growth regulatory compound/compositions	<u>A01N</u>
Biocidal, pest repellant, pest attractant or plant growth regulatory activity of chemical compounds or preparations	<u>A01P</u>
Foodstuffs	<u>A23L</u>
Preparations for medical, dental or toilet purposes	<u>A61K</u>
Cosmetics	A61K 8/00, A61Q
Medicinal preparations containing active organic ingredients.	A61K 31/00
Therapeutic activity	<u>A61P</u>
Uses of cosmetics or similar toilet preparations	<u>A61Q</u>
Separation in general, apparatuses therefor	<u>B01D</u>
Reactors	B01J 3/00 - B01J 19/00
Catalysts	B01J 21/00 - B01J 49/00
General methods of organic chemistry	<u>C07B</u>
Introduction of isotopes of elements into organic compounds	C07B 59/00
Organic macromolecular compounds	<u>C08</u>

Use of organic substances as compounding ingredients for organic macromolecular compounds.	C08K 5/00
Dyes; Paints; Polishes; Natural resins; Adhesives	<u>C09</u>
Organic luminescent materials	C09K 11/00
Lubricants	<u>C10M</u>
Essential oils and perfumes	C11B 9/00
Detergents, cleaning and washing compositions	<u>C11D</u>
Chemical libraries	<u>C40B</u>

#### General rules

In the absence of an indication to the contrary, a product or process is classified in the last appropriate place (last place rule).

For the classification of compounds in groups  $\underline{\text{C07C 1/00}}$  -  $\underline{\text{C07C 71/00}}$  and  $\underline{\text{C07C 401/00}}$  -  $\underline{\text{C07C 409/00}}$ :

a compound is classified considering the molecule as a whole (the "whole molecule approach")

a compound is considered to be saturated if it does not contain carbon atoms bound to each other by multiple bonds

a compound is considered to be unsaturated if it contains carbon atoms bound to each other by multiple bonds, including six-membered aromatic ring, unless otherwise specified or implicitly derivable from the subdivision (e.g. C07C 69/712).

For the classification of compounds in groups <u>C07C 201/00</u> - <u>C07C 395/00</u>, i.e. after the functional group has been determined according to the "last place rule", a compound is classified according to the following principles:

compounds are classified in accordance with the nature of the carbon skeleton to which the functional group is attached

a carbon skeleton is a carbon atom, other than a carbon atom of a carboxyl group, or a chain of carbon atoms bound to each other, a carbon skeleton is considered to be terminated by every bond to an element other than carbon or to a carbon atom of a carboxyl group

when the molecule contains several functional groups, only functional groups linked to the same carbon skeleton as the one first determined are considered:

a carbon skeleton is considered to be saturated if it does not contain carbon atoms bound to each other by multiple bonds

a carbon skeleton is considered to be unsaturated if it contains carbon atoms bound to each other by multiple bonds, including a six-membered aromatic ring.

Examples of compounds classified according to the carbon skeleton:

Ph-NH-CH<sub>2</sub>-CH<sub>2</sub>-OH is classified in C07C 215/16 (not in C07C 215/68), while HO-CH<sub>2</sub>-Ph-NH-CH<sub>2</sub>-CH<sub>2</sub>-OH is classified in C07C 215/68

 $HO(O)C-CH_2-CH_2-C(O)-NH_2$  is classified in <u>C07C 233/05</u> (not in <u>C07C 235/74</u>), while  $O=C(H)-CH_2-C(O)-NH_2$  is classified in <u>C07C 235/74</u>.

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Anhydrides and halides of carboxylic acids are classified as the relevant acids unless otherwise indicated.

Salts of a compound, unless specifically provided for, are classified as that compound, e.g. aniline hydrochloride is classified as aniline (in C07C 211/46), sodium malonate is classified as malonic acid (in C07C 55/08), and a mercaptide is classified as the mercaptan.

Metal chelates are dealt with in the same way.

Salts, adducts or complexes formed between two or more organic compounds are classified according to all compounds forming the salts, adducts or complexes.

If a group title is the name of a specific compound or a group of specific compounds (e.g. C07C 35/12 "Menthol") then only exclusively the compounds named are classified in this group (including isotopically labelled forms, and salts, if there is no special place for salts, but not including other derivatives thereof). For instance C07C 69/78 covers ester of benzoic acid, but not esters of chlorobenzoic acid (C07C 69/76).

On the other hand, when a compound is mentioned in the group title only as an example, such as in C07C 35/36 "the condensed ring system being a [4.4.0] system, e.g. naphthols"), the scope is not limited to this example.

Compounds claimed per se:

Which compounds are classified?

Real examples of claimed compounds, i.e. those which are prepared or for which physical data are given, and

compounds which are individually named or drawn in the claims.

Which compounds are generally not classified?

Long lists ("shopping lists") of prophetic compounds which fall within the scope of the claims but which have not actually been prepared and characterised or at least individually claimed.

Compounds disclosed in the description but which are not claimed as novel compounds (e.g. prior art compounds).

Markush enumeration of generic formulae to generate individual compounds, i.e. no attempt is made to classify all possible individual compounds falling within the scope of a Markush formula.

Compounds defined in terms of a process of preparation (product-by-process definition), e.g. "A compound prepared by the process of claim 1", are classified only if the compounds per se is claimed as novel.

How are compounds classified?

All examples are classified individually. Even if classification of the "fully identified" compounds would lead to the assigning of a large number of subgroups, no generalisation to the next hierarchically higher level is made.

Compounds having a covalent bond to a solid support are classified as the corresponding compound wherein the solid support has been replaced by a hydrogen atom. In addition an Indexing Code (Indexing Code) C07B 2200/11 ("Compounds covalently bound to a solid support") is assigned.

Protected compounds are classified according to their individual structure (note: a tetrahydropyranyl (THP) protected compound would be classified in CO7D, a silyl protected compound in CO7F).

Deuterated and other isotopically labelled (e.g. radiolabelled) compounds, regardless whether the nonlabelled equivalents are already known or not, are classified as compounds in the same class as the

corresponding non-labelled compounds. In addition the Indexing Code <u>C07B 2200/05</u> is assigned and the document proposed for classification in <u>C07B 59/00</u>).

Polymorphic forms of known compounds are classified in the appropriate product class in same way as new compounds. In addition the Indexing Code CO7B 2200/13 is assigned.

Processes for the preparation of (known) compounds:

Preparation also covers purification, separation, stabilisation or use of additives.

Which processes are classified?

Preparative examples of claimed processes, and

Processes for the preparation of products which are individually named or drawn in the claims.

In exceptional cases, when there are no preparative examples and the claims do not define any specific products (e.g. for industrial processes), the detailed embodiments in the description (often explained with reference to the figures) are classified. Occasionally, the product has to be deduced from the educt and the reaction.

Which processes are generally not classified?

The preparation of long lists ("shopping lists") of hypothetical products mentioned in the description which have not actually been made or at least individually claimed.

Processes disclosed in the description which are not claimed as novel processes (e.g. prior art processes).

The preparation of novel compounds is generally not classified. However, if the preparation appears to be of particular interest, the examiner may decide to classify such processes on a case by case basis. The rationale is to avoid filling the process groups with repeated standard methods used for making new compounds.

How is a process classified?

All examples are classified individually

A process is classified in a process group if a dedicated process group exists. Where there is no process group for making a particular compound (e.g. for ester of oxyacids of halogen CO7C 71/00) a process is classified in the product group.

When a process is classified in a process group, combination sets are used to indicate the product of the process. A combination set consists of a process group, followed by and linked to the group of the product. The products are selected from the corresponding product groups (which means that product groups as such (not in form of a combination set) are only used for classifying compounds which are claimed as novel). For example the combination set <a href="CO7C 67/08">CO7C 69/54</a> is used for the preparation of acrylic acid esters by esterification.

For multi-step processes (these can be industrial processes comprising multiple reaction and/or purification steps and multi-step syntheses of fine chemicals in a sequence of reaction steps) the following rules apply:

The last reaction step is always classified.

If the last step is purification/separation/recovery step, it is additionally classified if of interest (e.g. not a mere standard purification/recovery step such as "step f) of recovering the product").

All intermediate steps are generally also classified (especially those which are important for the invention) unless considered trivial and not useful for future searches.

A process for the preparation of a specific crystallographic form of a compound (polymorph) is generally classified as separation/purification by crystallisation. In addition the indexing term <a href="C07B 2200/13">C07B 2200/13</a> is assigned.

#### Indexing of ring structures:

If a classified compound or the product of a classified processes contains a carbocyclic ring system other than phenyl and naphthyl, an Indexing Code for the ring structure (main groups C07C 2601/00 - C07C 2604/00) is assigned (e.g. C07C 2601/14 if a claimed or prepared compound contains a cyclohexyl ring). The following rules apply:

All the possible ring systems are to be indexed; this implies that if a compound comprises more than one ring system, each system is indexed.

Indexing Codes are assigned even if they provide no additional information about the ring system over the definition of the group in which the document is classified, e.g. C07C 2601/14 is used, if the document is classified in C07C 13/18.

The more general groups are only to be used for ring systems not specifically provided for.

For each ring or ring system, the last place rule applies. However, in determining the rings defining the indexation the following criteria have to be taken into account:

The number of rings in a condensed ring system equals the number of scissions necessary to convert the ring system into an acyclic chain.

The rings with the lowest possible number of ring members and the condensed systems with the lowest number of shared atoms are to be chosen.

Polycyclic compounds in which two rings have two, and only two, atoms in common are "ortho-condensed". Polycyclic compounds in which one ring contains two, and only two, atoms in common with each of two or more rings of a contiguous series of rings are "ortho- and peri-condensed".

Rings are spiro condensed if they contain two rings with only one common atom. The spiro system is free when there is only one union, direct or indirect, between the rings. Otherwise the spiro system is "not free".

Indexing of certain compound properties:

Certain properties (such as optical activity or presence of isotopes) of classified compounds or products of a classified processes are indexed using Indexing Codes (main group C07B 2200/00), e.g.

- <u>C07B 2200/07</u> if the claimed or prepared compound is an optical isomer,
- C07B 2200/13 if the claimed or prepared compound is a crystalline form, e. g. a polymorphic form, which is characterised by the usual parameters, such a X-ray diffraction pattern, IR, differential scanning calorimetry (DSC). The Indexing Code C07B 2200/13 is not used when polymorphic forms of compounds are merely claimed without being actually prepared. The code should also not be used for standard crystallisation processes (e.g. for purification) leading to the usual crystalline forms of compounds.

## **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Acyclic	Not containing any rings
Carbocyclic	Containing a ring or ring system where all ring members are carbon atoms
Mineral acid	Inorganic acids such as HF, HCl, HBr, HI, HNO $_3$ , H $_2$ SO $_3$ , H $_2$ SO $_4$ , H $_3$ PO $_3$ , B(OH) $_3$ and H $_2$ CO $_3$

Ester of a mineral acid	Ester of the above acids, including organic carbonates (e.g. ethylene carbonate) and R-Hal (e.g. CH <sub>3</sub> -Cl as ester of CH <sub>3</sub> -OH and HCl)
Non-metals	H, B, C, Si, N, P, O, S, Se, Te, noble gases, halogens
Metals	Elements other than non-metals
Platinum group	Os, Ir, Pt, Ru, Rh, Pd
Iron group	Fe, Co, Ni
Bridged (rings)	Presence of at least one fusion other than ortho, peri or spiro, i.e. ring having more than two carbon atoms in common
Condensed ring system	Two rings are "condensed" if they share at least one ring member, i.e. "spiro" and "bridged" are considered as condensed.
"Number of rings" in a condensed ring system	Number of scissions necessary to convert the ring system into one acyclic chain
Organic compound	A compound satisfying one of the following criteria:- at least two carbon atoms bonded to each other, or- one carbon atom bonded to at least one hydrogen atom or halogen atom, or- one carbon atom bonded to at least one nitrogen atom by a single or double bond. Exceptions to the above criteria are: compounds consisting of only carbon atoms (e.g., fullerenes, etc.), cyanogen, cyanogen halides, cyanamide, metal carbides, phosgene, thiophosgene, hydrocyanic acid, isocyanic acid, isothiocyanic acid, fulminic acid, unsubstituted carbamic acid, and salts of the previously mentioned acids; these exceptions are considered to be inorganic compounds for classification purposes
Polycyclic	Containing two or more rings, condensed or isolated, e.g. a naphthyl ring or two isolated phenyl rings
Preparation	Covers synthesis, purification, separation, stabilisation or use of additives, unless a separate place is provided in the classification scheme
Quinones	Only compounds which can be considered oxidation products of aromatic compounds (hydroquinones) are encompassed (acenaphthenequinone or camphorquinone are not considered as quinones)

# C07C 1/00

# Preparation of hydrocarbons from one or more compounds, none of them being a hydrocarbon

# **Definition statement**

This place covers:

Processes for the preparation of hydrocarbons, i.e. compounds containing only the elements of carbon and hydrogen, from compounds containing exclusively or additionally elements other than carbon and hydrogen.

# Relationships with other classification places

Catalysts are classified in B01J 21/00 - B01J 49/90.

Relationships with other classification places

These classes or corresponding Indexing Codes <u>B01J</u> are often given also if the catalyst itself is not claimed, but preparation examples for the catalyst are described, or if the process is mainly characterized by the specific catalyst used.

Reactors are classified in B01J 3/00 - B01J 19/32.

However, the reactor has to be described in terms of distinctive reactor features. If the reactor is merely described by features specifying the reaction to be carried out in the reactor, or the content of the reactor (for example the catalyst) rather than by technical reactor features, usually no class <a href="B01J">B01J</a> for the reactor is given.

General separation/purification methods or a specific apparatus for the separation/purification are classified in B01D.

However, if the separation/purification relates to a standard method for specific <u>C07C</u> compounds, then no <u>B01D</u> class is given.

If the claims cover both the preparation of more specific hydrocarbon mixtures (i.e. singly identifiable compounds, e.g. lower olefins) and hydrocarbon mixtures of undefined composition, and the examples describe also the preparation of specific hydrocarbons, both C07C and C10G classes are given.

The description of the mixtures as fuel, diesel, or kerosene mixture or a definition by indicating a boiling range (20-200°C, or C5+ cut) is often an indicator that the process is not classified in C07C.

There is no clear line between a specific hydrocarbon and an undefined composition. While the expression "lower olefins" can be considered to describe a mixture of individually defined compounds and usually means ethylene and propylene, this is not the case for the expression "paraffins". In the "grey zone", both COTC and C10G classes are given.

#### References

#### Limiting references

This place does not cover:

Processes for the preparation of hydrocarbon mixtures where the mixture rather than the individual hydrocarbons is the desired product, e.g. Fischer Tropsch processes for the preparation of hydrocarbon mixtures.	C10G, e.g. C10G 2/30
Preparation of acetylene gas by wet methods (e.g. acetylene from CaC <sub>2</sub> )	<u>C10H</u>
Processes for the preparation of synthetic natural gas	C10L 3/06
Processes for the preparation of liquefied petroleum gas (LPG)	C10L 3/12

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

General methods for purification/separation	<u>B01D</u>
Reactors	B01J 3/00- B01J 19/32
Catalysts	B01J 21/00- B01J 49/90
Cracking of hydrocarbon oils; production of hydrocarbon mixtures; refining mixtures mainly consisting of hydrocarbons; reforming of naphtha; mineral waxes	<u>C10G</u>

#### Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 1/24</u>, <u>C07C 11/04</u> for the preparation of ethylene from ethanol by elimination of water). A combination set consists of a process group (e.g. <u>C07C 1/24</u>), followed by and linked to the group of the product (e.g. <u>C07C 11/04</u>). The products are selected from the corresponding product groups <u>C07C 9/00 - C07C 15/00</u>.

#### Multi-step processes

Multi-step processes are classified as processes according to the specific rules of the subclass CO7C, i.e. the last reaction step leading to the end product is always classified. Other reaction steps are also classified if they are not entirely trivial (such as the removal of a protecting group) or if they could be useful for future searches.

Please note that in the past, multi-step processes leading to hydrocarbon compounds had been classified in the corresponding product group for the final end product. These documents are continuously being reclassified. However, for the time being, a complete search should involve searching the product groups.

Indexing Codes for the catalysts

In addition to the Indexing Codes  $\underline{\text{C07C 2601/00}}$  -  $\underline{\text{C07C 2604/00}}$  used over the whole of  $\underline{\text{C07C}}$  to describe rings or ring systems which might be present in the compounds (prepared or claimed per se), the Indexing Codes  $\underline{\text{C07C 2521/00}}$  -  $\underline{\text{C07C 2531/38}}$  are used to describe the catalysts used in preparation processes classified in  $\underline{\text{C07C 1/00}}$  -  $\underline{\text{C07C 6/126}}$ .

These Indexing Codes are added irrespective of whether or not the document is also to be classified in the field of B01J for the catalysts.

More detailed rules for the use of these catalyst Indexing Codes is further explained in the Special rules of classification under C07C 2521/00.

CO7C 1/02 - CO7C 1/12: These classes are rarely used since e.g. Fischer-Tropsch processes wherein CO is reacted with hydrogen normally leads to the preparation of a hydrocarbon mixture rather than to specific hydrocarbon compounds. The process is for example classified in these classes if the process aims at the preparation of specific compounds or a small group of separately identifiable compounds (e.g. lower olefins).

CO7C 1/08: preparation of iso-compounds (e.g. isoparaffins)

CO7C 1/20: e.g. Oxygenate to olefin (OTO) and methanol to olefin (MTO) processes

C07C 1/321: non-metal atoms such as B or Si

Coupling reactions with boronic acid derivatives are classified in this class.

C07C 1/326: for example Grignard reactions

CO7C 1/36: by splitting of esters other than carboxylic acid esters only (for example sulfuric acid esters)

Reactions involving the splitting of carboxylic acid esters are classified in C07C 1/213.

# **Synonyms and Keywords**

In patent documents, the following abbreviations are often used:

OTO process	Oxygenate to olefin process
MTO process	Methanol to olefin process

## C07C 2/00

Preparation of hydrocarbons from hydrocarbons containing a smaller number of carbon atoms (redistribution reactions involving splitting C07C 6/00)

#### **Definition statement**

This place covers:

Processes for the preparation of hydrocarbons (i.e. compounds containing only the elements of carbon and hydrogen) from compounds at least one of which is a hydrocarbon. The reactions involve an increase in the number of carbon atoms in the skeleton. Processes involving the reaction between a hydrocarbon and a non-hydrocarbon are also classified under this group.

# Relationships with other classification places

The following rules of thumb can help to decide whether a process is to be classified in <u>C07C</u> (dimerization and oligomerization) or <u>C08F</u> (polymerization):

Products are considered oligomers rather than polymers if:

- the molecular weight is smaller than 1000 g/mol;
- the number of repeating units is ≤ 10;
- the product is not solid.

The indication of the viscosity of the product is an indication that it might be rather polymeric in nature.

#### References

# Limiting references

This place does not cover:

Polymerization reactions, for example ring-opening metathesis polymerization (ROMP)	<u>C08</u>
Refining of hydrocarbon oils by reaction with hydrocarbons, i.e. by alkylation	C10G 29/205
Production of liquid hydrocarbon mixtures from lower carbon number hydrocarbons, e.g. by oligomerization	C10G 50/00

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

General methods for purification/separation	<u>B01D</u>
Reactors	B01J 3/00- B01J 19/32
Catalysts	B01J 21/00- B01J 49/90

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process. A combination set consists of a process group, followed by and linked to the group of the product. The products are selected from the corresponding product groups C07C 9/00 - C07C 15/00.

Multi-step processes

Multi-step processes are classified as processes according to the specific rules of the subclass <u>C07C</u>, i.e. the last reaction step leading to the end product is always classified. Other reaction steps are also classified if they are not entirely trivial (such as the removal of a protecting group) or if they could be useful for future searches.

Please note that in the past, multi-step processes leading to hydrocarbon compounds had been classified in the corresponding product group for the final end product. These documents are continuously being reclassified. However, for the time being, a complete search should involve searching the product groups.

Indexing Codes for the catalysts used

The Indexing Codes C07C 2521/00 - C07C 2531/38 are used to describe the catalysts used in the preparation processes.

These Indexing Codes are added irrespective of whether or not the document is also to be classified in the field of <u>B01J</u> for the catalysts.

More detailed rules for the use of these catalyst Indexing Codes is further explained in the Special rules of classification under C07C 2521/00.

C07C 2/04: dimerization is also encompassed

CO7C 2/30: processes wherein the olefin is added to the catalyst are classified in CO7C 2/88 (growth and elimination reactions)

 $\underline{\text{C07C 2/34}}$ : metal-hydrocarbon  $\pi$ -complexes only, for example metallocenes or complexes containing 1,5-cyclooctadiene (COD) ligands

C07C 2/62: reactions using protonic acids as the catalyst only

CO7C 2/70: reactions using protonic acids as the catalyst only

C07C 2/76: for example reactions of the type

ethane/ethene -> benzene or

methane -> aromatic hydrocarbons such as benzene

Please note: Reactions of the type 2 CH<sub>4</sub> + C<sub>4</sub>H<sub>10</sub> -> C<sub>2</sub>H<sub>6</sub> + C<sub>3</sub>H<sub>8</sub>

are classified in C07C 6/10.

<u>C07C 2/78</u>: for example reactions of the type  $CH_4 + 3/2 O_2 \rightarrow HC = CH + 3 H_2O$ 

CO7C 2/80: for example condensation reactions with the aid of an electric arc / arc discharge

COTC 2/82: condensation reactions of hydrocarbons using an oxidant such as oxygen or ozone

CO7C 2/88: the most common catalysts used for growth and elimination reactions are AIR3 and ZnR2

## C07C 4/00

Preparation of hydrocarbons from hydrocarbons containing a larger number of carbon atoms (redistribution reactions involving splitting <u>C07C 6/00</u>; cracking hydrocarbon oils <u>C10G</u>)

#### **Definition statement**

This place covers:

Processes for the preparation of hydrocarbons (i.e. compounds containing only the elements of carbon and hydrogen) from hydrocarbons involving a decrease in the number of carbon atoms in the skeleton.

# Relationships with other classification places

A cracking reaction is only classified in <u>C07C</u> if a single hydrocarbon or a mixture of individually defined hydrocarbons is the educt. All other hydrocarbon cracking reactions are classified in <u>C10G</u>.

## References

## Limiting references

This place does not cover:

Cracking of hydrocarbon mixtures such as hydrocarbon oils or waxes	C10G ( C10G 9/00,
	C10G 11/00,
	C10G 15/00, C10G 47/00,
	C10G 51/00)

## Informative references

Attention is drawn to the following places, which may be of interest for search:

General methods for purification/separation	<u>B01D</u>
Reactors	B01J 3/00- B01J 19/32
Catalysts	B01J 21/00- B01J 49/90

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process. A combination set consists of a process group, followed by and linked to the group of the product. The products are selected from the corresponding product groups CO7C 9/00 - CO7C 15/00.

Multi-step processes

Multi-step processes are classified as processes according to the specific rules of the subclass CO7C, i.e. the last reaction step leading to the end product is always classified. Other reaction steps are also classified if they are not entirely trivial (such as the removal of a protecting group) or if they could be useful for future searches.

Please note that in the past, multi-step processes leading to hydrocarbon compounds had been classified in the corresponding product group for the final end product. These documents are continuously being reclassified. However, for the time being, a complete search should involve searching the product groups.

CPC - C07C - 2023.08

Special rules of classification

Indexing Codes for the catalysts used

The Indexing Codes CO7C 2521/00 - CO7C 2531/38 are used to describe the catalysts used in the preparation processes.

These Indexing Codes are added irrespective of whether or not the document is also to be classified in the field of <a href="B01J">B01J</a> for the catalysts.

More detailed rules for the use of these catalyst Indexing Codes is further explained in the Special rules of classification under C07C 2521/00.

C07C 4/025: The heat needed in the process is provided by "burning" part of the feed

## C07C 5/00

# Preparation of hydrocarbons from hydrocarbons containing the same number of carbon atoms

#### **Definition statement**

This place covers:

Processes for the preparation of hydrocarbons (i.e. compounds containing only the elements of carbon and hydrogen) from hydrocarbons involving neither a decrease nor an increase of the number of carbon atoms in the skeleton.

This group covers hydrogenation and dehydrogenation reactions as well as isomerizations.

## Relationships with other classification places

This group only covers processes aiming at the preparation of a single hydrocarbon or a mixture of individually defined hydrocarbons.

If a process is for example directed to the isomerization of a hydrocarbon mixture with the aim of obtaining a mixture (usually gasoline or fuel mixtures) having a higher octane number, said process is classified in <a href="C10G">C10G</a> exclusively.

#### References

## Limiting references

This place does not cover:

Hydrogenation of unsaturated compounds with the intention to eliminate them, e.g. the selective hydrogenation of butadiene or acetylene present in a hydrocarbon or mixture of hydrocarbons for purification purposes	C07C 7/163, C07C 7/167
	C10G, e.g. C10G 35/00, C10G 45/58

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

General methods for purification/separation	<u>B01D</u>
Reactors	B01J 3/00- B01J 19/32
Catalysts	B01J 21/00- B01J 49/90

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process. A combination set consists of a process group, followed by and linked to the group of the product. The products are selected from the corresponding product groups CO7C 9/00 - CO7C 15/00.

Multi-step processes

Multi-step processes are classified as processes according to the specific rules of the subclass <u>C07C</u>, i.e. the last reaction step leading to the end product is always classified. Other reaction steps are also classified if they are not entirely trivial (such as the removal of a protecting group) or if they could be useful for future searches.

Please note that in the past, multi-step processes leading to hydrocarbon compounds had been classified in the corresponding product group for the final end product. These documents are continuously being reclassified. However, for the time being, a complete search should involve searching the product groups.

Indexing Codes for the catalysts used

The Indexing Codes CO7C 2521/00 - CO7C 2531/38 are used to describe the catalysts used in the preparation processes.

These Indexing Codes are added irrespective of whether or not the document is also to be classified in the field of <u>B01J</u> for the catalysts.

More detailed rules for the use of these catalyst Indexing Codes is further explained in the Special rules of classification under C07C 2521/00.

Hydrogenation:

C07C 5/03: for example styrene -> ethylbenzene

C07C 5/10: for example styrene -> ethylcyclohexane

CO7C 5/11: covers all hydrogenation reactions in which at least one of the aromatic bonds of a compound is not hydrogenated

Examples:

benzene -> cyclohexene;

biphenyl -> cyclohexylbenzene

<u>C07C 5/22</u>: This subgroup covers hydrogenation reactions wherein the carbon skeleton is modified during the reaction.

Examples:

cyclohexane -> n-hexane

n-butene -> isobutane

Isomerization:

If it is not entirely clear what happens during an isomerisation reaction, the process is classified in CO7C 5/2206 and subgroups thereof.

Cis-trans-isomerization reactions are classified in  $\underline{\text{CO7C 5/22}}$  or  $\underline{\text{CO7C 5/2206}}$  and subgroups thereof, depending on the catalyst used.

Isomerization reactions of saturated compounds (e.g. linear alkanes) are classified in C07C 5/2702 or subgroups thereof since they involve both changing the branching point of an open chain and changing the number of side-chains.

Isomerization reactions involving the conversion of a mixture of C8 alkylaromatic compounds to another mixture (usually for the preparation of xylenes) are classified in <a href="C07C 5/2702">C07C 5/2702</a> or subgroups thereof since they involve both changing the point of substitution on a ring and changing the number of side-chains.

Isomerization reactions with simultaneous hydrogenation are classified in C07C 5/13.

Isomerization reactions with simultaneous dehydrogenation are classified in <u>C07C 5/373</u> and subgroups thereof.

C07C 5/2702: This class (and subgroups thereof, depending on the catalyst used) covers

- catalytic isomerization processes involving the rearrangement of carbon atoms in the hydrocarbon skeleton not covered by <u>C07C 5/2732</u> - <u>C07C 5/31</u>
- catalytic isomerization processes involving the rearrangement of carbon atoms in the hydrocarbon skeleton covered by both <u>C07C 5/2732</u> or subgroups thereof and <u>C07C 5/277</u> or subgroups thereof

C07C 5/2718: for example with BF3-ether complex

<u>C07C 5/29</u>: for example the isomerization of a ring system containing 3 condensed rings (trimethylenenorbornane) to adamantane

#### Dehydrogenation:

A distinction is made between dehydrogenation reactions with formation of free hydrogen (C07C 5/32 and subgroups thereof) and dehydrogenation reactions with a hydrogen acceptor (C07C 5/42 and subgroups thereof; often called oxidative dehydrogenation).

C07C 5/327, C07C 5/3337: for example preparation of alkenes, or styrene from ethylbenzene

<u>C07C 5/56</u>: Both oxygen and the halogen or halogen compound should react with the hydrogen. This is difficult to find out. In most of the documents classified in this subgroup, the role of the halogen or halogen compound is not clear.

# C07C 6/00

# Preparation of hydrocarbons from hydrocarbons containing a different number of carbon atoms by redistribution reactions

#### **Definition statement**

This place covers:

Processes for the preparation of hydrocarbons from educts at least one of which is a hydrocarbon, wherein both an increase and a decrease in the number of carbon atoms occurs.

## Relationships with other classification places

This group only covers processes aiming at the preparation of a single hydrocarbon or a mixture of individually defined hydrocarbons.

If a process is for example directed to the preparation of a hydrocarbon mixture with the aim of obtaining a mixture rather than individual hydrocarbon compounds (e.g. for use as gasoline, kerosine or fuel), said process is classified in <a href="C10G">C10G</a> exclusively.

#### References

#### Limiting references

This place does not cover:

mixtures such as oils;	C10G, e.g. C10G 9/00, C10G 11/00, C10G 15/00, C10G 47/00
Reforming of naphtha	C10G 35/00

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

General methods for purification/separation	<u>B01D</u>
Reactors	B01J 3/00- B01J 19/32
Catalysts	B01J 21/00- B01J 49/90

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process. A combination set consists of a process group, followed by and linked to the group of the product. The products are selected from the corresponding product groups C07C 9/00 - C07C 15/00.

Multi-step processes

Multi-step processes are classified as processes according to the specific rules of the subclass <u>C07C</u>, i.e. the last reaction step leading to the end product is always classified. Other reaction steps are also classified if they are not entirely trivial (such as the removal of a protecting group) or if they could be useful for future searches.

Please note that in the past, multi-step processes leading to hydrocarbon compounds had been classified in the corresponding product group for the final end product. These documents are continuously being reclassified. However, for the time being, a complete search should involve searching the product groups.

Indexing Codes for the catalysts used

The Indexing Codes  $\underline{\text{C07C }2521/00}$  -  $\underline{\text{C07C }2531/38}$  are used to describe the catalysts used in the preparation processes.

These Indexing Codes are added irrespective of whether or not the document is also to be classified in the field of <a href="B01J">B01J</a> for the catalysts.

More detailed rules for the use of these catalyst Indexing Codes is further explained in the Special rules of classification under C07C 2521/00.

<u>C07C 6/04</u>: Most documents in this class relate to the preparation of olefins either by self-metathesis of only one alkene, or cross-metathesis of two or more alkenes.

The metathesis reaction between an olefin (i.e. a hydrocarbon) and a non-hydrocarbon having an olefinic double-bond is also classified in this subgroup. Example: Ethenolysis of unsaturated fatty acids/esters.

C07C 6/10: This reaction is also called disproportionation or metathesis of alkanes. Examples:

methane + butane -> ethane + propane

isobutane + isobutane -> 2,3-dimethylbutane + ethane

C07C 6/123: for example disproportionation of toluene to benzene and xylene; also called dismutation

C07C 6/126: for example preparation of xylenes by transalkylation between benzene and C9 aromatics

## **Synonyms and Keywords**

In patent documents, the following words/expressions are often used as synonyms:

• "metathesis", "disproportionation" and "transalkylation"

## C07C 7/00

Purification; Separation; Use of additives (working-up undefined gaseous mixtures obtained by cracking hydrocarbon oils C10G 70/00)

#### **Definition statement**

This place covers:

Processes for the purification, separation and stabilization of compounds containing the elements carbon and hydrogen exclusively.

# Relationships with other classification places

This group only covers processes aiming at the purification, separation or stabilization of a single hydrocarbon or a mixture of individually defined hydrocarbons.

If a process is for example directed to the working-up of a hydrocarbon mixture with the aim of obtaining a mixture rather than individual hydrocarbon compounds, said process is classified in <u>C10G</u>.

#### References

#### Limiting references

This place does not cover:

Working-up (separation, purification) of hydrocarbon mixtures obtained by cracking of mixtures of undefined composition (e.g. petroleum, naphtha)	C10G, e.g. C10G 70/00
Working-up natural gas or synthetic natural gas	C10L 3/10, F25J 3/061

## Informative references

Attention is drawn to the following places, which may be of interest for search:

General methods for purification/separation	<u>B01D</u>
Reactors	B01J 3/00- B01J 19/32
Catalysts	B01J 21/00-, B01J 49/90

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process. A combination set consists of a process group, followed by and linked to the group of the product. The products are selected from the corresponding product groups CO7C 9/00 - CO7C 15/00.

Please note: No catalyst Indexing Codes <u>C07C 2521/00</u> - <u>C07C 2531/38</u> are used in combination with processes for the purification, separation or stabilization classified in <u>C07C 7/00</u> and subgroups.

Multi-step purification/separation processes

Multi-step processes are classified in  $\underline{\text{CO7C 7/005}}$ . In addition, the individual steps can be classified separately if of interest.

C07C 7/13: for example by selective adsorption on zeolites

COTC 7/148: in the Note to this subgroup, the expression "contact masses" means catalyst supports etc.

<u>C07C 7/14833</u>: This subgroup does not cover processes involving metals as catalysts, but reacting metals only.

C07C 7/163, C07C 7/167: processes for the purification of hydrocarbons by selective hydrogenation of compounds containing double or triple bonds are sometimes formulated as hydrogenation reactions. In order to decide whether the reaction is a hydrogenation reaction to be classified under C07C 5/02 and subgroups thereof or a purification by hydrogenation, it should be checked whether the aim of the process is the elimination of the compound to be hydrogenated, or the hydrogenation product is the desired compound.

#### Example:

Combination set <u>C07C 7/167</u>, <u>C07C 11/06</u> purification of propene by selective hydrogenation of acetylenic impurities (the aim is the removal of compounds containing a triple bond)

C07C 7/20: covers also the addition of polymerization inhibitors

## C07C 9/00

#### Aliphatic saturated hydrocarbons

#### **Definition statement**

This place covers:

Non-cyclic saturated compounds containing the elements of carbon and hydrogen exclusively.

#### Relationships with other classification places

Hydrocarbon mixtures where the mixture rather than the individual hydrocarbons is the desired product, or hydrocarbon mixtures of undefined composition wherein the components cannot be singly identified, are classified in C10G.

The description of the mixtures as fuel, diesel, or kerosene mixture or a definition by indicating a boiling range (20-200°C, or C5+ cut) is often an indicator that the composition is to be classified in C10G rather than C07C.

#### References

#### Limiting references

This place does not cover:

Preparation of methane by biological treatment of sludge/sewage	C02F 11/04
Natural gas or synthetic natural gas	C10L 3/06

Liquefied petroleum gas (LPG)  C10L 3/12	
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The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 1/00 - C07C 7/00.

<u>C07C 9/21</u>: 2,2,4-Trimethylpentane, also called isooctane, is an important component of gasoline and is used as an anti-knock agent. It is usually prepared by dimerization of isobutylene followed by hydrogenation of the obtained mixture of iso-octenes.

## **Synonyms and Keywords**

In patent documents, the following abbreviations are often used:

LPG	Liquefied Petroleum Gas
LNG	Liquefied Natural Gas
NGL	Natural Gas Liquids

## C07C 11/00

# Aliphatic unsaturated hydrocarbons

#### **Definition statement**

This place covers:

Non-cyclic unsaturated compounds containing the elements of carbon and hydrogen exclusively.

## Relationships with other classification places

Hydrocarbon mixtures where the mixture rather than the individual hydrocarbons is the desired product, or hydrocarbon mixtures of undefined composition wherein the components cannot be singly identified, are classified in <u>C10G</u>.

The expression "lower olefins" is considered to be sufficiently specific (it usually means mainly ethylene and propylene) and is classified at least additionally in <u>C07C</u>.

The description of a mixture via the boiling range (20-200°C, or C5+ cut) is often an indicator that the composition is to be classified in C10G rather than C07C.

#### References

## Limiting references

This place does not cover:

Preparation of acetylene gas by wet methods (e.g. acetylene from CaC2)	<u>C10H</u>
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# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of  $\frac{\text{C07C 1/00}}{\text{C07C 1/00}}$ .

C07C 11/30: butenyne is also called vinylacetylene

#### C07C 13/00

# Cyclic hydrocarbons containing rings other than, or in addition to, sixmembered aromatic rings

## **Definition statement**

This place covers:

Hydrocarbons (i.e. compounds containing the elements carbon and hydrogen exclusively) containing at least one non-aromatic ring or ring system.

# Relationships with other classification places

Hydrocarbon mixtures where the mixture rather than the individual hydrocarbons is the desired product, or hydrocarbon mixtures of undefined composition wherein the components cannot be singly identified, are classified in C10G.

The description of the mixtures as fuel, diesel, or kerosene mixture or a definition by indicating a boiling range (20-200°C, or C5+ cut) is often an indicator that the composition is to be classified in C10G rather than C07C.

#### References

#### Limiting references

This place does not cover:

Cyclic hydrocarbons containing only aromatic rings or ring systems	C07C 15/00
Liquid crystalline compounds	C09K 19/00

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Organic luminescent materials	C09K 11/06
Organic light-emitting diodes (OLEDs)	H10K 50/00

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 1/00 - C07C 7/00.

Indexing Codes for the ring system(s) CO7C 2601/00 - CO7C 2604/00 are given.

Compounds containing an aromatic ring or ring system in addition to a non-aromatic ring or ring system are classified in <u>C07C 13/28</u> and subgroups thereof since such compounds necessarily contain two or more rings or ring systems, i.e. they are polycyclic.

However, it is the nature of the non-aromatic ring or ring system exclusively which determines the classification in these subgroups.

<u>C07C 13/28</u>: The wording "or acyclic derivatives thereof" in the title of this subgroup is also used in the title of the corresponding IPC group, but is meaningless and should be disregarded.

Compounds containing two or more rings, at least one of which is a non-condensed non-aromatic ring, are classified in this group CO7C 13/28.

Examples: cyclohexyl-CH<sub>2</sub>-cyclohexyl or cyclohexyl-CH<sub>2</sub>-phenyl

All compounds containing at least one condensed ring wherein at least one of the condensed rings in the ring system is not a 6-membered aromatic ring are classified in <u>C07C 13/32-C07C 13/72</u>.

## C07C 15/00

# Cyclic hydrocarbons containing only six-membered aromatic rings as cyclic parts

#### **Definition statement**

This place covers:

Cyclic hydrocarbons (i.e. cyclic compounds containing the elements carbon and hydrogen exclusively) containing only aromatic rings or ring systems. The compounds can contain one or more rings which can be condensed or non-condensed.

## Relationships with other classification places

Hydrocarbon mixtures where the mixture rather than the individual hydrocarbons is the desired product, or hydrocarbon mixtures of undefined composition wherein the components cannot be singly identified, are classified in C10G.

The expression "aromatics", for example, is usually not considered as describing a mixture of individually defined or identifiable components.

The description of the mixtures as fuel, diesel, or kerosene mixture or a definition by indicating a boiling range (20-200°C, or C5+ cut) is often an indicator that the composition is to be classified in C10G rather than C07C.

#### References

#### Limiting references

This place does not cover:

Cyclic hydrocarbons containing one or more non-aromatic rings or ring systems	C07C 13/00
Liquid crystalline compounds	C09K 19/00

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Organic luminescent materials	C09K 11/06
Organic light-emitting diodes (OLEDs)	H10K 50/00

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of  $\frac{\text{C07C 1/00}}{\text{C07C 1/00}}$ .

Indexing Codes for the ring system(s) <u>C07C 2603/00</u> - <u>C07C 2603/92</u> are given for aromatic rings or ring systems other than benzene, naphthalene and biphenyl.

<u>C07C 15/20</u>: Polycyclic aromatic condensed hydrocarbons with at least one ring system containing 5 or more rings condensed together are classified in this group (compounds containing 2, 3 or 4 condensed rings are classified in C07C 15/24-C07C 15/38).

Exception: polycyclic aromatic condensed hydrocarbons substituted by one or more unsaturated hydrocarbon radicals are classified in <u>C07C 15/56</u> and subgroups thereof.

C07C 15/40: In this subgroup, "unsaturated carbon radicals" are hydrocarbon chains containing one or more double and/or triple bonds. Unsaturated non-aromatic cyclic moieties would be classified in C07C 13/00 and subgroups thereof. Aromatic moieties, even though normally considered unsaturated hydrocarbon groups, are not considered to be unsaturated carbon radicals in this context.

C07C 15/58: containing two rings in the condensed ring system

C07C 15/60: containing three rings in the condensed ring system

C07C 15/62: containing four rings in the condensed ring system

## C07C 17/00

## Preparation of halogenated hydrocarbons

## **Definition statement**

This place covers:

Processes for the preparation of compounds containing the elements of carbon, hydrogen and halogen exclusively.

## Relationships with other classification places

General methods for the halogenation of organic compounds are additionally classified in C07B 39/00.

If a process relates to the separation of halogenated compounds from hydrogen halides such as HF, classification in <u>C01B 7/00</u> and subgroups thereof should also be considered (e.g. <u>C01B 7/196</u> for the separation/purification of HF by distillation).

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process. A combination set consists of a process group, followed by and linked to the group of the product. The products are selected from the corresponding product groups C07C 19/00 - C07C 25/00.

#### Example:

Combination set CO7C 17/25, CO7C 21/06 preparation of vinyl chloride by splitting off HCl from 1,2-dichloroethane

C07C 17/093: Examples for reactions classified in C07C 17/093:

 $R-COOH \rightarrow RCF_3$ ;

 $F_2C=S \rightarrow CF_4$ 

<u>C07C 17/18</u>: replacement of oxygen atoms of carbonyl groups of aldehydes or ketones (the replacement of oxygen atoms in carboxy groups is classified in <u>C07C 17/093</u>)

C07C 17/156: of compounds having double and/or triple bonds including aromatic compounds.

Oxychlorination reactions of the type

 $CH_2=CH_2+CI_2->CICH_2-CI_2-CI$  (in the presence of oxygen)

are also encompassed even though an addition rather than a replacement by halogen takes place.

C07C 17/21: Example: CCl<sub>2</sub>=CHCl + HF -> CF<sub>3</sub>-CH<sub>2</sub>Cl

<u>C07C 17/263</u>: A condensation reaction is a chemical reaction in which two molecules having functional groups combine to form one single molecule, together with the loss of a small molecule.

Examples: Condensation reactions with organozinc compounds and coupling reactions with boronic acid derivatives are classified in this class.

Please note: Reactions involving the introduction of halogenated alkyl groups into ring compounds are classified in C07C 17/32.

<u>C07C 17/272</u>: An addition reaction is a chemical reaction in which two molecules combine to form one single molecule. In contrast to a condensation reaction, the addition reaction does not lead to the loss of a small molecule.

Example: Addition or insertion of carbenes

C07C 17/32: for example  $C_6H_6 + CCI_4 (+HF) -> C_6H_5-CF_3$ 

<u>CO7C 17/35</u>: for example dehydration reactions or reactions involving the elimination of functional groups (-OH -> H; -NH<sub>2</sub> -> H; SO<sub>3</sub>H -> H; RSO<sub>2</sub>CI -> RCI) are classified in this class.

 $\underline{\text{C07C } 17/361}$ : for example  $\text{CH}_2\text{F-OCH}_2\text{F} \rightarrow \text{CH}_2\text{F}_2$ 

C07C 17/363: for example R-O(C=O)-CI -> RCI; R-O(C=O)-CI -> RF

C07C 17/37: i.e. the transhalogenation with only one reactant; this reaction is also called dismutation

C07C 17/383: encompasses also vaporisation

C07C 17/386: encompasses also reactive distillation

#### Synonyms and Keywords

In patent documents, the following abbreviations are often used:

CFCs	Chlorofluorocarbons
HCFCs	Hydrochlorofluorocarbons
HFCs	Hydrofluorocarbons

A list of abbreviations for specific halogenated hydrocarbons can be found under the corresponding product subclasses.

## C07C 19/00

## Acyclic saturated compounds containing halogen atoms

#### **Definition statement**

This place covers:

Non-cyclic saturated compounds containing the elements of carbon, hydrogen and halogen exclusively

# Relationships with other classification places

Compositions defined as containing at least two components are normally not classified in <u>CO7C</u>, but in the corresponding use fields only.

Example: Azeotrope or azeotrope-like compositions containing a halogenated hydrocarbon and one or more further compounds (for example a second halogenated hydrocarbon or HF) used as refrigerants are classified in C09K 5/00 and subgroups thereof.

Exception: If the only use of an azeotrope composition is the separation/purification of halogenated hydrocarbons, said azeotrope is classified in C07C.

#### References

#### Limiting references

This place does not cover:

Blowing agents (for polymers) containing organic halogen compounds	C08J 9/143
Materials for aerosols, propellants	C09K 3/30
Heat transfer agents such as refrigerants comprising halogenated organic compounds	C09K 5/00
Organic fireproofing materials containing halogen	C09K 21/08
Lubricating compositions	<u>C10M</u>
Cleaning or de-greasing metallic material using organic solvents containing halogenated hydrocarbons	C23G 5/028

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under CO7C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of CO7C 17/00.

C07C 19/03: Chloromethanes containing 1 or 2 Cl atoms, i.e. CH<sub>3</sub>Cl or CH<sub>2</sub>Cl<sub>2</sub>

## Synonyms and Keywords

In patent documents, the following abbreviations are often used:

CFCs	ChloroFluorCarbons
HCFCs	HydroChloroFluoroCarbons
HFCs	HydroFluoroCarbons

# for saturated halogenated hydrocarbons:

Abbroviction	Structures Name
Abbreviation	Structure; Name
HFC-32	CF <sub>2</sub> H <sub>2</sub> ; difluoromethane
CFC-11 (or Freon-11, R-11)	CCI <sub>3</sub> F; trichlorofluoromethane
CFC-12 (or Freon-12, R-12)	CCl <sub>2</sub> F <sub>2</sub> ; dichlorodifluoromethane
CFC-13 (or Freon-13, R-13)	CCIF <sub>3</sub> ; chlorotrifluoromethane
HCFC-22 (or R-22)	CHCIF <sub>2</sub> ; chlorodifluoromethane
HCFC-21 (or R-21)	CHCl <sub>2</sub> F; dichlorofluoromethane
HCFC-31 (or Freon 31, R-31)	CH <sub>2</sub> CIF; chlorofluoromethane
H-1211 (or BCF, Halon 1211, Freon 12B1)	CBrClF <sub>2</sub> ; bromochlorodifluoro-methane
HFC-152a	CHF <sub>2</sub> CH <sub>3</sub> ; 1,1-difluoroethane
HFC-143a	CF <sub>3</sub> CH <sub>3</sub> ; 1,1,1-trifluoroethane
HFC-134	CHF <sub>2</sub> CHF <sub>2</sub> ; 1,1,2,2-tetrafluoroethane
HFC-134a (or R-134a)	CF <sub>3</sub> CH <sub>2</sub> F; 1,1,1,2-tetrafluoroethane
HFC-125	CF <sub>3</sub> CHF <sub>2</sub> ; 1,1,1,2,2-pentafluoroethane
CFC-111	CCl <sub>3</sub> CCl <sub>2</sub> F; pentachlorofluoroethane
CFC-112 (or Freon 112, R-112)	CCl₂FCCl₂F; tetrachloro-1,2-difluoroethane
CFC-112a (or Freon 112a, R-112a)	CCIF <sub>2</sub> CCI <sub>3</sub> ; tetrachloro-1,1-difluoroethane
CFC-113 (or Freon 113, R-113)	Cl <sub>2</sub> FC-CCIF <sub>2</sub> ; 1,1,2-trichloro-1,2,2-trifluoroethane
CFC-113a (or Freon 113a, R-113a)	Cl <sub>3</sub> C-CF <sub>3</sub> ; 1,1,1-trichloro-2,2,2-trifluoroethane
CFC-114 (or Freon 114, R-114)	CIF <sub>2</sub> C-CCIF <sub>2</sub> ; 1,2-dichloro-1,1,2,2-tetrafluoroethane
CFC-114a	CF <sub>3</sub> CCl <sub>2</sub> F; 2,2-dichloro-1,1,1,2-tetrafluoroethane
CFC-115 (or Freon 115, R-115)	CIF <sub>2</sub> C-CF <sub>3</sub> ; 1-chloro-1,1,2,2,2-pentafluoroethane
HCFC-121 (or Freon 121, R-121)	CCl <sub>2</sub> FCHCl <sub>2</sub> ; 1,1,2,2-tetrachloro-1-fluoroethane
HCFC-122 (or Freon 122, R-122)	CCIF <sub>2</sub> CHCl <sub>2</sub> ; 1,2,2-trichloro-1,1-difluoroethane
HCFC-123 (or Freon 123, R-123)	CF <sub>3</sub> CHCl <sub>2</sub> ; 2,2-dichloro-1,1,1-trifluoroethane
HCFC-123a	CCIF <sub>2</sub> CHCIF; 1,2-dichloro-1,1,2-trifluoroethane
HCFC-124 (or Freon 124, R-124)	CHFCICF <sub>3</sub> ; 2-chloro-1,1,1,2-tetrafluoroethane
HCFC-124a	CCIF <sub>2</sub> CHF <sub>2</sub> ; 1-chloro-1,1,2,2-tetrafluoroethane
HCFC-131	CHCl <sub>2</sub> CHCIF; 1,1,2-trichloro-2-fluoroethane
HCFC-133	CCIF <sub>2</sub> CH <sub>2</sub> F; 1-chloro-1,1,2-trifluoroethane
HCFC-133a	CF <sub>3</sub> CH <sub>2</sub> CI; 1,1,1-trifluoro-2-chloroethane
HCFC-141b (or Freon 141b, R-141b)	Cl <sub>2</sub> FC-CH <sub>3</sub> ; 1,1-dichloro-1-fluoroethane

HCFC-142b (or Freon 142b, R-142b)	CIF <sub>2</sub> C-CH <sub>3</sub> ; 1-chloro-1,1-difluoroethane
HCFC-151	CH <sub>2</sub> CICH <sub>2</sub> F; 1-chloro-2-fluoroethane
Halon 2311a	CHCIFCBrF <sub>2</sub> ; 1-bromo-2-chloro-1,1,2-trifluoroethane
Halon 2311	CF <sub>3</sub> CHBrCl; 2-bromo-2-chloro-1,1,1-trifluoroethane
HCC-240fa	CCl <sub>3</sub> CH <sub>2</sub> CHCl <sub>2</sub> ; 1,1,1,3,3-pentachloropropane
HFC-245cb	CF <sub>3</sub> CF <sub>2</sub> CH <sub>3</sub> ; 1,1,1,2,2-pentafluoropropane
HFC-245eb	CF <sub>3</sub> CHFCH₂F; 1,1,1,2,3-pentafluoropropane
HFC-245fa	CF <sub>3</sub> CH <sub>2</sub> CHF <sub>2</sub> ; 1,1,1,3,3-pentafluoropropane
HFC-236ea	CF <sub>3</sub> CHFCHF <sub>2</sub> ; 1,1,1,2,3,3-hexafluoropropane
HFC-236fa	CF <sub>3</sub> CH <sub>2</sub> CF <sub>3</sub> ; 1,1,1,3,3,3-hexafluoropropane
HCFC-225ca (or R-225ca)	CF <sub>3</sub> CF <sub>2</sub> CHCl <sub>2</sub> ; 1,1-dichloro-2,2,3,3,3-pentafluoropropane
HCFC-225cb (or R-225cb)	CCIF <sub>2</sub> CF <sub>2</sub> CHCIF; 1,3-dichloro-1,2,2,3,3-pentafluoropropane
HCFC-243	CHCl <sub>2</sub> CH <sub>2</sub> CF <sub>3</sub> ; 1,1-dichloro-3,3,3-trifluoropropane
HCFC-244bb	CF <sub>3</sub> CCIFCH <sub>3</sub> ; 2-chloro-1,1,1,2-tetrafluoropropane
HCFC-235cb	CF <sub>3</sub> CF <sub>2</sub> CH <sub>2</sub> CI; 3-chloro-1,1,1,2,2-pentafluoropropane
HCFC-235fa	CF <sub>3</sub> CHFCHCIF; 1-chloro-1,2,3,3,3-pentafluoropropane
HCFC-261	CH <sub>2</sub> CICCIFCH <sub>3</sub> ; 1,2-dichloro-2-fluoropropane
HCFC-271	CHCIFCH <sub>2</sub> CH <sub>3</sub> ; 1-chloro-1-fluoropropane
HFC-365mfc	CF <sub>3</sub> CH <sub>2</sub> CF <sub>2</sub> CH <sub>3</sub> ; 1,1,1,3,3-pentafluorobutane

# C07C 21/00

# Acyclic unsaturated compounds containing halogen atoms

## **Definition statement**

This place covers:

Non-cyclic unsaturated compounds containing the elements of carbon, hydrogen and halogen exclusively.

# Relationships with other classification places

Compositions defined as containing at least two components are normally not classified in <u>CO7C</u>, but in the corresponding use fields only.

Example: Azeotrope or azeotrope-like compositions containing a halogenated hydrocarbon and one or more further compounds (for example a second halogenated hydrocarbon or HF) used as refrigerants are classified in C09K 5/00 and subgroups thereof.

Exception: If the only use of an azeotrope composition is the separation/purification of halogenated hydrocarbons, said azeotrope is classified in <u>C07C</u>.

#### References

## Limiting references

This place does not cover:

Blowing agents (for polymers) containing organic halogen compounds	C08J 9/143
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Materials for aerosols, propellants	C09K 3/30
Heat transfer agents such as refrigerants comprising halogenated organic compounds	C09K 5/00
Organic fireproofing materials containing halogen	C09K 21/08
Lubricating compositions	<u>C10M</u>
Cleaning or de-greasing metallic material using organic solvents containing halogenated hydrocarbons	C23G 5/028

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under CO7C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of  $\frac{\text{C07C 17}/00}{\text{C07C 17}/00}$ .

C07C 21/08: vinylidene chloride is also called dichloroethylene

# **Synonyms and Keywords**

In patent documents, the following abbreviations are often used:

CFCs	chlorofluorocarbons
HCFCs	hydrochlorofluorocarbons
HFCs	hydrofluorocarbons
F1123	CF <sub>2</sub> =CHF; trifluoroethylene
HFC-1114 (TFE)	CF <sub>2</sub> =CF <sub>2</sub> ; tetrafluoroethylene
CFC-1113	CF <sub>2</sub> =CCIF; chlorotrifluoroethylene
HFC-1216 (HFP)	CF <sub>3</sub> CF=CF <sub>2</sub> ; hexafluoropropene
HFC-1225ye	CF <sub>3</sub> CF=CHF; 1,2,3,3,3-pentafluoro-1-propene
HFC-1225zc	CF <sub>3</sub> CH=CF <sub>2</sub> ; 1,1,3,3,3-pentafluoro-1-propene
HFC-1225yc	CHF <sub>2</sub> CF=CF <sub>2</sub> ; 1,1,2,3,3-pentafluoro-1-propene
HFC-1234ye	CHF <sub>2</sub> CF=CHF; 1,2,3,3-tetrafluoro-1-propene
HFC-1234yf	CF <sub>3</sub> CF=CH <sub>2</sub> ; 2,3,3,3-tetrafluoro-1-propene
HFC-1234ze	CF <sub>3</sub> CH=CHF; 1,3,3,3-tetrafluoro-1-propene
HFC-1234yc	CH <sub>2</sub> FCF=CF <sub>2</sub> ; 1,1,2,3-tetrafluoro-1-propene
HFC-1234zc	CHF <sub>2</sub> CH=CF <sub>2</sub> ; 1,1,3,3-tetrafluoro-1-propene
HFC-1243yf	CHF <sub>2</sub> CF=CH <sub>2</sub> ; 2,3,3-trifluoro-1-propene
HFC-1243zf	CF <sub>3</sub> CH=CH <sub>2</sub> ; 3,3,3-trifluoro-1-propene
HFC-1243yc	CH <sub>3</sub> CF=CF <sub>2</sub> ; 1,1,2-trifluoro-1-propene
HFC-1243zc	CH <sub>2</sub> FCH=CF <sub>2</sub> ; 1,1,3-trifluoro-1-propene
HFC-1243ye	CH <sub>2</sub> FCF=CHF; 1,2,3-trifluoro-1-propene
HFC-1243ze	CHF <sub>2</sub> CH=CHF; 1,3,3-trifluoro-1-propene
HCFC-1233xf	CF <sub>3</sub> CCI=CH <sub>2</sub> ; 2-chloro-3,3,3-trifluoro-1-propene
HCFC-1233zd	CF <sub>3</sub> CH=CHCl; 1-chloro-3,3,3-trifluoro-1-propene

FC-1318my	CF <sub>3</sub> CF=CFCF <sub>3</sub> ; 1,1,1,2,3,4,4,4-octafluoro-2-butene
FC-1318cy	CF <sub>3</sub> CF <sub>2</sub> CF=CF <sub>2</sub> ; 1,1,2,3,3,4,4,4-octafluoro-1-butene
HFC-1327my	CF <sub>3</sub> CF=CHCF <sub>3</sub> ; 1,1,1,2,4,4,4-heptafluoro-2-butene
HFC-1327ye	CHF=CFCF <sub>2</sub> CF <sub>3</sub> ; 1,2,3,3,4,4,4-heptafluoro-1-butene
HFC-1327py	CHF <sub>2</sub> CF=CFCF <sub>3</sub> ; 1,1,1,2,3,4,4-heptafluoro-2-butene
HFC-1327et	(CF <sub>3</sub> ) <sub>2</sub> C=CHF; 1,3,3,3-tetrafluoro-2-(trifluoromethyl)-1-propene
HFC-1327cz	CF <sub>2</sub> CH=CF <sub>2</sub> CF <sub>3</sub> ; 1,1,3,3,4,4,4-heptafluoro-1-butene
HFC-1327cye	CF <sub>2</sub> =CFCHFCF <sub>3</sub> ; 1,1,2,3,4,4,4-heptafluoro-1-butene
HFC-1327cyc	CF <sub>2</sub> =CFCF <sub>2</sub> CHF <sub>2</sub> ; 1,1,2,3,3,4,4-heptafluoro-1-butene
HFC-1336yf	CF <sub>3</sub> CF <sub>2</sub> CF=CH <sub>2</sub> ; 2,3,3,4,4,4-hexafluoro-1-butene
HFC-1336ze	CHF=CHCF <sub>2</sub> CF <sub>3</sub> ; 1,3,3,4,4,4-hexafluoro-1-butene
HFC-1336eye	CHF=CFCHFCF <sub>3</sub> ; 1,2,3,4,4,4-hexafluoro-1-butene
HFC-1336eyc	CHF=CFCF <sub>2</sub> CHF <sub>2</sub> ; 1,2,3,3,4,4-hexafluoro-1-butene
HFC-1336руу	CHF <sub>2</sub> CF=CFCHF <sub>2</sub> ; 1,1,2,3,4,4-hexafluoro-2-butene
HFC-1336qy	CH <sub>2</sub> FCF=CFCF <sub>3</sub> ; 1,1,1,2,3,4-hexafluoro-2-butene
HFC-1336pz	CHF <sub>2</sub> CH=CFCF <sub>3</sub> ; 1,1,1,2,4,4-hexafluoro-2-butene
HFC-1336mzy	CF <sub>3</sub> CH=CFCHF <sub>2</sub> ; 1,1,1,3,4,4-hexafluoro-2-butene
HFC-1336qc	CF <sub>2</sub> =CFCF <sub>2</sub> CH <sub>2</sub> F; 1,1,2,3,3,4- hexafluoro-1-butene
HFC-1336pe	CF <sub>2</sub> =CFCHFCHF <sub>2</sub> ; 1,1,2,3,4,4- hexafluoro-1-butene
HFC-1336ft	CH <sub>2</sub> =C(CF <sub>3</sub> ) <sub>2</sub> ; 3,3,3-trifluoro-2-(trifluoro-methyl)-1-propene
HFC-1345qz	CH <sub>2</sub> FCH=CFCF <sub>3</sub> ; 1,1,1,2,4-pentafluoro-2-butene
HFC-1345mzy	CF <sub>3</sub> CH=CFCH <sub>2</sub> F; 1,1,1,3,4-pentafluoro-2-butene
HFC-1345fz	CF <sub>3</sub> CF <sub>2</sub> CH=CH <sub>2</sub> ; 3,3,4,4,4-pentafluoro-1-butene
HFC-1345mzz	CHF <sub>2</sub> CH=CHCF <sub>3</sub> ; 1,1,1,4,4-pentafluoro-2-butene
HFC-1345sy	CH <sub>3</sub> CF=CFCF <sub>3</sub> ; 1,1,1,2,3-pentafluoro-2-butene
HFC-1345fyc	CH <sub>2</sub> =CFCF <sub>2</sub> CHF <sub>2</sub> ; 2,3,3,4,4-pentafluoro-1-butene
HFC-1345pyz	CHF <sub>2</sub> CF=CHCHF <sub>2</sub> ; 1,1,2,4,4-pentafluoro-2-butene
HFC-1345cyc	CH <sub>3</sub> CF <sub>2</sub> CF=CF <sub>2</sub> ; 1,1,2,3,3-pentafluoro-1-butene
HFC-1345pyy	CH <sub>2</sub> FCF=CFCHF <sub>2</sub> ; 1,1,2,3,4-pentafluoro-2-butene
HFC-1345eyc	CH <sub>2</sub> FCF <sub>2</sub> CF=CF <sub>2</sub> ; 1,2,3,3,4-pentafluoro-1-butene
HFC-1345ctm	CF <sub>2</sub> =C(CF <sub>3</sub> )(CH <sub>3</sub> ); 1,1,3,3,3-pentafluoro-2-methyl-1-propene
HFC-1345ftp	CH <sub>2</sub> =C(CHF <sub>2</sub> )(CF <sub>3</sub> ); 2-(difluoromethyl)-3,3,3-trifluoro-1-propene
HFC-1345fye	CH <sub>2</sub> =CFCHFCF <sub>3</sub> ; 2,3,4,4,4-pentafluoro-1-butene
HFC-1345eyf	CHF=CFCH <sub>2</sub> CF <sub>3</sub> ; 1,2,4,4,4-pentafluoro-1-butene
HFC-1345eze	CHF=CHCHFCF <sub>3</sub> ; 1,3,4,4,4-pentafluoro-1-butene
HFC-1345ezc	CHF=CHCF <sub>2</sub> CHF <sub>2</sub> ; 1,3,3,4,4-pentafluoro-1-butene
HFC-1345eye	CHF=CFCHFCHF <sub>2</sub> ; 1,2,3,4,4-pentafluoro-1-butene
HFC-1354fzc	CH <sub>2</sub> =CHCF <sub>2</sub> CHF <sub>2</sub> ; 3,3,4,4-tetrafluoro-1-butene
HFC-1354ctp	CF <sub>2</sub> =C(CHF <sub>2</sub> )(CH <sub>3</sub> ); 1,1,3,3-tetrafluoro-2-methyl-1-propene
HFC-1354etm	CHF=C(CF <sub>3</sub> )(CH <sub>3</sub> ); 1,3,3,3-tetrafluoro-2-methyl-1-propene

HFC-1354tfp	CH <sub>2</sub> =C(CHF <sub>2</sub> ) <sub>2</sub> ; 2-(difluoromethyl)-3,3-difluoro-1-propene
HFC-1354my	CF <sub>3</sub> CF=CHCH <sub>3</sub> ; 1,1,1,2-tetrafluoro-2-butene
HFC-1354mzy	CH <sub>3</sub> CF=CHCF <sub>3</sub> ; 1,1,1,3-tetrafluoro-2-butene
FC-141-10myy	CF <sub>3</sub> CF=CFCF <sub>2</sub> CF <sub>3</sub> ; 1,1,1,2,3,4,4,5,5,5-decafluoro-2-pentene
FC-141-10cy	CF <sub>2</sub> =CFCF <sub>2</sub> CF <sub>3</sub> ; 1,1,2,3,3,4,4,5,5,5-decafluoro-1-pentene
HFC-1429mzt	(CF <sub>3</sub> ) <sub>2</sub> C=CHCF <sub>3</sub> ; 1,1,1,4,4,4-hexafluoro-2-(trifluoromethyl-2-butene
HFC-1429myz	CF <sub>3</sub> CF=CHCF <sub>2</sub> CF <sub>3</sub> ; 1,1,1,2,4,4,5,5,5-nonafluoro-2-pentene
HFC-1429mzy	CF <sub>3</sub> CH=CFCF <sub>2</sub> CF <sub>3</sub> ; 1,1,1,3,4,4,5,5,5-nonafluoro-2-pentene
HFC-1429eyc	CHF=CFCF <sub>2</sub> CF <sub>3</sub> ; 1,2,3,3,4,4,5,5,5-nonafluoro-1-pentene
HFC-1429czc	CF <sub>2</sub> =CHCF <sub>2</sub> CF <sub>2</sub> CF <sub>3</sub> ; 1,1,3,3,4,4,5,5,5-nonafluoro-1-pentene
HFC-1429cycc	CF <sub>2</sub> =CFCF <sub>2</sub> CF <sub>2</sub> CHF <sub>2</sub> ; 1,1,2,3,3,4,4,5,5-nonafluoro-1-pentene
HFC-1429руу	CHF <sub>2</sub> CF=CFCF <sub>2</sub> CF <sub>3</sub> ; 1,1,2,3,4,4,5,5,5-nonafluoro-2-pentene
HFC-1429myyc	CF <sub>3</sub> CF=CFCF <sub>2</sub> CHF <sub>2</sub> ; 1,1,1,2,3,4,4,5,5-nonafluoro-2-pentene
HFC-1429myye	CF <sub>3</sub> CF=CFCHFCF <sub>3</sub> ; 1,1,1,2,3,4,5,5,5-nonafluoro-2-pentene
HFC-1429eyym	CHF=CFCF(CF <sub>3</sub> ) <sub>2</sub> ; 1,2,3,4,4,4-hexafluoro-3-(trifluoromethyl)-1-butene
HFC-1429cyzm	CF <sub>2</sub> =CFCH(CF <sub>3</sub> ) <sub>2</sub> ; 1,1,2,4,4,4-hexafluoro-3-(trifluoromethyl)-1-butene
HFC-1429mzt	CF <sub>3</sub> CH=C(CF <sub>3</sub> ) <sub>2</sub> ; 1,1,1,4,4,4-hexafluoro-2-(trifluoromethyl)-2-butene
HFC-1429czym	$CF_2$ =CHCF( $CF_3$ ) <sub>2</sub> ; 1,1,2,3,3,3-hexafluoro-3-(trifluoromethyl)-1-butene
HFC-1438fy	CH <sub>2</sub> =CFCF <sub>2</sub> CF <sub>2</sub> CF <sub>3</sub> ; 2,3,3,4,4,5,5,5-octafluoro-1-pentene
HFC-1438eycc	CHF=CFCF <sub>2</sub> CF <sub>2</sub> CHF <sub>2</sub> ; 1,2,3,3,4,4,5,5-octafluoro-1-pentene
HFC-1438ftmc	CH <sub>2</sub> =C(CF <sub>3</sub> )CF <sub>2</sub> CF <sub>3</sub> ; 3,3,4,4,4-pentafluoro-2-(trifluoromethyl)-1-butene
HFC-1438czzm	CF <sub>2</sub> =CHCH(CF <sub>3</sub> ) <sub>2</sub> ; 1,1,4,4,4-pentafluoro-3-(trifluoromethyl)-1-butene
HFC-1438ezym	CHF=CHCF(CF <sub>3</sub> ) <sub>2</sub> ; 1,3,4,4,4-pentafluoro-3-(trifluoromethyl)-1-butene
HFC-1438ctmf	CF <sub>2</sub> =C(CF <sub>3</sub> )CH <sub>2</sub> CF <sub>3</sub> ; 1,1,4,4,4-pentafluoro-2-(trifluoromethyl)-1-butene
HFC-1447fzy	(CF <sub>3</sub> ) <sub>2</sub> CFCH=CH <sub>2</sub> ; 3,4,4,4-tetrafluoro-3-(trifluoromethyl)-1-butene
HFC-1447fz	CF <sub>3</sub> CF <sub>2</sub> CF <sub>2</sub> CH=CH <sub>2</sub> ; 3,3,4,4,5,5,5-heptafluoro-1-pentene
HFC-1447fycc	CH <sub>2</sub> =CFCF <sub>2</sub> CF <sub>2</sub> CHF <sub>2</sub> ; 2,3,3,4,4,5,5-heptafluoro-1-pentene
HFC-1447czcf	CF <sub>2</sub> =CHCF <sub>2</sub> CH <sub>2</sub> CF <sub>3</sub> ; 1,1,3,3,5,5,5-heptafluoro-1-pentene
HFC-1447mytm	CF <sub>3</sub> CF=C(CF <sub>3</sub> )(CH <sub>3</sub> ); 1,1,1,2,4,4,4-heptafluoro-3-methyl-2-butene
HFC-1447fyz	CH <sub>2</sub> =CFCH(CF <sub>3</sub> ) <sub>2</sub> ; 2,4,4,4-tetrafluoro-3-(trifluoromethyl)-1-butene
HFC-1447ezz	CHF=CHCH(CF <sub>3</sub> ) <sub>2</sub> ; 1,4,4,4-tetrafluoro-3-(trifluoromethyl)-1-butene
HFC-1447qzt	CH <sub>2</sub> FCH=C(CF <sub>3</sub> ) <sub>2</sub> ; 1,4,4,4-tetrafluoro-2-(trifluoromethyl)-2-butene
HFC-1447syt	CH <sub>3</sub> CF=C(CF <sub>3</sub> ) <sub>2</sub> ; 2,4,4,4-tetrafluoro-2-(trifluoromethyl)-2-butene
HFC-1456szt	(CF <sub>3</sub> ) <sub>2</sub> C=CHCH <sub>3</sub> ; 3-(trifluoromethyl)-4,4,4-trifluoro-2-butene

HFC-1456szy	CF <sub>3</sub> CF <sub>2</sub> CF=CHCH <sub>3</sub> ; 3,4,4,5,5,5-hexafluoro-2-pentene
HFC-1456mstz	CF <sub>3</sub> C(CH <sub>3</sub> )=CHCF <sub>3</sub> ; 1,1,1,4,4,4-hexafluoro-2-methyl-2-butene
HFC-1456fzce	CH <sub>2</sub> =CHCF <sub>2</sub> CHFCF <sub>3</sub> ; 3,3,4,5,5,5-hexafluoro-1-pentene
HFC-1456ftmf	CH <sub>2</sub> =C(CF <sub>3</sub> )CH <sub>2</sub> CF <sub>3</sub> ; 4,4,4-trifluoro-2-(trifluoromethyl)-1-butene
FC-151-12c	$CF_3(CF_2)_3CF=CF_2$ ; 1,1,2,3,3,4,4,5,5,6,6,6-dodecafluoro-1-hexene (or perfluoro-1-hexene)
FC-151-12mcy	CF <sub>3</sub> CF <sub>2</sub> CF=CFCF <sub>2</sub> CF <sub>3</sub> ; 1,1,1,2,2,3,4,5,5,6,6,6-dodecafluoro-3-hexene (or perfluoro-3-hexene)
FC-151-12mmtt	$(CF_3)_2C=C(CF_3)_2$ ; 1,1,1,4,4,4-hexafluoro-2,3-bis(trifluoromethyl)-2-butene
FC-151-12mmzz	(CF <sub>3</sub> ) <sub>2</sub> CFCF=CFCF <sub>3</sub> ; 1,1,1,2,3,4,5,5,5-nona-fluoro-4- (trifluoromethyl)-2-pentene
HFC-152-11mmtz	$(CF_3)_2C=CHC_2F_5$ ; 1,1,1,4,4,5,5,5-octafluoro-2-(trifluoromethyl)-2-pentene
HFC-152-11mmyyz	(CF <sub>3</sub> ) <sub>2</sub> CFCF=CHCF <sub>3</sub> ; 1,1,1,3,4,5,5,5-octafluoro-4- (trifluoromethyl)-2-pentene
HFC-1549fz (or PFBE)	CF <sub>3</sub> CF <sub>2</sub> CF <sub>2</sub> CH=CH <sub>2</sub> ; 3,3,4,4,5,5,6,6,6-nonafluoro-1-hexene (or perfluorobutylethylene)
HFC-1549fztmm	CH <sub>2</sub> =CHC(CF <sub>3</sub> ) <sub>3</sub> ; 4,4,4-trifluoro-3,3-bis(trifluoromethyl)-1-butene
HFC-1549mmtts	(CF <sub>3</sub> ) <sub>2</sub> C=C(CF <sub>3</sub> )(CH <sub>3</sub> ); 1,1,1,4,4,4-hexafluoro-3-methyl-2- (trifluoromethyl)-2-butene
HFC-1549fycz	$CH_2$ = $CFCF_2CH(CF_3)_2$ ; 2,3,3,5,5,5-hexafluoro-4-(trifluoromethyl)-1-pentene
HFC-1549myts	$CF_3CF=C(CH_3)CF_2CF_3$ ; 1,1,1,2,4,4,5,5,5-nonafluoro-3-methyl-2-pentene
HFC-1549mzzz	CF <sub>3</sub> CH=CHCH(CF <sub>3</sub> ) <sub>2</sub> ; 1,1,1,5,5,5-hexafluoro-4-(trifluoromethyl)-2-pentene
HFC-1558szy	CF <sub>3</sub> CF <sub>2</sub> CF <sub>2</sub> CF=CHCH <sub>3</sub> ; 3,4,4,5,5,6,6,6-octafluoro-2-hexene
HFC-1558fzccc	CH <sub>2</sub> =CHCF <sub>2</sub> CF <sub>2</sub> CF <sub>2</sub> CHF <sub>2</sub> ; 3,3,4,4,5,5,6,6-octafluoro-2-hexene
HFC-1558mmtzc	(CF <sub>3</sub> ) <sub>2</sub> C=CHCF <sub>2</sub> CH <sub>3</sub> ; 1,1,1,4,4-pentafluoro-2-(trifluoromethyl)-2-pentene
HFC-1558ftmf	CH <sub>2</sub> =C(CF <sub>3</sub> )CH <sub>2</sub> C <sub>2</sub> F <sub>5</sub> ; 4,4,5,5,5-pentafluoro-2-(trifluoromethyl)-1-pentene
HFC-1567fts	CF <sub>3</sub> CF <sub>2</sub> CF <sub>2</sub> C(CH <sub>3</sub> )=CH <sub>2</sub> ; 3,3,4,4,5,5,5-heptafluoro-2-methyl-1-pentene
HFC-1567szz	CF <sub>3</sub> CF <sub>2</sub> CF <sub>2</sub> CH=CHCH <sub>3</sub> ; 4,4,5,5,6,6,6-heptafluoro-2-hexene
HFC-1567fzfc	CH <sub>2</sub> =CHCH <sub>2</sub> CF <sub>2</sub> C <sub>2</sub> F <sub>5</sub> ; 4,4,5,5,6,6,6-heptafluoro-1-hexene
HFC-1567sfyy	CF <sub>3</sub> CF <sub>2</sub> CF=CFC <sub>2</sub> H <sub>5</sub> ; 1,1,1,2,2,3,4-heptafluoro-3-hexene
HFC-1567fzfy	CH <sub>2</sub> =CHCH <sub>2</sub> CF(CF <sub>3</sub> ) <sub>2</sub> ; 4,5,5,5-tetrafluoro-4-(trifluoromethyl)-1-pentene
HFC-1567myzzm	CF <sub>3</sub> CF=CHCH(CF <sub>3</sub> )(CH <sub>3</sub> ); 1,1,1,2,5,5,5-heptafluoro-4-methyl-2-pentene
HFC-1567mmtyf	(CF <sub>3</sub> ) <sub>2</sub> C=CFC <sub>2</sub> H <sub>5</sub> ; 1,1,1,3-tetrafluoro-2-(tri-fluoromethyl)-2-pentene
FC-161-14myy	$CF_3CF=CFCF_2CF_2C_2F_5$ ; 1,1,1,2,3,4,4,5,5,6,6,7,7,7-tetradecafluoro-2-heptene

Synonyms and Keywords

FC-161-14mcyy	$CF_3CF_2CF=CFCF_2C_2F_5;$ 1,1,1,2,2,3,4,5,5,6,6,7,7,7-tetradecafluoro-2-heptene
HFC-162-13mzy	CF <sub>3</sub> CH=CFCF <sub>2</sub> CF <sub>2</sub> C <sub>2</sub> F <sub>5</sub> ; 1,1,1,3,4,4,5,5,6,6,7,7,7-tridecafluoro-2-heptene
HFC-162-13myz	CF <sub>3</sub> CF=CHCF <sub>2</sub> CF <sub>2</sub> C <sub>2</sub> F <sub>5</sub> ; 1,1,1,2,4,4,5,5,6,6,7,7,7-tridecafluoro-2-heptene
HFC-162-13mczy	$CF_3CF_2CH=CFCF_2C_2F_5$ ; 1,1,1,2,2,4,5,5,6,6,7,7,7-tridecafluoro-3-heptene
HFC-162-13mcyz	CF <sub>3</sub> CF <sub>2</sub> CF=CHCF <sub>2</sub> C <sub>2</sub> F <sub>5</sub> ; 1,1,1,2,2,3,5,5,6,6,7,7,7-tridecafluoro-3-heptene

# C07C 22/00

# Cyclic compounds containing halogen atoms bound to an acyclic carbon atom

#### **Definition statement**

This place covers:

Compounds having at least one unsubstituted aromatic or non-aromatic ring and containing the elements of carbon, hydrogen and halogen exclusively.

# Relationships with other classification places

Compositions defined as containing at least two components are not classified in <u>C07C</u>, but in the corresponding use fields only.

#### References

#### Limiting references

This place does not cover:

Liquid crystalline compounds	C09K 19/00
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#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Organic luminescent materials	C09K 11/06
Organic light-emitting diodes (OLEDs)	H10K 99/00

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 17/00.

Indexing Codes CO7C 2601/00 - CO7C 2604/00 are given for rings or ring systems other than benzene, naphthalene and biphenyl.

## C07C 23/00

# Compounds containing at least one halogen atom bound to a ring other than a six-membered aromatic ring

#### **Definition statement**

This place covers:

Cyclic compounds having at least one halogen-substituted non-aromatic ring or ring system and containing the elements of carbon, hydrogen and halogen exclusively. The compounds may also have one or more unsubstituted aromatic rings or ring systems in addition to the halogen-substituted non-aromatic ring(s).

# Relationships with other classification places

Compositions defined as containing at least two components are normally not classified in <u>CO7C</u>, but in the corresponding use fields only.

#### References

#### Limiting references

This place does not cover:

Liquid crystalline compounds	C09K 19/00
·	

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Organic luminescent materials	C09K 11/06
Organic light-emitting diodes (OLEDs)	H10K 99/00

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 17/00.

Indexing Codes <u>C07C 2601/00</u> - <u>C07C 2604/00</u> are given for rings or ring systems other than benzene, naphthalene and biphenyl.

<u>C07C 23/18</u>: Compounds with two or more non-condensed rings at least one of which is a halogen-substituted non-aromatic ring are classified in this class.

## Synonyms and Keywords

In patent documents, the following abbreviations are often used:

FC-C1316cc	cyclo-CF <sub>2</sub> CF <sub>2</sub> CF=CF-; 1,2,3,3,4,4-hexafluorocyclobutene	
HFC-C1334cc	cyclo- CF <sub>2</sub> CF <sub>2</sub> CH=CH-; 3,3,4,4-tetrafluorocyclobutene	
HFC-C1436	cyclo- CF <sub>2</sub> CF <sub>2</sub> CF <sub>2</sub> CH=CH-; 3,3,4,4,5,5-hexafluorocyclopentene	
FC-C1418y	cyclo-CF <sub>2</sub> CF=CFCF <sub>2</sub> CF <sub>2</sub> -; 1,2,3,3,4,4,5,5-octafluorocyclopentene	

FC-C151-10y	cyclo- CF <sub>2</sub> CF=CFCF <sub>2</sub> CF <sub>2</sub> CF <sub>2</sub> -; 1,2,3,3,4,4,5,5,6,6-
	decafluorocyclohexene

## C07C 25/00

# Compounds containing at least one halogen atom bound to a six-membered aromatic ring

#### **Definition statement**

This place covers:

Aromatic compounds having at least one halogen substituent on the aromatic ring or ring system. The compounds may also have one or more non-aromatic substituted or unsubstituted rings in addition to the substituted aromatic ring(s).

# Relationships with other classification places

Compositions defined as containing at least two components are normally not classified in <u>C07C</u>, but in the corresponding use fields only.

#### References

## Limiting references

This place does not cover:

Liquid crystalline compounds	C09K 19/00

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Organic luminescent materials	C09K 11/06
Organic light-emitting diodes (OLEDs)	H10K 99/00

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 17/00.

Indexing Codes <u>C07C 2601/00</u> - <u>C07C 2604/00</u> are given for rings or ring systems other than benzene, naphthalene and biphenyl.

<u>C07C 25/06</u>, <u>C07C 25/08</u>, <u>C07C 25/10</u>: Only compounds containing no further substituents on the benzene ring are classified in these groups.

Mono-, di- or trichlorobenzenes with further substituents on the benzene ring (for example Br or alkyl) are classified in C07C 25/02.

<u>C07C 25/24</u>: The unsaturated side-chain can also be cyclic (even though the expression "side-chain" appears to suggest non-cyclic moieties only); however, aromatic rings are not considered unsaturated side-chains.

#### C07C 27/00

# Processes involving the simultaneous production of more than one class of oxygen-containing compounds

#### **Definition statement**

This place covers:

The preparation of product mixtures of two or more classes of oxygen-containing compounds which are structurally not fully identified.

#### References

#### Limiting references

This place does not cover:

C07C 29/00, C07C 37/00, C07C 41/00, C07C 45/00, C07C 46/00, C07C 51/00, C07C 67/00, C07C 68/00
<u>C07C 67/00, C07C 68/00,</u>
<u>C07C 71/00</u>

## Special rules of classification

This group is rarely used. Document are classified in this group only when the products are not disclosed specifically enough to be classified in any of the main groups listed as references above.

Examples where this group is not used:

Co-production of cyclohexanol and cyclohexanone is classified under  $\underline{\text{C07C 29/00}}$  as a production of an alcohol (e.g. combination set  $\underline{\text{C07C 29/50}}$ ,  $\underline{\text{C07C 35/08}}$ ) and under  $\underline{\text{C07C 45/00}}$  as a production of a ketone (e.g. combination set  $\underline{\text{C07C 45/33}}$ ,  $\underline{\text{C07C 49/403}}$ ).

Reaction of ethylene carbonate and methanol to yield dimethyl carbonate and ethylene glycol is as a production of dimethyl carbonate under C07C 68/00 (e.g. combination set C07C 68/065, C07C 69/96) and - if of interest - as a production of ethylene glycol under C07C 29/00 (combination set C07C 29/128, C07C 31/202).

Hydrolysis of esters of carboxylic acids is classified as production of the resulting carboxylic acid and/ or alcohol (depending on which product is of interest)

# C07C 29/00

# Preparation of compounds having hydroxy or O-metal groups bound to a carbon atom not belonging to a six-membered aromatic ring

#### **Definition statement**

This place covers:

Preparation and purification/stabilisation of alcohols and alcoholates

#### Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 29/60</u>, <u>C07C 31/205</u> for the preparation of propylene glycol from glycerol by

hydrogenolysis). A combination set consists of a process group (e.g. <u>C07C 29/60</u>), followed by and linked to the group of the product (e.g. <u>C07C 31/205</u>). The products are selected from the corresponding product groups <u>C07C 31/00</u>, <u>C07C 33/00</u> and <u>C07C 35/00</u>.

Please note: Not all documents in this main group are already classified using combination-sets. The remaining documents (which are continuously being reclassified) have to be searched using only the process group (no indication of the product).

Concerning certain (sub)groups:

<u>C07C 29/00</u> (the main group itself) contains processes which do not fit in any of the subgroups, e.g. reductive hydrolysis of nitriles, or hydrogenolysis of glycerol to ethylene glycol or of sorbitol to glycerol (propylene glycol from glycerol is classified in C07C 29/60)

<u>C07C 29/03</u>: addition of hydroxy groups "with the aid of H2O2" refers to hydroboration reactions and the like, which are overall an addition of water to an unsaturated C-C bond and not an oxidation (oxidative dihydroxylation using H2O2 is classified in <u>C07C 29/48</u>)

C07C 29/09: e.g. hydrolysis of silyl ethers such as TMS or TBS protective groups

 $\underline{\text{C07C 29/10}}$ : e.g. hydrolysis of acyclic ethers (hydrolysis of silyl ethers such as TMS or TBS protective groups is classified in  $\underline{\text{C07C 29/09}}$ ); also elimination reaction of the type R-O-C-C -> R-OH + C=C are classified here, though this reaction is not a hydrolysis

C07C 29/103: e.g. hydrolysis of THP protective groups

C07C 29/106: often EO + H2O → EG;

but also vinylogous hydrolysis

C07C 29/12: e.g. saponification of alkyl halides; or hydrolysis of cyclic carbonates to glycols

C07C 29/128: the coproduction of alkylene glycol in processes for the preparation of dialkyl carbonate from alkylene carbonate, e.g. preparation of dimethyl carbonate and ethylene glycol from ethylene carbonate and methanol, is not systematically classified here (for search see the Combination-set C07C 68/065, C07C 69/96)

C07C 29/132 : e.g. reduction of cyclic ethers (epoxides)  $\stackrel{\langle n \rangle}{\sim}$ 

C07C 29/14, C07C 29/143, C07C 29/147 : e.g. reduction with LiAlH4 or NaBH4

 $\underline{\text{C07C } 29/149}$ : e.g. adipic acid (esters)  $\rightarrow$  1,6-hexanediol (reduction of unsaturated acid (ester) to saturated alcohol is classified in  $\underline{\text{C07C } 29/177}$ )

C07C 29/151 : typically syngas → MeOH (or EtOH)

C07C 29/1518: i.e. making syngas, then alcohol

C07C 29/152: characterised by the reactor used for making the alcohol

C07C 29/153: characterised by the catalyst used for making the alcohol

 $\underline{\text{C07C }29/16}$ : simultaneous oxo-reaction and reduction in one reactor (two separate step are classified in  $\underline{\text{C07C }45/50}$  and  $\underline{\text{C07C }29/141}$ )

 $\underline{\text{C07C 29/17}}$ : e.g. 2-butyne-1,4-diol  $\rightarrow$  2-butene-1,4-diol

 $\underline{\text{C07C } 29/172}$ : e.g. 2-butyne-1,4-diol  $\rightarrow$  1,4-butanediol

C07C 29/175 : e.g. acrolein → propanol

 $\underline{\text{C07C } 29/177}$ : e.g. oleic acid → octadecanol

<u>C07C 29/19</u>: e.g. naphthol  $\rightarrow$  decalinol, or terephthalic (esters)  $\rightarrow$  1,4-cyclohexane dimethanol

C07C 29/20 : e.g. phenol → cyclohexanol

C07C 29/32 : e.g. homologation of methanol to ethanol, MeOH + CO/H2 → EtOH

 $\underline{\text{C07C 29/34}}$ : often 2 EtOH  $\rightarrow$  nBuOH, or 2 nBuOH  $\rightarrow$  2-ethylhexanol

C07C 29/38: e.g. TMP directly from butyraldehyde and >3eq CH2O (TMP by hydrogenation of the intermediate aldehyde is classified in C07C 29/141)

C07C 29/40 : e.g. Grignard + carbonyl, then hydrolysis

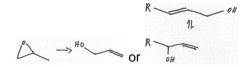
 $\underline{\text{C07C 29/42}}$ : often acetylene + 2 CH2O  $\rightarrow$  2-butyne-1,4-diol

C07C 29/48: e.g. olefin + H2O2  $\rightarrow$  1,2-diol

C07C 29/50 : often cyclohexane → cyclohexanol

C07C 29/54: e.g. oxidation of trialkylaluminium compounds

<u>C07C 29/56</u>: reactions not changing the molecular formula, i. e. the molecular formula of the educt and the product is the same, e. g.



C07C 29/60: beta elimination (dehydration); but also hydro-de-hydroxylation (hydrogenolysis), e. g. propylene glycol from glycerol (ethylene glycol from glycerol is classified in C07C 29/00)

<u>C07C 29/68</u>: only used if the aim is the production of an alcoholate (typical Grignard reactions with intermediate alcoholate formation followed by hydrolysis are classified in <u>C07C 29/40</u>).

C07C 29/76: e.g. use of membranes for separation; chemisorption

C07C 29/92: e.g. the sequence esterification, purification, hydrolysis

#### Synonyms and Keywords

In patent documents, the following abbreviations are often used:

EG	Ethylene Glycol
EO	Ethylene Oxide
PG	Propylene Glycol
PO	Propylene Oxide
BD	ButaneDiol
TMP	TriMethylolPropane

#### C07C 31/00

# Saturated compounds having hydroxy or O-metal groups bound to acyclic carbon atoms

#### **Definition statement**

This place covers:

Saturated acyclic alcohols, such as methanol (C07C 31/04), ethanol (C07C 31/08) and stearyl alcohol (C07C 31/125).

Saturated alcohols containing carbocyclic rings having the hydroxy groups bound to acyclic carbon atoms, e.g. 1,4-cyclohexane dimethanol (C07C 31/276).

Metal alcoholates, e.g. sodium methanolate (C07C 31/30).

Halogenated alcohols such as 2,2,2-trifluoro ethanol (C07C 31/38).

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under CO7C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 29/00.

Concerning certain subgroups:

C07C 31/125: often detergent range alcohols, e.g. C<sub>12</sub>-C<sub>15</sub> alkyl alcohols

C07C 31/13: this groups covers monohydroxylic alcohols containing saturated rings which do not fit into any of its subgroups C07C 31/133 - C07C 31/135, e.g. polycyclic alcohols containing of two or more isolated (non-condensed) rings

C07C 31/133: e.g. monocyclic with seven or more-membered rings

C07C 31/135 : e.g. naphthenic alcohols such as

ОН

C07C 31/18: e.g. pentahydroxylic alcohols

C07C 31/20: e.g. nepentyl glycol, 1,6-hexanediol

C07C 31/22: e.g. trimethylolpropane CH<sub>3</sub>-C(CH<sub>2</sub>OH)<sub>3</sub>

C07C 31/245 : pentaerythritol

C07C 31/26 : e.g. sorbitol

 $\underline{\text{C07C 31/27}}$ : e.g. this groups covers polyhydroxylic alcohols containing saturated rings which do not fit into any of its subgroups  $\underline{\text{C07C 31/272}}$  -  $\underline{\text{C07C 31/278}}$ , e.g. polycyclic alcohols containing of two or more isolated (non-condensed) rings

C07C 31/276 : e.g. 1,4-cyclohexane dimethanol (1,4 -bis(hydroxymethyl) cyclohexane)

<u>C07C 31/28</u>: this group and its subgroups refer to metal alcoholates (titanates and zirconates are classified under <u>C07F 7/00</u>; the rare cases of non-metal alcoholates, e.g. quarternary ammonium alcoholates, are classified as the corresponding alcohol)

<u>C07C 31/34</u> - <u>C07C 31/44</u> : due to the last place rule, these groups cover both halogenated alcohols and their alcoholates

C07C 31/34: e.g. containing fluorine and other halogen, e.g. CIF<sub>2</sub>C-CH<sub>2</sub>OH

 $\underline{\text{C07C 31/40}}$ : perhalogenated means that all hydrogen atoms bound to carbon are replaced by halogen, e.g.  $(\text{CF}_3)_3\text{COH}$ ,  $\text{CCl}_3\text{OH}$ 

# Synonyms and Keywords

In patent documents, the following abbreviations are often used:

EG	Ethylene glycol
EO	Ethylene oxide
PG	Propylene glycol
PO	Propylene oxide
BD	Butanediol
TMP	Trimethylol propane

## C07C 33/00

# Unsaturated compounds having hydroxy or O-metal groups bound to acyclic carbon atoms

#### **Definition statement**

This place covers:

Unsaturated acyclic alcohols and alcoholates, such as allyl alcohol (C07C 33/03) and 1,4-butynediol (C07C 33/044).

Unsaturated alcohols containing carbocyclic rings (saturated, unsaturated, aromatic) having the hydroxy or O-metal groups bound to acyclic carbon atoms, e.g. benzyl alcohol (C07C 33/22).

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under CO7C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 29/00.

The main group C07C 33/00 has no subgroups for metal alcoholates. Accordingly, alcoholates are classified as the parent alcohol. e. g. NaO-CH2-CH=CH2 is classified as allyl alcohol (C07C 33/03).

Concerning certain subgroups:

<u>C07C 33/02</u>: mono- and polyhydroxylic alcohols with more than one double bond; also used when the number of double bonds is not clear, e.g. for undefined unsaturated fatty alcohols

C07C 33/04: mono- and polyhydroxylic alcohols with more than one triple bond

 $\underline{\text{C07C }33/05}$  -  $\underline{\text{C07C }33/16}$  : mono- and polyhydroxylic alcohols, the rings can be isolated or in condensed ring systems

C07C 33/22: benzylalcohol, i.e. Ph-CH2OH and phenethyl alcohol, i.e. Ph-CH2CH2OH or Ph-CH(OH)CH3

<u>C07C 33/28</u>: mono- and polyhydroxylic polycyclic alcohols containing only six-membered aromatic rings as cyclic part with unsaturation outside aromatic rings

C07C 33/42: halogenated unsaturated alcohols containing both, double and triple bonds

# Synonyms and Keywords

In patent documents, the following abbreviations are often used:

EG	Ethylene glycol
EO	Ethylene oxide
PG	Propylene glycol
PO	Propylene oxide
BD	Butanediol
TMP	Trimethylol propane

## C07C 35/00

# Compounds having at least one hydroxy or O-metal group bound to a carbon atom of a ring other than a six-membered aromatic ring

# **Definition statement**

This place covers:

Saturated and unsaturated monocyclic and polycyclic alcohols having at least one hydroxy or O-metal group bound to a carbon atom of a ring other than a six-membered aromatic ring, e.g. cyclohexanol (C07C 35/08).

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 29/00.

All alcohols classified in this main group contain carbocyclic ring systems which are indexed using the corresponding codes from <u>C07C 2601/00</u> - <u>C07C 2604/00</u>. These Indexing Codes are used even if the information is redundant in view of the subgroup title, e.g. azulenols are classified in <u>C07C 35/34</u> and <u>C07C 2602/30</u>.

Concerning certain subgroups:

C07C 35/12: menthol (all stereoisomers)

C07C 35/18 : e. g. cyclohexenol

C07C 35/24: the literal meaning of "condensed ring system having two rings and containing five

carbon atoms" covers only alcohols having the hydroxy group bound to a bicyclo[2.1.0] system ( ). Please note: In the past also condensed ring systems having two or more rings and containing a five-membered ring might have been classified here. This group has to be consulted for search, while the documents are continuously being reclassified.

<u>C07C 35/26</u>: due to its position in the scheme as a subgroup of <u>C07C 35/24</u> and the last place rule, this group should, in principle, be empty. Nevertheless, alcohols derived from cyclopentadiene dimers are classified here. These alcohols have hydroxy groups attached to a tricyclo[5.2.1.0<sup>2.6</sup>]decyl ring



C07C 35/31: e.g. alcohols having the OH group bound to a pentalene system

CO7C 35/37: e.g. a hydroxy group on a bridged system having three rings (alcohols derived from cyclopentadiene dimers are classified in CO7C 35/26)

<u>C07C 35/46</u>: this subgroup refers to metal alcoholates (the rare cases of non-metal alcoholates, e.g. quarternary ammonium alcoholates, are classified as the corresponding alcohol)

 $\underline{\text{C07C 35/48}}$  -  $\underline{\text{C07C 35/52}}$ : due to the last place rule, these groups cover both halogenated alcohols and their alcoholates

# Synonyms and Keywords

In patent documents, the following abbreviations are often used:

EG	Ethylene glycol
EO	Ethylene oxide
PG	Propylene glycol
PO	Propylene oxide
BD	Butanediol
TMP	Trimethylol propane

# C07C 37/00

# Preparation of compounds having hydroxy or O-metal groups bound to a carbon atom of a six-membered aromatic ring

#### **Definition statement**

This place covers:

Preparation and purification/stabilisation of phenols and phenolates.

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 37/08</u>, <u>C07C 39/04</u> for the preparation of phenol by decomposition of cumene hydroperoxide). A combination set consists of a process group (e.g. <u>C07C 37/08</u>), followed by

and linked to the group of the product (e.g. <u>C07C 39/04</u>). The products are selected from the corresponding product group <u>C07C 39/00</u>.

Please note: Not all documents in this main group are already classified using combination-sets. The remaining documents (which are continuously being reclassified) have to be searched using only the process group (no indication of the product).

Concerning certain (sub)groups:

C07C 37/001, C07C 37/002, C07C 37/003: modification in a side chain not leading to an increase or decrease of the number of carbon atoms.

C07C 37/20: e.g. condensation of acetone and phenol to bisphenol A

# Synonyms and Keywords

In patent documents, the following abbreviations are often used:

	*
CHP	Cumenehydroperoxide

# C07C 39/00

# Compounds having at least one hydroxy or O-metal group bound to a carbon atom of a six-membered aromatic ring

## **Definition statement**

This place covers:

Phenols and phenolates.

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 37/00.

Concerning certain subgroups:

C07C 39/04: Ph-OH only.

<u>C07C 39/235</u>: metal derivatives of non-halogenated phenols (the rare cases of non-metal phenolates, e.g. quarternary ammonium phenolates, are classified as the corresponding phenol)

<u>C07C 39/44</u>: metal derivatives of a halogenated phenols (the rare cases of non-metal phenolates, e.g. quarternary ammonium phenolates, are classified as the corresponding halogenated phenol)

#### Synonyms and Keywords

In patent documents, the following abbreviations are often used:

CHP	Cumenehydroperoxide

## C07C 41/00

Preparation of ethers; Preparation of compounds having  $\bigcap_{\Gamma}$  groups,

#### **Definition statement**

This place covers:

Preparation and purification/stabilisation of acyclic or carbocylic

- ethers (C07C 41/01 C07C 41/46)
- (hemi)acetals (C07C 41/48 C07C 41/58)
- ortho esters and ortho carbonates (C07C 41/60)

#### References

# Limiting references

This place does not cover:

Preparation of cyclic ethers wherein the ether oxygen is part of the ring	<u>C07D</u>
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#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Polyethers	C08G, e.g. C08G 65/00
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## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. C07C 41/09, C07C 43/043 for the preparation of dimethyl ether from methanol by dehydration). A combination set consists of a process group (e.g. C07C 43/04), followed by and linked to the group of the product (e.g. C07C 43/043). The products are selected from the corresponding product group C07C 43/00 according to the following table:

Process: Product selected from

<u>C07C 41/01 - C07C 41/46 : C07C 43/02 - C07C 43/295</u>

<u>C07C 41/48 - C07C 41/58</u> : <u>C07C 43/30</u> - <u>C07C 43/317</u>

C07C 41/60: C07C 43/32

Please note: Not all documents in this main group are already classified using combination-sets. The remaining documents (which are continuously being reclassified) have to be searched using only the process group (no systematic indication of the product).

Concerning certain subgroups:

#### Preparation of ethers

CO7C 41/01: reactions which do not fit into any of the hierarchically lower subgroups; e.g. DME from syngas

<u>C07C 41/02</u>: e.g. inserting oxiranes into existing ether bonds, DME + n EO  $\rightarrow$  MeO(CH2CH2O)nMe or oligomerisation of oxiranes

C07C 41/05: e.g. H2O + olefin → [alcohol + olefin] → ether

C07C 41/06: e.g. alcohol + olefin, often preparation of MTBE

C07C 41/08: e.g. preparation of vinyl ethers

C07C 41/09 : e.g. 2 MeOH -> DME or oligomerisation of glycols

C07C 41/16: e.g. alcohol or phenol + alkyl halide or dialkyl sulfate

C07C 41/18: e.g. elimination of water R<sub>0</sub> R<sub>0</sub>, orreactions decreasing the number of carbon atoms

C07C 41/24 : also hydrodehalogenation R-O-R-CH2X → R-O-R-CH3

<u>C07C 41/30</u>: increasing the number of carbon atoms of an existing ether compound; oligomerisation only in so far as not forming an ether bond (oligomerisation of glycols is classified in <u>C07C 41/09</u>, oligomerisation of alkylene oxides is classified in <u>C07C 41/02</u>)

Preparation of (semi)acetals

C07C 41/48: e.g. reactions not involving the generation of a new (hemi)acetal function

C07C 41/54: e.g. R-O-CH=CHR' + R"-OH -> R-O-CH(OR")-CH2R'

<u>C07C 41/56</u>: next to a condensation of aldehydes, paraformaldehyde, or ketones, also a condensation of 1,3,5-Trioxane - the cyclic formaldehyde trimer - is covered.

## **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Esters of inorganic acids (see Glossary of C07C), including organic halides, e.g. CH3I or Ph-Br (which are esters of hydrohalic acids)
or carbonates, e.g. CH3O-C(=O)OCH3

# Synonyms and Keywords

In patent documents, the following abbreviations are often used:

DME	Dimethylether
MTBE	Methyltert-butyl ether

## C07C 43/00

# groups

#### **Definition statement**

This place covers:

Ethers, (Hemi)acetals, Ortho esters and ortho carbonates (<u>C07C 43/02</u> -<u>C07C 43/295</u>, <u>C07C 43/30</u> -C07C 43/317, C07C 43/32)

## References

#### Limiting references

This place does not cover:

Cyclic ethers wherein the ether oxygen is part of the ring	<u>C07D</u>	
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#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Polyethers	<u>C08G</u> ( <u>C08G 65/00</u> )
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## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of  $\frac{\text{C07C 41}}{00}$ .

Concerning certain subgroups:

#### Ethers

<u>C07C 43/11</u>: containing oligomeric chains (formally) derived from alkylene oxide or alkylene glycol, e.g. containing -[CH2-CH2-O-]n and/or -[CH(CH3)-CH2-O-]n units.

 $\underline{\text{C07C 43/13}}$  -  $\underline{\text{C07C 43/137}}$ : the group  $\underline{\text{C07C 43/11}}$  takes precedence also over the subgroups of  $\underline{\text{C07C 43/13}}$ , e.g. a halogen containing oligo ethylene glycol CF3-(O-CH2-CH2-)3-9OH is classified in  $\underline{\text{C07C 43/11}}$ 

C07C 43/17: unsaturated ethers containing halogen and not containing rings

C07C 43/178: unsaturated ethers containing hydroxy or O-metal groups and not containing rings

C07C 43/257: e.g. containing condensed six-membered aromatic rings and ether oxygen atoms not bound to carbon atoms of six-membered aromatic rings

C07C 43/263: e.g. Ph-O-Ph-O-CH2CH2-O-CH3

C07C 43/267 : e.g. Ph -0-014)

C07C 43/275 : e.g. Ph-O-Ph

(Semi)acetals

C07C 43/30 : e.g. formals  $\frac{1}{2} < \frac{1}{2}$ , the acetal carbon being -CH2-

C07C 43/303 - C07C 43/307 are defined by the substituent bound to the acetal carbon C-O-C-O-C

C07C 43/313: containing halogen bound to acetal and/or non-acetal carbon atoms

<u>C07C 43/317</u>: e.g. hemiacetals and hemiketals, for search see also the corresponding aldehydes (C07C 47/00) and ketones (C07C 49/00).

# **Synonyms and Keywords**

In patent documents, the following abbreviations are often used:

DME	Dimethylether
MTBE	Methyltert-butyl ether

# C07C 45/00

# Preparation of compounds having >C = O groups bound only to carbon or hydrogen atoms; Preparation of chelates of such compounds

#### **Definition statement**

This place covers:

The preparation, separation, purification and stabilisation of acyclic or carbocyclic aldehydes or ketones or its chelates, including ketenes or dimeric ketenes.

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g.  $\underline{\text{C07C 45/53}}$ ,  $\underline{\text{C07C 49/403}}$ ). A combination set consists of a process group (e.g.  $\underline{\text{C07C 45/53}}$ ), followed by and linked to the group of the product (e.g.  $\underline{\text{C07C 49/403}}$ ). The products are selected from the corresponding product groups  $\underline{\text{C07C 47/00}}$  -  $\underline{\text{C07C 49/00}}$ .

Concerning certain subgroups:

C07C 45/28: e.g. oxidation of propene to acetone in the absence of oxygen, C07C 45/28, C07C 49/08

 $\underline{\text{C07C 45/73}}$ : e.g. condensation of 2 acetone to methyl iso-butyl ketone in the presence of hydrogen (combination set  $\underline{\text{C07C 45/73}}$ ,  $\underline{\text{C07C 49/04}}$ )

#### C07C 46/00

# **Preparation of quinones**

# **Definition statement**

This place covers:

The preparation, separation, purification and stabilisation of carbocyclic quinones.

**Definition statement** 

Quinones include 1,2- and 1,4-benzoquinone, naphthoquinones, anthraquinones and higher conjugated aromatic quinones and also substituted quinones.

#### References

#### Limiting references

This place does not cover:

Preparation of guinone methides

C07C 45/00 - C07C 45/86

#### Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 46/02</u>, <u>C07C 50/08</u>). A combination set consists of a process group (e.g. <u>C07C 46/02</u>), followed by and linked to the group of the product (e.g. <u>C07C 50/08</u>). The products are selected from the corresponding product group <u>C07C 50/00</u>.

# C07C 47/00

# Compounds having —CHO groups

#### **Definition statement**

This place covers:

Saturated and unsaturated acyclic and carbocyclic compounds having at least one aldehyde group.

#### Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 45/00.

# Synonyms and Keywords

In patent documents, the following words/expressions are often used as synonyms:

• "Chlora" and "trichloroacetaldehyde"

#### C07C 49/00

Ketones; Ketenes; Dimeric ketenes (heterocyclic compounds <u>C07D</u>, e.g. betalactones <u>C07D</u> 305/12); Ketonic chelates

#### **Definition statement**

This place covers:

Saturated and unsaturated acyclic and carbocyclic compounds having at least one ketone, ketonic chelate, ketene or dimeric ketene group.

#### References

#### Limiting references

This place does not cover:

Heterocyclic compounds	<u>C07D</u>
Beta-Lactones	C07D 305/12
Sugars	<u>C07H</u>

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 45/00.

Concerning certain subgroups:

C07C 49/755 : e.g. ninhydrin

# **Synonyms and Keywords**

In patent documents, the following words/expressions are often used as synonyms:

- "Acetylacetone" and "2,4-pentanedione"
- " Methyl vinyl ketone (MVK)" and "butenone"

# C07C 50/00

# Quinones (for quinone methides, see unsaturated ketones with a keto group being part of a ring)

#### **Definition statement**

This place covers:

Unsaturated carbocyclic compounds having at least two CH-moieties in the aromatic skeleton exchanged for a C=O-moiety.

The keto groups can be in the 1,2 or 1,4 or 9,10 etc positions.

#### References

#### Limiting references

This place does not cover:

Quinone methides	<u>C07C 49/587</u> -
	C07C 49/757

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of  $\frac{\text{C07C 46}}{\text{00}}$ .

# **Synonyms and Keywords**

In patent documents, the following words/expressions are often used as synonyms:

• "Chloranil" and "2,3,5,6-Tetrachlorocyclohexa-2,5-diene-1,4-dione"

## C07C 51/00

Preparation of carboxylic acids or their salts, halides or anhydrides (of acids by hydrolysis of oils, fats or waxes C11C)

# **Definition statement**

This place covers:

The preparation, separation, purification and stabilisation of acyclic or carbocyclic carboxylic acids or their salts, carboxylic acid anhydrides or carboxylic acid halides.

#### References

# Limiting references

This place does not cover:

Preparation of amino acids	C07C 227/00
Preparation of cyclic anhydrides	<u>C07D</u>
Heterocyclic carboxylic acid (e.g. ascorbic acid)	e.g. <u>C07D 307/62</u>
Preparation of steroid acids	<u>C07J</u>
Recovery of fatty acids from waste materials	C11B 13/00
Preparation of fatty acids from fats, fatty oils or waxes; Refining the fatty acids	C11C 1/00
Fatty acids by chemical modification of fats, oils or fatty acids obtained therefrom	C11C 3/00
Preparation of soaps	<u>C11D</u>
Preparation of acids via enzymes or microorganisms	<u>C12P</u>
Electrochemical preparation of carboxylic acids	C25B 3/23

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under CO7C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g.  $\underline{\text{C07C 51/09}}$ ,  $\underline{\text{C07C 59/84}}$ ). A combination set consists of a process group (e.g.  $\underline{\text{C07C 51/09}}$ ), followed by and linked to the group of the product (e.g.  $\underline{\text{C07C 59/84}}$ ). The products are selected from the corresponding product groups  $\underline{\text{C07C 53/00}}$  -  $\underline{\text{C07C 66/00}}$ .

Preparation of mixtures of dicarboxylic acids and cyclic anhydrides (e.g. maleic acid and maleic anhydride) are classified in both <u>C07C</u> and <u>C07D</u>

Concerning certain (sub)groups:

C07C 51/00 (the main group itself): processes which do not fit in any or the subgroups are classified here. e.g. hydrolysis of ketenes: -C=C=O --> -CO2H

Also the ring contraction of alpha-Cn-cycloketones to Cn-1-cycloalkyl carboxylic acid (Favorski rearrangement)

C07C 51/083: includes also hydrogenolysis

<u>C07C 51/09</u>: no separate class is given for the acidification. Group is also used for the hydrolysis of thioesters. Also the Friedel-Crafts reaction of a lactone with an aromatic compound.

C07C 51/093: group is also used for the hydrolysis of C=CX2 --> C-COOH

<u>C07C 51/10</u> - <u>C07C 51/145</u> : use these groups only for preparation of acids (NOT anhydrides) via carbonylation.

C07C 51/16: e.g. for the preparation of carboxylic acids AND carboxylic acid anhydrides via oxidation. The oxidation using oxidants like CrO3 or KMnO4 is also classified here (these last examples are NOT classified in C07C 51/285).

C07C 51/225: oxidation usually leads to undefined mixtures with an acid number

C07C 51/295: e.g. preparation via e.g. alkali fusion, dehydrogenation or Ag2O oxidation

CO7C 51/347: "reactions not involving formation of carboxyl groups" should be interpreted as reactions not changing the nature of the carboxyl group. An anhydride turning into an carboxylic acid does NOT belong here

C07C 51/353: racemisation is also included in this group

<u>C07C 51/363</u> - <u>C07C 51/373</u> : "introduction" also means the additional introduction. e.g. when a halogen atom is already present, but an extra halogen atom is introduced, the <u>C07C 51/363</u> is used. Also the hydrolysis of a lactone to a hydroxyacid is classified using <u>C07C 51/367</u>

 $\underline{\text{C07C 51/41}}$ : preparation of salts via process steps belonging to  $\underline{\text{C07C 51/093}}$  -  $\underline{\text{C07C 51/34}}$  takes precedence. The rearrangement reaction to lactic acid starting from glycerol or dihydroxyacetone in the presence of base should be classified in  $\underline{\text{C07C 51/41}}$ 

<u>C07C 51/416</u>: e.g. rearrangement or disproportionation of carboxylate salt groups linked to a six-membered ring in the absence/presence of CO or CO2 e.g. K-benzoate + K2-ortho-phthalate --> benzene + K2-terephthalate (Henkel's reaction)

<u>C07C 51/487</u>: resolution is included in this chemical modification; e.g. separation on a chiral column, but also resolution via a chiral reagent (e.g. an amine) etc.

C07C 51/54: preparation of carboxylic acid anhydrides via oxidation are classified in C07C 51/16. Processes which do not fit in any of the groups C07C 51/56-C07C 51/573 are classified here, e.g. preparation of a cyclic anhydride via transimidation with a imide (e.g. phthalic anhydride from a N-alkyl phthalimide).

 $COTC \ 51/56$ : preparation of carboxylic acid anhydrides from organic acids, their salts, their esters, or their halides (e.g. by carboxylation) or their amides. e.g. 2 R RCO2H + CO --> R-CO-O-CO-R. The preparation via transanhydrisation (e.g. 2 RC(=0)OH + CH3C(=0)OC(=0)CH3 --> 2 CH3C(=0)OH + RC(=0)OC(=0)R is also classified here. The carbonylation of a carboxylic acid via the reaction RCOOH + R'CH=CH2 + CO --> RC(=0)-O-C(=0)CH2CH2R' is also classified here

C07C 51/58: e.g. ArCCl3 --> ArC(=O)Cl

<u>C07C 51/60</u>: preparation by conversion of compounds having the same carboxyl-group. For example of carboxylic acids, the carboxylic acid anhydrides, esters, lactones, carboxylic acid salts, acid chlorides

C07C 51/62: e.g. FC(=O)F + CH2=CF2 --> F3CC(=O)F

## C07C 53/00

# Saturated compounds having only one carboxyl group bound to an acyclic carbon atom or hydrogen

#### **Definition statement**

This place covers:

Saturated acyclic and alicyclic compounds having one carboxylic acid group, a carboxylic anhydride group or a carboxylic acid halide group to an acyclic carbon atom or to hydrogen.

#### References

# Limiting references

This place does not cover:

Acetic acid obtained via destructive distillation of wood (wood vinegar)	C10C 5/00
Acetic acid as vinegar	<u>C12J</u>

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 51/00.

Concerning certain subgroups:

C07C 53/128: e.g. valproic acid

#### Synonyms and Keywords

In patent documents, the following words/expressions are often used as synonyms:

- "Formic acid" and "Methanoic acid"
- "Acetic acid" and "Ethanoic acid"
- "Propionic acid" and "Propanoic acid"

## C07C 55/00

# Saturated compounds having more than one carboxyl group bound to acyclic carbon atoms

# **Definition statement**

This place covers:

Saturated acyclic or alicyclic carboxylic acids, acid anhydrides and acid halides having more than one carboxyl group bound to acyclic carbon atoms.

#### References

#### Limiting references

This place does not cover:

Succinic acid anhydride	C07D 307/60
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The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 51/00.

Concerning certain subgroups:

C07C 55/02: e.g. suberic acid (C-8) or octanedioic acid, which does not have a special subgroup

# Synonyms and Keywords

In patent documents, the following words/expressions are often used as synonyms:

- "Oxalic acid" and "Ethanedioic acid"
- "Malonic acid" and "Propanedioic acid"
- "Succinic acid" and "Butanedioic acid"
- "Glutaric acid" and "Pentanedioic acid"
- "Adipic acid" and "Hexanedioic acid"
- "Pimelic acid" and "Heptanedioic acid"
- "Azelaic acid" and "Nonanedioic acid"
- "Sebacic acid" and "Decanedioic acid"

# C07C 57/00

# Unsaturated compounds having carboxyl groups bound to acyclic carbon atoms

## **Definition statement**

This place covers:

Unsaturated cyclic and alicyclic carboxylic acids, acid anhydrides and acid halides bound to acyclic carbon atoms.

Aromatic groups (not bound to a carboxylic acid) are also counted as unsaturation. e.g. acrylic acid C07C 57/04; phenylacetic acid C07C 57/32; cyclohexylphenylacetic acid C07C 57/46.

#### References

# Limiting references

This place does not cover:

Maleic anhydride	C07D 307/60
·	

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of CO7C 51/00.

Concerning certain subgroups:

C07C 57/30: e.g. Ibuprofen

# Synonyms and Keywords

In patent documents, the following words/expressions are often used as synonyms:

- "Acrylic acid" and "prop-2-enoic acid"
- "Methacrylic acid" and "2-methylpropenoic acid"
- "Crotonic acid" and " (E)-but-2-enoic acid"
- "Sorbic acid" and " (2E,4E)-hexa-2,4-dienoic acid"
- "Maleic acid" and " (Z)-butenedioic acid"
- "Fumaric acid" and " (E)-butenedioic acid"
- "Citraconic acid" and " (2Z)-2-methylbut-2-enedioic acid"
- "Muconic acid" and " (2E,4E)-hexa-2,4-dienedioic acid"
- "Propiolic acid" and "2-propynoic acid"
- "Acetylene dicarboxylic acid" and "but-2-ynedioic acid"

# C07C 59/00

Compounds having carboxyl groups bound to acyclic carbon atoms and containing any of the groups OH, O—metal, —CHO, keto, ether,

groups, 
$$O_-$$
 groups, or  $O_-$  groups  $O_ O_ O_$ 

#### **Definition statement**

This place covers:

Saturated and unsaturated acyclic and alicyclic carboxylic acids, carboxylic acid anhydrides and carboxylic acid halides bound to acyclic carbon atoms and containing any of the groups OH, O-metal, - CHO, keto, ether, hemiacetal, acetal, orthoester or orthocarbonate.

E.g. levulinic acid (4-oxo-pentanoic acid) CO7C 59/185; citric acid CO7C 59/265

#### References

#### Limiting references

This place does not cover:

Cyclic anhydrides	<u>C07D</u>
Steroid acids	<u>C07J</u>

#### Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 51/00.

Concerning certain subgroups:

C07C 59/105 : e.g. gluconic acid

C07C 59/185 : e.g. levulinic acid

C07C 59/245 : e.g. malic acid

<u>C07C 59/68</u>: the oxygen atom of the ether group can be bound to any (NOT "the") non-condensed six-membered aromatic ring

# **Synonyms and Keywords**

In patent documents, the following words/expressions are often used as synonyms:

- "Glycolic acid" and "2-hydroxyethanoic acid"
- "Lactic acid" and "2-hydroxypropanoic acid"
- "Glyoxylic acid" and "oxoethanoic acid"
- "Pyruvic acid" and "2-oxopropanoic acid"
- "Tartaric acid" and "2,3-dihydroxybutanedioic acid"
- "Citric acid" and "2-hydroxypropane-1,2,3-tricarboxylic acid"
- "Ricinoleic acid" and " (9Z,12R)-12-hydroxyoctadec-9-enoic acid"
- "Mandelic acid" and "2-hydroxy-2-phenylacetic acid"

# C07C 61/00

# Compounds having carboxyl groups bound to carbon atoms of rings other than six-membered aromatic rings

#### **Definition statement**

This place covers:

Saturated and unsaturated carboxylic acids, carboxylic acid anhydride or a carboxylic acid halide group bound to carbon atoms of carbocyclic ring(s) other than a six-membered aromatic ring(s).

The unsaturated compounds from C07C 61/16 onwards include unsaturation from aromatics.

E.g. cyclohexanecarboxylic acid C07C 61/08; chrysanthemumic acid C07C 61/37.

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 51/00.

# Synonyms and Keywords

In patent documents, the following words/expressions are often used as synonyms:

• "Chrysanthemumic acid" and "2,2-dimethyl-3-(2-methylprop-1-enyl)cyclopropane-1-carboxylic acid

#### C07C 62/00

Compounds having carboxyl groups bound to carbon atoms of rings other than six—membered aromatic rings and containing any of the groups OH, O—metal, —CHO, keto, ether,  $\bigcirc$  groups,  $\bigcirc$  groups, or  $\bigcirc$  groups

,o-c

# **Definition statement**

This place covers:

Saturated and unsaturated alicyclic carboxylic acids, carboxylic acid anhydrides and carboxylic acid halides, bound to carbon atoms of rings other than six-membered aromatic rings and containing any of the groups OH, O-metal, -CHO, keto, ether, hemiacetal, acetal, orthoester or orthocarbonate ester

The unsaturated compounds from C07C 62/30 onwards include unsaturation from aromatics.

E.g. 3-methoxy-cyclopentanecarboxylic acid C07C 62/08

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 51/00.

All carboxylic acids classified in this main group contain carbocyclic ring systems which are indexed using the corresponding codes from C07C 2601/00 - C07C 2604/00. These Indexing Codes are used even if the information is redundant in view of the subgroup title.

Concerning certain subgroups:

C07C 62/32 : e.g. shikimic acid

# C07C 63/00

# Compounds having carboxyl groups bound to a carbon atoms of sixmembered aromatic rings

#### **Definition statement**

This place covers:

Unsaturated carbocyclic carboxylic acids, carboxylic acid anhydrides and carboxylic acid halides bound to carbon atom of a carbocyclic six-membered aromatic ring.

E.g. terephthalic acid C07C 63/26; benzoic acid C07C 63/06

#### References

#### Limiting references

This place does not cover:

Phthalic anhydride	C07D 307/89

Limiting references

Trimellitic anhydride	C07D 307/89
Naphthalenic anhydride	C07D 307/92

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of  $\frac{\text{C07C 51/00}}{\text{C07C 51/00}}$ .

Concerning certain subgroups:

C07C 63/10, C07C 63/22 and C07C 63/30: the halides refers to aroyl halides only. Compounds with a halogen atom substitution on the carbon skeleton are classified in C07C 63/68-C07C 63/74.

## C07C 65/00

Compounds having carboxyl groups bound to carbon atoms of six—membered aromatic rings and containing any of the groups OH, O—metal, —CHO, keto, ether,  $\bigcirc$  groups,  $\bigcirc$  groups, or  $\bigcirc$  groups (cyclic anhydrides

-c-c 0-c 0-c 0-C 0-C 0-C

# **C07D**)

## **Definition statement**

This place covers:

Unsaturated carbocyclic carboxylic acids, carboxylic acid anhydrides and carboxylic acid halides bound to carbon atoms of carbocyclic six-membered aromatic rings and containing any of the groups OH, O-metal, -CHO, keto, ether, hemiacetal, acetal, orthoester or orthocarbonate.

E.g. 3-hydroxy-benzoic acid C07C 65/03; 3-acetyl-naphthoic acid C07C 65/34

#### References

#### Limiting references

This place does not cover:

Cyclic anhydrides	<u>C07D</u>

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of  $\frac{\text{C07C 51/00}}{\text{C07C 51/00}}$ .

# **Synonyms and Keywords**

In patent documents, the following words/expressions are often used as synonyms:

• "Salicylic acid" and "2-hydroxybenzoic acid"

# C07C 66/00

# Quinone carboxylic acids

## **Definition statement**

This place covers:

Carbocyclic quinone carboxylic including 1,2- and 1,4-benzoquinone, naphthoquinones, anthraquinones and higher conjugated aromatic quinones and also substituted quinones.

#### Special rules of classification

Carboxylic acids of quinone methides should be classified in the relevant group of a carboxylic acid with a ketone in the ring and not in this group.

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 51/00.

## C07C 67/00

## Preparation of carboxylic acid esters

#### **Definition statement**

This place covers:

Preparation and purification/stabilisation of acyclic or carbocylic carboxylic acid esters.

#### References

#### Limiting references

This place does not cover:

Preparation of lactones	<u>C07D</u>
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#### Informative references

Attention is drawn to the following places, which may be of interest for search:

	4
Polyesters	<u>C08G</u> , e.g. <u>C08G 63/00</u>

## Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 67/08</u>, <u>C07C 69/54</u> for the preparation of (meth)acrylic acid esters by direct esterification). A combination set consists of a process group (e.g. <u>C07C 67/08</u>), followed by and linked to the group

of the product (e.g.  $\underline{\text{C07C 69/54}}$ ). The products are selected from the corresponding product groups  $\underline{\text{C07C 69/00}}$  -  $\underline{\text{C07C 69/95}}$ .

Concerning certain (sub)groups:

CO7C 67/00 (the main group itself): processes which do not fit in any of the subgroups are classified here, e. g.

the preparation of enol esters from aldehydes or ketones and carboxylic acid anhydrides,

hydrogenation of carboxylic acids leading directly to esters,

preparation of methyl esters using diazomethane (CH2N2)

<u>C07C 67/02</u>: the term "transesterification" is somewhat misleading. This group covers interesterification (ester interchange) of the type ester1 + ester2, e.g.

 $R^{1}$ -C(O)O-R<sup>2</sup> + R<sup>3</sup>-C(O)O-R<sup>4</sup>  $\rightarrow$  R<sup>1</sup>-C(O)O-R<sup>4</sup> + R<sup>3</sup>-C(O)O-R<sup>2</sup>. One ester can also be a mineral ester.

COTC 67/03: this group covers reactions of the type ester1 + alcohol2, i. e. transesterification:  $R^1$ - $C(O)O-R^2+R^3$ - $OH\to R^1$ - $C(O)O-R^3+R^2$ -OH, e.g. reaction of methyl (meth)acrylate and 2-ethyl hexanol to 2-ethyl hexyl (meth)acrylate. When used as a starting material, also cyclic esters (lactones) are considered as esters, e.g. in the ring opening of  $\gamma$ -butyrolactone with methanol to methyl 4-hydroxy butyrate.

<u>C07C 67/035</u>: also reactions like the preparation benzyl acetate from toluene and acetic acid under oxidative conditions, wherein the saturated methyl group reacts, are classified here, though toluene as a whole is not a saturated hydrocarbon.

<u>C07C 67/04</u>: e.g. preparation of ethyl acetate from acetic acid and ethylene or preparation of vinyl acetate from acetic acid and acetylene

C07C 67/05, C07C 67/055: often preparation of vinyl acetate from acetic acid and ethylene

COTC 67/10: this group covers reactions of the type  $R^1$ - $C(O)O-R^2+R^3$ - $C(O)OH\to R^3$ - $C(O)O-R^2+R^3$ -C(O)OH, e.g. the preparation of a vinyl ester by reacting a carboxylic acid with vinyl acetate (the reaction of carboxylic acids with mineral acid esters such as carbon halogen bonds, e.g. alkyl halides, or dialkyl carbonates is classified in COTC 67/11)

C07C 67/11: e.g. reaction of carboxylic acids with dialkyl sulfate, dialkyl carbonate or alkyl halides

CO7C 67/12: acyclic unsymmetrical anhydrides have two different acyl groups, e.g. PivOAc; cyclic anhydrides are unsymmetrical if two different - including stereochemically different - products are obtained depending on which of the two acyl groups react with the alcohol. For example, succinic anhydride is symmetrical (to be classified in CO7C 67/08), 2-methyl succinic anhydride is unsymmetrical.

<u>C07C 67/28</u> - <u>C07C 67/297</u>: the limitation to modifications "not being an introduction of an ester group" is construed such as to exclude reactions merely forming new ester groups which were not already present in the educt(s) (e.g. the further esterification of hydroxy groups of a polyol partial ester is classified as esterification in <u>C07C 67/08</u>)

C07C 67/30 - C07C 67/347: the limitation to modifications "not being an introduction of an ester group" is construed such as to exclude reactions merely forming new ester groups which were not already present in the educt(s) (e.g. the esterification of an existing carboxyl group of a polycarboxylic acid partial ester is classified as esterification in C07C 67/08)

C07C 67/30: this group covers reactions which do not fit into any of its subgroups
C07C 67/303 - C07C 67/347. An example is the partial hydrolysis of esters of polyacids, e. g. making sodium methyl succinate from dimethyl succinate.

<u>C07C 67/31</u>: e.g. deprotection of an OH group in the acid moiety or ring opening of epoxide in the acid moiety (the transesterification/ring opening of lactones to hydroxy carboxylic acid esters is classified in <u>C07C 67/03</u>).

C07C 67/313: e.g. oxidation of a hydroxy group to a carbonyl group (the introduction of a formyl group by hydroformylation of a double bond in the acid moiety, which increases the size of the carbon skeleton, is classified under C07C 67/347; an ozonolysis leading to an introduction of a carbonyl group while decreasing the number of carbon atoms of the carbon skeleton is classified under C07C 67/333)

C07C 67/327: the term elimination is construed as beta-elimination leading to a double (or triple) bond.

<u>C07C 67/333</u>: next to isomerisation, also reactions leading to a decrease of the number of carbon atoms of the carbon skeleton are classified here, e.g. ethenolysis of methyl oleate to methyl decenoate, or ozonolysis of maleic acid esters to glyoxylic acid esters

CO7C 67/347: e.g. introduction of a formyl group by hydroformylation of a double bond in the acid moiety, which increases the size of the carbon skeleton

<u>C07C 67/36</u> - <u>C07C 67/38</u> : these groups cover reaction leading to new carboxylic ester groups by reaction with carbon monoxide or formates (a mere introduction of a formyl group by hydroformylation of a double bond in an existing ester is classified under <u>C07C 67/293</u> or <u>C07C 67/347</u>)

C07C 67/36: e.g. carbonylation of methanol to methyl acetate

CO7C 67/37: e.g. carbonylation of dimethyl ether to methyl acetate; alkoxycarbonylation (i.e. reaction with CO and R-OH) of alkylene oxides to hydroxy carboxylic acid esters

<u>C07C 67/38</u>: alkoxycarbonylation of olefins, e.g. preparation of methyl propionate by methoxycarbonylation of ethylene

<u>C07C 67/465</u>, <u>C07C 67/47</u>: these groups are not used systematically. They can be used in addition to a classification of the reaction underlying the oligomerisation/telomerisation.

C07C 67/475: e.g. metathesis reactions involving two unsaturated esters leading to one product containing more ester groups and another product containing less/no ester groups, such as the preparation of long-chain unsaturated  $\alpha,\omega$ -dicarboxylic acid esters by homometathesis (self metathesis) of unsaturated fatty acid esters (cross metathesis of unsaturated ester and unsaturated hydrocarbons are classified in C07C 67/293, C07C 67/333 or C07C 67/343)

 $\underline{\text{C07C 67/60}}$ : chemical modification can be the modification of an impurity or the temporary modification of the desired target compound

#### Glossary of terms

In this place, the following terms or expressions are used with the meaning indicated:

	Esters of inorganic acids (see glossary of CO7C), including organic halides, e.g. CH3I or Ph-Br (which are esters of hydrohalic acids) or carbonates, e.g. CH3O-C(=O)OCH3
Platinum group metals	Os, Ir, Pt, Ru, Rh, Pd

#### C07C 68/00

# Preparation of esters of carbonic or haloformic acids

#### **Definition statement**

This place covers:

Preparation or purification/stabilisation of acyclic or carbocylic organic carbonates or haloformates.

#### References

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Preparation of cyclic carbonates, e.g. ethylene carbonate	<u>C07D</u>
Polycarbonates	C08G 64/00, C08G 64/00

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 68/08</u>, <u>C07C 69/96</u>). A combination set consists of a process group (e.g. <u>C07C 68/08</u>), followed by and linked to the group of the product (i.e. <u>C07C 69/96</u>). Presently, there is only one product, namely <u>C07C 69/96</u>.

Concerning certain (sub)groups:

C07C 68/00: processes which do not fit in any of the subgroups, e.g.

- · dimethyl carbonate from urea or carbamate
- decarbonylation of diphenyl oxalate to diphenyl carbonate
- oxidative carbonylation using other oxidants than oxygen (rare)

CO7C 68/01: e.g. oxidative carbonylation of phenol in the presence of oxygen, 2 Ph-OH + CO + ½O2 → O=C(OPh)2 + H2O

<u>C07C 68/06</u>: often preparation of diaryl carbonate from dialkyl carbonate and aryl alcohol, e.g. diphenyl carbonate from DMC and phenol; also also reactions leading from one carbonate to another carbonate not involving the carbonate group

<u>C07C 68/065</u>: often preparation of DMC from ethylene carbonate and methanol.

# **Synonyms and Keywords**

In patent documents, the following abbreviations are often used:

S	
DMC	dimethyl carbonate

#### C07C 69/00

Esters of carboxylic acids; Esters of carbonic or haloformic acids (ortho esters, see the relevant groups, e.g. C07C 43/32)

#### **Definition statement**

This place covers:

Esters of acyclic or carbocylic carboxylic acids; Acyclic or carbocylic carbonates or haloformates.

#### References

#### Limiting references

This place does not cover:

Ortho esters	C07C 43/32
Lactones or alkylene carbonates	<u>C07D</u>
Esters of sugars	<u>C07H</u>
Fats, oils	<u>C11B, C11C</u>

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Polyesters	C08G, e.g. C08G 63/00
Polycarbonates	C08G, e.g. C08G 64/00

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 67/00 or C07C 68/00.

There is no distinction between full and partial esters of polycarboxylic acids. E. g. Dimethyl succinate and monomethyl succinate as well as salts and acid halides of the half ester are all classified in C07C 69/40.

The following IPC groups are not used: C07C69/025, C07C69/03, C07C69/035, C07C69/347, C07C69/353, C07C69/527, C07C69/767, C07C69/773, C07C69/83.

#### Concerning certain subgroups:

C07C 69/003 - C07C 69/017 : "esters having a variably-specified acid moiety, i.e. covered by more than one of groups C07C 69/02, C07C 69/34, C07C 69/52, C07C 69/608, C07C 69/612, C07C 69/62, C07C 69/66, C07C 69/74, C07C 69/76, C07C 69/95, C07C 69/96" are classified in the groups C07C 69/003 - C07C 69/017 according to their hydroxylic moiety (IPC). This IPC rule is construed in the following way: an ester is always classified (at least) according to the carboxylic acid moiety or carbonic acid moiety. In addition, the ester is classified in the groups C07C 69/003 - C07C 69/017 according the hydroxylic (alcohol) moiety if the claim defines the alcohol moiety in a specific way and the carboxylic or carbonic acid moiety in a generic way, falling into more than one of the aforementioned groups C07C 69/02 - C07C 69/96.

Example: a claim relates to the preparation of vinyl esters of the formula CH2=CH-O-C(O)-R from ethylene and the corresponding carboxylic acid under oxidative condition in the presence of a Pd catalyst. The claim defines a specific alcohol moiety (CH2=CH-O-) and a generic acid mioety (-C(O)-R). The examples show the preparation of vinyl acetate and vinyl benzoate. The reaction is classified as leading to vinyl esters (C07C 69/01), to vinyl acetate (C07C 69/15) and to vinyl benzoate (C07C 69/78), i.e. as combination-sets (C07C 67/055, C07C 69/01), (C07C 67/055, C07C 69/15), (C07C 67/055, C07C 69/78).

C07C 69/02: this group is only used if the acyclic saturated monocarboxylic acid is not disclosed specifically, e.g. only as "lower alkyl carboxylic acid", and cannot be classified in any of the subgroups of C07C 69/02

C07C 69/34: esters of acyclic saturated polycarboxylic acids other than those named in C07C 69/36 - C07C 69/50, e.g. suberic acid esters or methyl malonic acid esters.

C07C 69/36 - C07C 69/50: only esters of the acids named in the titles are classified here (C-alkylated derivatives, e.g. methyl malonic acid esters, are classified in C07C 69/34)

<u>C07C 69/52</u>: esters acyclic unsaturated carboxylic acids with an unknown or mixed degree of unsaturation, e.g. esters of fatty acids from vegetable oils, are classified here.

C07C 69/56: esters of CH3-CH=CH-COOH and CH2=CH-CH2-COOH

C07C 69/58: often oleic acid esters

C07C 69/587: often esters of linolic or linoleic acid or PUFAs

<u>C07C 69/606</u>: this group covers esters of acids having only carbon-to-carbon triple bonds as well as esters of acids having carbon-to-carbon double bonds and additionally carbon-to-carbon triple bonds in the carboxylic acid moiety

C07C 69/616: the term "polycyclic" covers condensed rings, e.g. a naphthyl or indanyl ring, or two or more uncondensed rings, e.g. two phenyl rings or a phenyl and a cyclohexyl ring

C07C 69/618: e.g. cinnamic acid esters

<u>C07C 69/62</u> - <u>C07C 69/657</u>: the halogen(s) can be bound to the acid moiety and/or the alcohol moiety (acid halides of polycarboxylic acid partial esters are classified as the corresponding polycarboxylic acid partial esters)

<u>C07C 69/716</u>, <u>C07C 69/738</u>: esters of carboxylic acids having keto and/or aldehyde (-CHO) groups in the acid moiety, i.e. esters of keto-carboxylic acids or aldehydo-carboxylic acids are classified here.

<u>C07C 69/76</u>: esters of carboxylic acids having an esterified carboxyl group bound to a carbon atom of a six-membered aromatic ring which do not fit into any of the subgroups <u>C07C 69/78</u> - <u>C07C 69/94</u>, e.g. esters chlorobenzoic acid, toluene carboxylic acid, or naphthalene carboxylic acid.

 $\underline{\text{C07C 69/78}}$ : esters of benzoic acid only (derivatives such esters chlorobenzoic acid or toluene carboxylic acid are classified under  $\underline{\text{C07C 69/76}}$ )

## **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Polycyclic	containing two or more rings, condensed or isolated

## Synonyms and Keywords

In patent documents, the following abbreviations are often used:

VA, VAM	vinyl acetate (monomer)
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PUFAs p	polyunsaturated fatty acids
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## C07C 71/00

# Esters of oxyacids of halogens

#### **Definition statement**

This place covers:

Acyclic or alicyclic esters of oxyacids of halogens, i. e. compounds of the type R-O-X(O)n, n>=0, and their preparation/purification and stabilisation.

Examples are esters of hypochlorous acid R-O-Cl;

Also covered here are compounds of the type R-X(O)n, n>0, which are not exactly esters of oxyacids of halogens, but for which there is no better place under CO7C.

#### C07C 201/00

# Preparation of esters of nitric or nitrous acid or of compounds containing nitro or nitroso groups bound to a carbon skeleton

#### **Definition statement**

This place covers:

The preparation, separation, purification and stabilization of

- esters of nitric acid (-C-O-NO2)
- esters of nitrous acid (-C-O-NO)
- nitro compounds (-C-NO2)
- nitroso compounds (-C-NO)

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 201/16</u>, <u>C07C 205/06</u> for the purification of nitrobenzene). A combination set consists of a process group (e.g. <u>C07C 201/16</u>), followed by and linked to the group of the product (e.g. <u>C07C 205/06</u>). The products are selected from the corresponding product groups <u>C07C 203/00 - C07C 207/00</u>.

Please note: Not all documents in this main group are already classified using combination sets. Some documents concerning the preparation of esters of nitric or nitrous acid or of compounds containing nitro or nitroso groups are presently only classified in the corresponding product groups. These documents (which are continuously being reclassified) have to be searched using only the product group.

# **Synonyms and Keywords**

In patent documents, the following abbreviations are often used:

DNT	dinitrotoluene
TNT	trinitrotoluene

## C07C 203/00

#### Esters of nitric or nitrous acid

#### **Definition statement**

This place covers:

- Esters of nitric acid (O2N-O-C-)
- Esters of nitrous acid (ON-O-C-)

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 201/00.

#### C07C 205/00

# Compounds containing nitro groups bound to a carbon skeleton

#### **Definition statement**

This place covers:

Organic nitro compounds (-C-NO2)

#### References

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Explosives C06
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## Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 201/00.

Concerning certain (sub)groups:

<u>C07C 205/01</u>: -C-NO2 (C: acyclic carbon)

C07C 205/05: Cy- NO2 (Cy: cycloalkyl, cycloalkenyl)

<u>C07C 205/06</u>: Ar-NO2 (Ar: aromatic ring)

C07C 205/07 - C07C 205/12: -NO2 + halo on the carbon skeleton

C07C 205/13 - C07C 205/26: -NO2 + -OH on the carbon skeleton

C07C 205/27 - C07C 205/38: -NO2 + -OR on the carbon skeleton

C07C 205/39 - C07C 205/43: -NO2 + -O-C(O)-R on the carbon skeleton

C07C 205/44 -NO2 + -CHO on the carbon skeleton

C07C 205/45 - C07C 205/48: -NO2 + a keto group on the carbon skeleton

C07C 205/49 - C07C 205/61: -NO2 + -COOH or -NO2 + -COOR on the carbon skeleton.

# Synonyms and Keywords

In patent documents, the following abbreviations are often used:

DNT	dinitrotoluene
TNT	trinitrotoluene

In patent documents, the following words/expressions are often used as synonyms:

• "Styphnic acid" and "2,4,6-trinitrobenzene-1,3-diol"

## C07C 207/00

# Compounds containing nitroso groups bound to a carbon skeleton

#### **Definition statement**

This place covers:

Organic nitroso compounds (-C-NO)

#### Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 201/00.

#### C07C 209/00

# Preparation of compounds containing amino groups bound to a carbon skeleton

#### **Definition statement**

This place covers:

The preparation, purification, separation and stabilization of organic amines (-C-NR2).

## References

# Limiting references

This place does not cover:

Preparation of amines protected by an acyl group, e.g. amines protected by an acetyl group (Ac) or a benzoyl group (bz)	C07C 231/00
Preparation of amines protected by a Cbz, Boc or Fmoc group	C07C 269/00
Preparation of cyclic amines wherein the nitrogen atom is part of a ring, e.g. piperidine, morpholine	C07D 211/00, C07D 295/00

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

For some subgroups e.g. C07C 209/36 and C07C 209/48 reduction- and/	<u>B01J</u>
or hydrogenation catalysts	

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 209/84</u>, <u>C07C 211/46</u> for the purification of aniline). A combination set consists of a process group (e.g. <u>C07C 209/84</u>), followed by and linked to the group of the product (e.g. <u>C07C 211/46</u>). The products are selected from the corresponding product group <u>C07C 211/00</u>.

Concerning certain (sub)groups:

<u>C07C 209/02</u>: e.g. direct amination of hydrocarbons; substitution reactions like CH3-Br + CH3-NH2  $\rightarrow$  CH3-NH-CH3 are to be classified in <u>C07C 209/08</u> (substitution of halogen) due to the last place rule.

C07C 209/24: e.g. NH3 or RNH2 + R-C(O)-R'

#### C07C 209/56:

- Hofmann: R-C(O)-NH2 + NaOBr → RNH2
   Curtius: R-C(O)-N3 → R-N=C=O → RNH2
- Schmidt: R-COOH + HN3 → RNH2
- Lossen: R-C(O)-NH-OH → R-N=C=O → RNH2

C07C 209/78: CH2O + C6H5NH2 → H2N-C6H4-CH2-C6H4-NH2

# **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Quaternary ammonium	the nitrogen atom of the amino group is bound to 4 carbon atoms
compounds	

#### Synonyms and Keywords

In patent documents, the following words/expressions are often used as synonyms:

- "Aniline" and "aminobenzene"
- "Toluidine" and "'o'-, 'm'-, or 'p'-methylaniline"
- "Phenylenediamines" and "1,2- or 1,3- or 1,4-diaminobenzene"
- "Hexamethylenediamine" and "1,6-diaminohexane"

# C07C 211/00

# Compounds containing amino groups bound to a carbon skeleton

# **Definition statement**

This place covers:

Acyclic, cyclic and aromatic organic amines (-C-NR2)

#### References

#### Limiting references

This place does not cover:

Amines protected by an acyl group, e.g. amines protected by an acetyl group (Ac) or a benzoyl group (bz)	C07C 233/00, C07C 235/00, C07C 237/00
Amines protected by a Cbz, Boc or Fmoc group	C07C 271/00
Cyclic amines wherein the nitrogen atom is part of a ring, e.g. piperidine, morpholine	C07D 211/00, C07D 295/00

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 209/00.

Concerning certain (sub)groups:

<u>C07C 211/01</u> - <u>C07C 211/32</u>: -C-NR2, C = acyclic carbon

<u>C07C 211/33</u> - <u>C07C 211/42</u>: Cy-NR2, Cy = cycloalkyl, cycloalkenyl

C07C 211/43 - C07C 211/61: Ar-NR2, Ar = aromatic ring

C07C 211/57 - C07C 211/61: Ar-NR2, Ar = aromatic ring and part of a

condensed ring system

C07C 211/47: for example H2N-C6H4-CH3

C07C 211/51: for example H2N-C6H4-NH2

C07C 211/55: for example C6H5-NH-C6H5

C07C 211/62 - C07C 211/64: quaternary ammonium compounds

C07C 211/65: metal complexes of amines

## **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Quaternary ammonium	the nitrogen atom of the amino group is bound to 4 carbon atoms
compounds	

## Synonyms and Keywords

In patent documents, the following words/expressions are often used as synonyms:

- "Aniline" and "aminobenzene"
- "Toluidine" and "'o'-, 'm'-, or 'p'-methylaniline"
- "Phenylenediamines" and "1,2- or 1,3- or 1,4-diaminobenzene"
- "Hexamethylenediamine" and "1,6-diaminohexane"

## C07C 213/00

Preparation of compounds containing amino and hydroxy, amino and etherified hydroxy or amino and esterified hydroxy groups bound to the same carbon skeleton

#### **Definition statement**

This place covers:

The preparation, purification, separation and stabilization of:

- amino alcohols (R2N-A-OH, A represents a hydrocarbon residue)
- amino ethers (R2N-A-OR, A represents a hydrocarbon residue)
- compounds having an amino group and an esterified hydroxy-group (R2N-A-O-C(O)-R, A represents a hydrocarbon residue)

#### References

#### Limiting references

This place does not cover:

Preparation of amino acids and esters thereof	C07C 227/00
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# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 213/10</u>, <u>C07C 215/08</u> for the purification of aminoethanol). A combination set consists of a process group (e.g. <u>C07C 213/10</u>), followed by and linked to the group of the product (e.g. <u>C07C 215/08</u>). The products are selected from the corresponding product groups <u>C07C 215/00</u>, <u>C07C 217/00</u> and <u>C07C 219/00</u>.

Concerning certain (sub)groups:

C07C 213/04: e.g. ethylenoxide or epichlorohydrin + NH3 or RNH2

CO7C 213/08: this group covers also the deprotection of protected amino alcohols, amino ethers and amino esters.

#### C07C 215/00

# Compounds containing amino and hydroxy groups bound to the same carbon skeleton

#### **Definition statement**

This place covers:

Aminoalcohols (R2N-A-OH, A represents a hydrocarbon residue).

#### References

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Detergent compositions	C11D 1/00
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The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 213/00.

Concerning certain (sub)groups:

CO7C 215/02 - CO7C 215/38: -OH and -NR2 are both bound to acyclic carbon atoms

CO7C 215/40: the amino group is quaternized and the amino and the -OH group are both bound to acyclic carbon atoms

C07C 215/42 - C07C 215/44: -OH or -NR2 is bound to cycloalkyl or cycloalkenyl

C07C 215/46 - C07C 215/66: -OH bound to an aromatic ring and -NR2 not

C07C 215/68 - C07C 215/72: -NR2 bound to an aromatic ring and -OH not

C07C 215/74 - C07C 215/82: -NR2 and -OH are both bound to an aromatic ring

C07C 215/84 - C07C 215/88: -NR2 bound to an aromatic ring which is part of a condensed ring system

C07C 215/90: quaternary amino group and -OH are both bound to an aromatic ring.

# **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Quaternary ammonium	the nitrogen atom of the amino group is bound to 4 carbon atoms
compounds	

# C07C 217/00

# Compounds containing amino and etherified hydroxy groups bound to the same carbon skeleton

# **Definition statement**

This place covers:

Aminoethers (R2N-A-OR, A and R represent hydrocarbon residues).

# References

# Informative references

Attention is drawn to the following places, which may be of interest for search:

Detergent compositions	C11D 1/00

#### Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 213/00.

Concerning certain (sub)groups:

C07C 217/02 - C07C 217/50: -OR and -NR2 are both bound to acyclic carbon atoms

CO7C 217/14 - CO7C 217/24: -OR' and -NR2 are both bound to acyclic carbon atoms and R' is aromatic

C07C 217/20: only if the substituent is directly attached to the aromatic ring

C07C 217/52: -OR or -NR2 is bound to cycloalkyl or cycloalkenyl

C07C 217/54 - C07C 217/74: -OR bound to an aromatic ring and -NR2 not

C07C 217/76: -NR2 bound to an aromatic ring and -OR not

C07C 217/78 - C07C 217/94: -NR2 and -OH are both bound to an aromatic ring

C07C 217/94: -NR2 bound to an aromatic ring which is part of a condensed ring system

## C07C 219/00

# Compounds containing amino and esterified hydroxy groups bound to the same carbon skeleton

#### **Definition statement**

This place covers:

Compounds containing an amino group and an esterified hydroxy group (R2N-A-O-C(O)-R, A represents a hydrocarbon residue).

# References

#### Limiting references

This place does not cover:

Esters of amino acids(R2N-A-C(O)-O-R)	C07C 229/00

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Detergent compositions	C11D 1/00
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## Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of <a href="COTC 213/00">COTC 213/00</a>.

Concerning certain (sub)groups:

C07C 219/02 - C07C 219/22: -O-C(O)-R and -NR2 are both bound to acyclic carbon atoms

C07C 219/24: -O-C(O)-R and/or -NR2 is bound to cycloalkyl or cycloalkenyl

C07C 219/26 - C07C 219/30: -O-C(O)-R bound to an aromatic ring and -NR2 not

CO7C 219/32: -NR2 bound to an aromatic ring and -O-C(O)-R not

C07C 219/34: -NR2 and -O-C(O)-R are both bound to an aromatic ring.

# C07C 221/00

# Preparation of compounds containing amino groups and doubly-bound oxygen atoms bound to the same carbon skeleton

#### **Definition statement**

This place covers:

The preparation, purification, separation and stabilisation of

- aminoketones (R2N-A-C(O)-C, A represents a hydrocarbon residue)
- aminoaldehydes (R2N-A-CHO, A represents a hydrocarbon residue)

#### Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 221/00</u>, <u>C07C 225/34</u> for the preparation of aminoanthraquinone). A combination set consists of a process group (e.g. <u>C07C 221/00</u>), followed by and linked to the group of the product (e.g. <u>C07C 225/34</u>). The products are selected from the corresponding product groups <u>C07C 223/00</u> and <u>C07C 225/00</u>.

#### C07C 223/00

# Compounds containing amino and —CHO groups bound to the same carbon skeleton

#### **Definition statement**

This place covers:

Amino aldehydes (R2N-A-CHO, A represents a hydrocarbon residue).

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of <a href="COTC 221/00">COTC 221/00</a>.

## C07C 225/00

Compounds containing amino groups and doubly—bound oxygen atoms bound to the same carbon skeleton, at least one of the doubly—bound oxygen atoms not being part of a —CHO group, e.g. amino ketones

#### **Definition statement**

This place covers:

Aminoketones R2N-A-C(O)-C, A represents a hydrocarbon residue)

# References

#### Limiting references

This place does not cover:

Anthracene dyes	C09B 1/00, C09B 3/00,
	C09B 5/00, C09B 6/00,
	<u>C09B 9/02</u>

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 221/00.

Concerning certain (sub)groups:

C07C 225/02 - C07C 225/18: -NR2 is bound to an acyclic carbon atom

C07C 225/20: -NR2 is bound to cycloalkyl or cycloalkenyl

C07C 225/22: -NR2 is bound to an aromatic ring

C07C 225/24 - C07C 225/36: the carbon skeleton contains quinone rings

# C07C 227/00

# Preparation of compounds containing amino and carboxyl groups bound to the same carbon skeleton

#### **Definition statement**

This place covers:

The preparation, purification, separation and stabilisation of

- amino acids (R2N-A-C(O)-OH, A represents a hydrocarbon residue)
- esters of amino acids (R2N-A-C(O)-OR, A and R represent hydrocarbon residues).

#### References

# Limiting references

This place does not cover:

Preparation of amino acids or esters of amino acids in which the amino group is acyl protected e.g. by an acetyl group (Ac) or a benzoyl group (bz)	C07C 231/00
Preparation of amino acids or esters of amino acids in which the amino group is protected by a Cbz, Boc or Fmoc group	C07C 269/00
Preparation of carboxylic acids or esters of amino acids by microorganisms or enzymes e.g. by fermentation	C12P 13/00

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 227/40</u>, <u>C07C 229/26</u> for the purification of lysine). A combination set consists of a process group (e.g. <u>C07C 227/40</u>), followed by and linked to the group of the product (e.g. <u>C07C 229/26</u>). The products are selected from the corresponding product groups <u>C07C 229/00</u>.

Concerning certain (sub)groups:

CO7C 227/22:  $-C(R)-C=N-OH \rightarrow -C-C(O)-NHR$ 

CO7C 227/26: carboxylic acid + amine + HCN→ aminonitrile intermediate formed in situ -> hydrolysis to an amino acid or from aminonitriles

# C07C 229/00

# Compounds containing amino and carboxyl groups bound to the same carbon skeleton

# **Definition statement**

This place covers:

- amino acids (R2N-A-C(O)-OH, A represents a hydrocarbon residue)
- esters of amino acids (R2N-A-C(O)-OR, A represents a hydrocarbon residue)

# References

# Limiting references

This place does not cover:

Amino acids or esters of amino acids in which the amino group is acyl protected e.g. by an acetyl group (Ac) or a benzoyl group (bz)	C07C 233/00, C07C 235/00, C07C 237/00
Amino acids or esters of amino acids in which the amino group is protected by a Cbz, Boc or Fmoc group	C07C 271/00
Amino acids containing guanidine groups e.g. arginine	<u>C07C 279/00</u>
Sulfur containing amino acids, e.g. methionine	C07C 323/00
Heterocyclic amino acids, e.g. Proline, histidine	<u>C07D</u>

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 227/00.

Concerning certain (sub)groups:

C07C 229/02 - C07C 229/36: -NR2 and -COOR both bound to acyclic carbon atoms

C07C 229/34: the carbon skeleton contains an aromatic ring

CO7C 229/38: -NR2 bound to acyclic carbon atom and -COOR to an aromatic ring

CO7C 229/40 - CO7C 229/44: -COOR bound to an acyclic carbon atom and -NH2 bound to an aromatic ring

C07C 229/46 - C07C 229/50: -NR2 and/or -COOR bound to a cycloalkyl or cycloalkenyl ring

C07C 229/52 - C07C 229/66: -NR2 and -COOR both bound to an aromatic ring

CO7C 229/68 - CO7C 229/74: -NR2 and -COOR both bound to an aromatic ring which is part of condensed ring system

C07C 229/76: metal complexes of amino acids

# C07C 231/00

#### Preparation of carboxylic acid amides

# **Definition statement**

This place covers:

The preparation, separation, purification and stabilisation of carboxylic acid amides R-C(O)-NR2

#### References

#### Limiting references

This place does not cover:

Preparation of peptides	C07K 1/00
Preparation of polyamides	C08G 69/00
Preparation of carboxylic acid amides by microorganism or enzymes e.g. by fermentation	C12P 13/00

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 231/24</u>, <u>C07C 233/05</u> for the purification of acetamide). A combination set consists of a process group (e.g. <u>C07C 231/24</u>: purification of amides), followed by and linked to the group of the product (e.g. <u>C07C 233/05</u>: acetamide). The products are selected from the corresponding product groups <u>C07C 233/00</u>, <u>C07C 235/00</u>, <u>C07C 237/00</u>.

# C07C 233/00

# Carboxylic acid amides

#### **Definition statement**

This place covers:

Carboxylic acid amides of the following type: A-C(O)-NR2 (A represents hydrogen or a hydrocarbon residue); in the case that A represents a hydrocarbon residue this is either unsubstituted or only substituted by halogen, nitro or nitroso groups

#### References

# Limiting references

This place does not cover:

Polyamides	C08G 69/00
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# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 231/00.

Concerning certain (sub)groups:

C07C 233/56: X-C(O)-C(O)-NR2, X = halo, -OR or -NR2

# **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Quaternary ammonium	the nitrogen atom of the amino group is bound to 4 carbon atoms
compounds	

# C07C 235/00

# Carboxylic acid amides, the carbon skeleton of the acid part being further substituted by oxygen atoms

# **Definition statement**

This place covers:

Carboxylic acid amides of the following type: A-C(O)-NR2 in which the carbon skeleton of the acid part (residue A) is substituted by singly-bound oxygen atoms (-OH, -OR, -O-C(O)-R) or doubly-bound oxygen atoms (a keto group or CHO).

#### References

#### Limiting references

This place does not cover:

Polyamides	C08G 69/00

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 231/00.

Attention: a carboxyl group is not considered as a doubly-bound oxygen atom because a carboxyl group terminates the carbon skeleton (see carbon skeleton approach under general rules applicable for the whole subclass as defined under <a href="COTC">COTC</a>), thus -O-C(O)-A-C(O)-NR2 (A represents a hydrocarbon residue) has to be classified in a subgroup of <a href="COTC">COTC</a> 233/00 because the carbon of the carboxyl group attached to A does not belong to the carbon skeleton

The compound -C(O)-O-A-C(O)-NR2 (A represents a hydrocarbon residue) is classified in a subgroup of C07C 235/00 because in this case an esterified hydroxy group is attached to A

Concerning certain (sub)groups:

<u>C07C 235/70</u> - <u>C07C 235/84</u>: attention: only keto groups and aldehyde groups are considered as doubly-bound oxygen but not carboxyl groups (see <u>C07C 233/56</u>)

C07C 235/70: R-C(O)-C(O)-NR2

C07C 235/80: R-C(O)-CH2-C(O)-NR2

# **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Quaternary ammonium	the nitrogen atom of the amino group is bound to 4 carbon atoms	
compounds		

# C07C 237/00

# Carboxylic acid amides, the carbon skeleton of the acid part being further substituted by amino groups

#### **Definition statement**

This place covers:

carboxylic acid amides of the following type: A-C(O)-NR2 in which the carbon skeleton of the acid part (residue A) is substituted by amino groups

#### Relationships with other classification places

Peptides <u>C07K</u>: peptides are compounds containing at least two amino acid units, which are bound through at least one normal peptide link, including oligopeptides, polypeptides and proteins; a normal peptide link is a link between an alpha amino group of an amino acid and the carboxyl group - in position 1 - of another alpha amino acid

For example the following compounds (I) to (III) are peptides:

- (I) HOOC-CHR-NH-[C(O)-CHR-NH]n-C(O)-CHR-NH2 with n>=0
- (II) ROOC-CHR-NH-[C(O)-CHR-NH][C(O)-CHR-NH2 with [C(O)-CHR-NH2 wi
- (III) H2NOC-CHR-NH-[C(O)-CHR-NH]n-C(O)-CHR-NH2 with n>=0

Relationships with other classification places

(R represents a hydrocarbon residue)

#### References

# Limiting references

This place does not cover:

Polypeptides	C07K 5/00
Polyamides	C08G 69/00

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 231/00.

Concerning certain (sub)groups:

C07C 237/52: -A-C(O)-N(R)-C(O)-, A is substituted by singly-bound nitrogen

# **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Quaternary ammonium	the nitrogen atom of the amino group is bound to 4 carbon atoms
compounds	

# C07C 239/00

Compounds containing nitrogen-to-halogen bonds; Hydroxylamino compounds or ethers or esters thereof (oximes C07C 251/00; hydroxamic acids or derivatives thereof C07C 259/00)

# **Definition statement**

This place covers:

- N-halogenated amines (-C-NR-halo, R represents a hydrocarbon residue)
- N-halogenated amides (-C(O)-NR-halo, R represents a hydrocarbon residue)
- hydroxylamino compounds (-C-N-OH)
- ethers of hydroxylamino compounds (-C-N-OR, R represents a hydrocarbon residue)
- esters of hydroxylamino compounds (-C-N-O-C(O)-R, R represents a hydrocarbon residue)

and the preparation, purification, separation and stabilisation of N-halogenated amines, N-halogenated amides, hydroxylamino compounds, ethers of hydroxylamino compounds and esters of hydroxylamino compounds

#### References

# Limiting references

This place does not cover:

Oximes (-C=N-OH or -C=N-OR) or their preparation,	C07C 249/00,
purification, separation or stabilisation	C07C 251/00

Limiting references

Hydroxamic acids (-C-C(O)-NH-OH) or their preparation, purification, separation or stabilisation	C07C 259/00
Unsubstituted hydroxylamine and its preparation, purification, separation or stabilisation	C01B 21/14

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

There is no specific preparation group for N-halogenated amines, N-halogenated amides, hydroxylamino compounds or ethers or esters thereof. In case the application relates to the preparation, purification, separation or stabilisation of N-halogenated amines, N-halogenated amides, hydroxylamino compounds or ethers or esters thereof, the product which is prepared, purified separated or stabilised is classified in the appropriate product subgroup.

# C07C 241/00

# Preparation of compounds containing chains of nitrogen atoms singly-bound to each other, e.g. hydrazines, triazanes

#### **Definition statement**

This place covers:

the preparation, purification, separation or stabilisation of

- hydrazines (R2N-NR2) R is hydrogen or a hydrocarbon residue and at least one R is hydrocarbon residue
- hydrazides [R2N-NR-C(O)-R] R is hydrogen or a hydrocarbon residue
- triazanes (R2N-NR-NR2) R is hydrogen or a hydrocarbon residue and at least one R is hydrocarbon residue

# References

#### Limiting references

This place does not cover:

Preparation, purification, separation or stabilisation of unsubstituted	C01B 21/16
hydrazine	

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 241/02</u>, <u>C07C 243/22</u> for the preparation of phenylhydrazine). A combination set consists of a process group (e.g. <u>C07C 241/02</u>: preparation of hydrazines), followed by and linked to the group of the product (e.g. <u>C07C 243/22</u>: phenylhydrazine). The products are selected from the corresponding product group <u>C07C 243/00</u>.

# C07C 243/00

# Compounds containing chains of nitrogen atoms singly-bound to each other, e.g. hydrazines, triazanes

#### **Definition statement**

This place covers:

- N-nitro compounds(R2N-NO2)
- N-nitroso compounds(R2N-NO)
- hydrazines (R2N-NR2) R is hydrogen or a hydrocarbon residue and at least one R is hydrocarbon residue
- hydrazides [R2N-NR-C(O)-R] R is hydrogen or a hydrocarbon residue
- triazanes (R2N-NR-NR2) R is hydrogen or a hydrocarbon residue and at least one R is hydrocarbon residue

#### References

# Limiting references

This place does not cover:

Unsubstituted hydrazine	C01B 21/16
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# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 241/00.

# **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Quaternary ammonium	the nitrogen atom of the amino group is bound to 4 carbon atoms
compounds	

# C07C 245/00

Compounds containing chains of at least two nitrogen atoms with at least one nitrogen-to-nitrogen multiple bond (azoxy compounds <a href="COTC 291/08">COTC 291/08</a>)

# **Definition statement**

This place covers:

- azo compounds (R-N=N-R)
- diazo compounds (=N2),
- diazonium compounds (=N2+)
- compounds with the group R-N=N-NR2

and the preparation, purification, separation or stabilisation of azo-, diazo-, diazonium compounds and of compounds with the group R-N=N-NR2

#### References

# Limiting references

This place does not cover:

Azoxy compounds	C07C 291/08
Azo dyes and the preparation of azo dyes	C09B 27/00 - C09B 45/00

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Azo dyes	C09B 27/00 - C09B 45/00
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# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

There is no specific preparation group for azo-, diazo- and diazonium compounds. In case the application relates to the preparation, separation, purification or stabilisation of an azo-, diazo- or diazonium compound, the product which is prepared, separated, purified or stabilised is classified in the appropriate product subgroup.

Concerning certain (sub)groups:

C07C 245/02 - C07C 245/10: symmetrically or unsymmetrically substituted azo compounds (R-N=N-R)

C07C 245/12 - C07C 245/18: diazo compounds (=N2)

C07C 245/20: diazonium compounds (=N2+)

C07C 245/22 - C07C 245/24: compounds R-N=N-NR2

#### C07C 247/00

# Compounds containing azido groups

#### **Definition statement**

This place covers:

azido compounds: R-N3 (R-N=N+=N-) and the preparation, purification, separation or stabilisation of azido compounds

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

There is no specific preparation group for azido compounds. In case the application relates to the preparation, separation, purification or stabilisation of an azido compound, the product which is prepared, separated, purified or stabilised is classified in the appropriate product subgroup.

# C07C 249/00

# Preparation of compounds containing nitrogen atoms doubly-bound to a carbon skeleton (of diazo compounds C07C 245/12)

#### **Definition statement**

This place covers:

the preparation, purification, separation and stabilisation of for example

- imines (-C=NR)
- oximes (-C=N-OR)
- hydrazones (-C=N-NR2)

#### References

# Limiting references

This place does not cover:

Preparation, purification, separation and stabilisation of diazo compounds C07C 245/12

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g.  $\underline{\text{C07C 1/24}}$ ,  $\underline{\text{C07C 11/04}}$  for the purification of benzaldehyde oxime). A combination set consists of a process group (e.g.  $\underline{\text{C07C 249/14}}$ : purification of oximes), followed by and linked to the group of the product (e.g.  $\underline{\text{C07C 251/48}}$ : benzaldehyde oxime). The products are selected from the corresponding product groups  $\underline{\text{C07C 251/00}}$ .

# C07C 251/00

# Compounds containing nitrogen atoms doubly-bound to a carbon skeleton (diazo compounds C07C 245/12)

# **Definition statement**

This place covers:

for example:

- imines (-C=NR)
- oximes (-C=N-OR)
- hydrazones (-C=N-NR2)

#### References

#### Limiting references

This place does not cover:

Diazo compounds	C07C 245/12

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

#### C07C 251/00 (continued)

Special rules of classification

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 249/00.

Concerning certain (sub)groups:

C07C 251/02 - C07C 251/30: imines -C=NR

C07C 251/32 - C07C 251/70: oximes -C=N-OR

C07C 251/64: -C=N-O-C(O)-R

C07C 251/70: metal complexes

C07C 251/72 - C07C 251/88: hydrazones -C=N-NR2

C07C 251/88: -C=N-N=C-

#### C07C 253/00

# Preparation of carboxylic acid nitriles (of cyanogen or compounds thereof C01C 3/00)

#### **Definition statement**

This place covers:

the preparation, separation, purification and stabilisation of organic nitriles (-C-CN)

#### References

#### Limiting references

This place does not cover:

Preparation of cyanogen compounds (e.g. HCN, metal cyanides, cyanic	C01C 3/00
acid, cyanamides)	

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Ammoxidation catalysts	<u>B01J</u>
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#### Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 253/10</u>, <u>C07C 255/07</u> for the preparation of 3-pentenenitrile by addition of HCN to a carbon double bond). A combination set consists of a process group (e.g. <u>C07C 253/10</u>), followed by and linked to the group of the product (e.g. <u>C07C 255/07</u>). The products are selected from the corresponding product group <u>C07C 255/00</u>.

Concerning certain (sub)groups:

C07C 253/24: hydrocarbon + O2 + NH3

C07C 253/26: for example CH2=CH-CH3 + O2 + NH3

# **Synonyms and Keywords**

In patent documents, the following words/expressions are often used as synonyms:

- "Acrylonitrile", "methacrylonitrile" and "CH2=CH-CN, CH2=C(CH3)-CN"
- "Adiponitrile" and "NC-CH2-CH2-CH2-CN"
- "Cyanohydrin" and " (RR')C(OH)-CN"

# C07C 255/00

# Carboxylic acid nitriles (cyanogen or compounds thereof C01C 3/00)

#### **Definition statement**

This place covers:

Organic nitriles (C-CN)

#### References

# Limiting references

This place does not cover:

Cyanogen compounds (e.g. HCN, metal cyanides, cyanic acid,	C01C 3/00
cyanamides)	

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of <a href="C07C 253/00">C07C 253/00</a>.

Concerning certain (sub)groups:

```
C07C 255/01 - C07C 255/44: -C-CN, C= acyclic carbon atom
```

C07C 255/25: R-N(R')-CH2-CN

<u>C07C 255/45</u> - <u>C07C 255/48</u>: Cy-CN, Cy = cycloalkyl or cycloalkenyl

C07C 255/49 - C07C 255/60: Ar-CN, Ar = aromatic ring

C07C 255/61: -C=N-A-CN, A = hydrocarbon residue

C07C 255/62: -C=N-O-A-CN, A = hydrocarbon residue

C07C 255/64: for example NC-C-C-N-OCH3

# Synonyms and Keywords

In patent documents, the following words/expressions are often used as synonyms:

- "Acrylonitrile", "methacrylonitrile" and "CH2=CH-CN, CH2=C(CH3)-CN"
- "Cyanohydrin" and "(RR')C(OH)-CN"

# C07C 257/00

Compounds containing carboxyl groups, the doubly-bound oxygen atom of a carboxyl group being replaced by a doubly-bound nitrogen atom, this nitrogen atom not being further bound to an oxygen atom, e.g. imino-ethers, amidines

# **Definition statement**

This place covers:

for example

- iminohalides (-C(=NR)-halo),
- iminoethers (-C(=NR)-OR)
- amidines (-C(=NR)-NR2

and the preparation, purification, separation and stabilization of iminohalides, iminoetheres and amidines

#### References

# Limiting references

This place does not cover:

Imines and oximes or the preparation of imines and oximes	C07C 249/00,
	C07C 251/00

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group for iminohalides, iminoethers and amidines. In case the application relates to the preparation, separation, purification or stabilization of an iminohalide, an iminoether or an amidine, the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

Concerning certain (sub)groups:

```
C07C 257/02: iminohalides (-C(=NR)-halo)

C07C 257/04 - C07C 257/08: iminoethers (-C(=NR)-OR)

C07C 257/10 - C07C 257/22: amidines (-C(=NR)-NR2)

C07C 257/20: -C(=NR)-NR-C(O)-

C07C 257/22: -C(=NR)-NR-NR2
```

# C07C 259/00

Compounds containing carboxyl groups, an oxygen atom of a carboxyl group being replaced by a nitrogen atom, this nitrogen atom being further bound to an oxygen atom and not being part of nitro or nitroso groups

# **Definition statement**

This place covers:

for example

**Definition statement** 

- hydroximic acid halogenides (R-O-N=C(X)-, X=halo)
- hydroxamic acid and derivatives (-C(O)-NR-O-)
- N-hydroxyamidine derivatives (R2N-C(=N-OR)-)

and the preparation, purification, separation and stabilisation of hydroximic acid halogenides, hydroxamic acid and of N-hydroxyamidines and derivatives thereof.

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group for hydroximic acid halogenides, hydroxamic acid and of N-hydroxyamidines. In case the application relates to the preparation, separation, purification or stabilisation of a hydroximic acid halogenide, a hydroxamic acid (derivative) or a N-hydroxyamidines (derivative), the product which is prepared, separated, purified or stabilised is classified in the appropriate product subgroup.

Concerning certain (sub)groups:

```
C07C 259/02: hydroximic acid halogenides (R-O-N=C(X)-)
```

C07C 259/04 - C07C 259/10: hydroxamic acid and derivatives (-C(O)-NR-O-)

C07C 259/12 - C07C 259/20: N-hydroxyamidines derivatives (H2N-C(=N-OR)-)

C07C 259/20: -N-NH-C(=N-OR)-

# C07C 261/00

#### **Derivatives of cyanic acid**

#### **Definition statement**

This place covers:

For example

- cyanates (-C-O-CN; esters of cyanic acid HO-CN)
- cyanamides (-C-N(R)-CN; amides of cyanic acid HO-CN)

and the preparation, separation, purification and stabilisation of cyanates and cyanamides.

# References

# Limiting references

This place does not cover:

Unsubstituted cyanamide (H2N-CN)	C01C 3/16

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

There is no specific preparation group for cyanates and cyanamides. In case the application relates to the preparation, separation, purification or stabilisation of a cyanate or cyanamide, the product which is prepared, separated, purified or stabilised is classified in the appropriate product subgroup.

# C07C 263/00

# Preparation of derivatives of isocyanic acid

#### **Definition statement**

This place covers:

The preparation, purification, separation and stabilisation of isocyanates (R-N=C=O)

#### References

# Limiting references

This place does not cover:

Preparation of unsubstituted isocyanic acid	C01C 3/00

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 263/10</u>, <u>C07C 265/14</u> for the preparation of hexamethylene diisocyanate by reaction of an hexamethylenediamine with phosgene). A combination set consists of a process group (e.g. <u>C07C 263/10</u>), followed by and linked to the group of the product (e.g. <u>C07C 265/14</u>). The products are selected from the corresponding product group <u>C07C 265/00</u>.

# Synonyms and Keywords

In patent documents, the following abbreviations are often used:

HDI	hexamethylene diisocyanate
TDI	toluene diisocyanate
MDI	methylenediphenyl diisocyanate

# C07C 265/00

#### Derivatives of isocyanic acid

#### **Definition statement**

This place covers:

Isocyanates (R-N=C=O)

#### References

#### Limiting references

This place does not cover:

Unsubstituted isocyanic acid	C01C 3/00
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# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 263/00.

# Synonyms and Keywords

In patent documents, the following abbreviations are often used:

HDI	hexamethylene diisocyanate
TDI	toluene diisocyanate
MDI	methylenediphenyl diisocyanate

# C07C 267/00

#### **Carbodiimides**

#### **Definition statement**

This place covers:

Carbodiimides (R-N=C=N-R) and the preparation, purification, separation and stabilization of carbodiimides

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group for carbodiimides. In case the application relates to the preparation, separation, purification or stabilization of carbodiimides, the product which is prepared, separated, purified or stabilised is classified in the product.

# C07C 269/00

Preparation of derivatives of carbamic acid, i.e. compounds containing any of the nitrogen atom not being N-C-O-, N-C-Hal, -N=C-O-, the groups

# part of nitro or nitroso groups

#### **Definition statement**

This place covers:

The preparation, purification, separation and stabilisation of for example

- · substituted carbamic acid and salts thereof
- carbamic acid halides
- carbamic acid esters (= urethanes)

#### References

# Limiting references

This place does not cover:

Preparation of unsubstituted carbamic acid and salts thereof	C01B 21/12
Preparation of polyurethanes	C08G 71/00

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 269/04</u>, <u>C07C 271/22</u> for the preparation of tert.-butyloxycarbonyl (Boc) protected phenylglycine (Ph-CH(NH2)-COOH) from phenylglycine and di-tert.-butyl-dicarbonate). A combination set consists of a process group (e.g. <u>C07C 269/04</u>), followed by and linked to the group of the product (e.g. <u>C07C 271/22</u>). The products are selected from the corresponding product group <u>C07C 271/00</u>.

# **Synonyms and Keywords**

Cbz	carbobenzyloxy (Bn-O-C(O)-)
BOC	tertbutyloxycarbonyl
FMOC	9-fluorenylmethyloxycarbonyl

# C07C 271/00

Derivatives of carbamic acids, i.e. compounds containing any of the groups , the nitrogen atom not being part of nitro

#### or nitroso groups

#### **Definition statement**

This place covers:

For example

- substituted carbamic acid (R-N(R)-C(O)-OH and R-N=C(OR)-OH)
- carbamic acid halides (R-N(R)-C(O)-Hal, R-N=C(OR)-Hal and R-N=C(Hal)-Hal)
- carbamic acid esters (urethanes) (R-N(R)-C(O)-OR and R-N=C(OR)-OR)

#### References

#### Limiting references

This place does not cover:

Unsubstituted carbamic acid and salts thereof	C01B 21/12
Polyurethanes	C08G 71/00

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 269/00.

Concerning certain (sub)groups:

C07C 271/02: substituted carbamic acid (R-N(R)-C(O)-OH and R-N=C(OR)-OH)

C07C 271/04: carbamic acid halides (R-N(R)-C(O)-Hal, R-N=C(OR)-Hal and R-N=C(Hal)-Hal)

C07C 271/06 - C07C 271/58: carbamic acid esters (urethanes) (R'-N(R)-C(O)-OR and R'-N=C(OR)-OR)

CO7C 271/08 - CO7C 271/30: R'-N(R)-C(O)-O-C- and R'-N=C(OR)-O-C-, C = acyclic carbon atom

 $\underline{\text{CO7C }271/32}$  -  $\underline{\text{CO7C }271/38}$ : R'-N(R)-C(O)-O-Cy and R'-N=C(OR)-O-Cy, Cy = cycloalkyl, cycloalkenyl)

C07C 271/40 - C07C 271/58: R'-N(R)-C(O)-O-Ar and R'-N=C(OR)-O-Ar, Ar = aromatic ring)

C07C 271/60: R'-N(R)-C(O)-O-N-

COTC 271/62 - COTC 271/66: compounds containing any of the groups: -O-C(O)-N(R)-C(=X)-Y, Hal-C(O)-N(R)-C(=X)-Y, -O-C(O)-N=C(-X)-Y, Hal-C(O)-N=C(-X)-Y with X = hetero atom and Y= any atom, e.g. N-acylcarbamates

C07C 271/68: compounds containing any of the groups -N=C(-OR)-O-, -N=C(-OR)-Hal, -N=C(Hal)-Hal

# Synonyms and Keywords

In patent documents, the following abbreviations are often used:

Cbz	carbobenzyloxy (Bn-O-C(O)-)
BOC	tertbutyloxycarbonyl
FMOC	9-fluorenylmethyloxycarbonyl

# C07C 273/00

Preparation of urea or its derivatives, i.e. compounds containing any of the groups  $N_ N_-$  , the nitrogen atoms not being part  $N_ N_ N_-$ 

of nitro or nitroso groups

#### **Definition statement**

This place covers:

The preparation, purification, separation and stabilisation of:

- urea and its salts, complexes and addition compounds
- substituted ureas

#### References

# Limiting references

This place does not cover:

Preparation of polyureas	C08G 71/00
reparation of polytreas	<u>C00C 7 1700</u>

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Apparatuses B01J
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# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. C07C 273/18, C07C 275/28 for the preparation of N,N'-diphenylurea). A combination set consists of a process group (e.g. C07C 273/18), followed by and linked to the group of the product (e.g. C07C 275/28). The products are selected from the corresponding product groups C07C 275/00. Note: There is not group under C07C 275/00 for urea itself (i.e. H2N-C(O)-NH2). The preparation, purification or stabilisation of urea itself is classified only in the corresponding process group.

Concerning certain (sub)groups:

<u>C07C 273/02</u> - <u>C07C 273/16</u>: preparation, purification, separation and stabilisation of unsubstituted urea

C07C 273/08: ammonial liquor from the dry distillation of coke

C07C 273/18: preparation, purification, separation and stabilisation of

substituted ureas and isoureas

# **Synonyms and Keywords**

Melamine	1,3,5-triazine-2,4,6-triamine
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# C07C 275/00

Derivatives of urea, i.e. compounds containing any of the groups

0 N\_ , the nitrogen atoms not being part of nitro 
$$N-C-N$$
 .  $N-C-0$  or  $N-C-Hal$ 

# or nitroso groups

#### **Definition statement**

This place covers:

For example

- salts, complexes and addition compounds of urea H2N-C(O)-NH2
- substituted ureas (R2N-C(O)-NR2), R represents hydrogen or a hydrocarbon residue and at least one R is a hydrocarbon residue

**Definition statement** 

- acyl ureas [-N-C(O)-N-C(=X)-Y or -N-C(O)-N=C(XY)- with X being a hetero atom and Y being any atom]
- isoureas -N=C(NRR')-O- or a compound -N=C(NRR')-Hal

#### References

# Limiting references

This place does not cover:

Polyureas C08G 71/00

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 273/00.

Concerning certain (sub)groups:

C07C 275/42: a nitrile group is also considered as a carboxyl group

C07C 275/70: isoureas -N=C(NRR')-O- or a compound -N=C(NRR')-Hal

# C07C 277/00

Preparation of guanidine or its derivatives, i.e. compounds containing the group  $N_-$ , the singly-bound nitrogen atoms not being part of nitro or  $N_- = N$ 

# nitroso groups

# **Definition statement**

This place covers:

The preparation, purification or separation of guanidine (H2N-C(=NH)-NH2) and substituted guanidines (R2N-C(=NR)-NR2)

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 277/08</u>, <u>C07C 279/04</u> for the preparation of methylguanidine hydrochloride). A combination set consists of a process group (e.g. <u>C07C 277/08</u>), followed by and linked to the group of the product (e.g. <u>C07C 279/04</u>). The products are selected from the corresponding product group <u>C07C 279/00</u>.

# C07C 279/00

Derivatives of guanidine, i.e. compounds containing the group

Ŋ- , τ >N-C-N<

# singly-bound nitrogen atoms not being part of nitro or nitroso groups

#### **Definition statement**

This place covers:

- guanidine (H2N-C(=NH)-NH2) and salts, complexes or addition compounds of guanidine
- derivatives of guanidine (R2N-C(=NR)-NR2), for example:
- acylated guanidines (R2N-C(=NR)-NR-C(O)-R)
- biguanidines (R2N-C(=NR)-NR-C(=NR)-NR2)
- cyanoguanidines (R2N-C(=NR)-NR-CN)
- optionally substituted N-nitroso and N-nitroguanidines: R2N-C(=NR)-NR-NO and R2N-C(=NR)-NR-NO2

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 277/00.

Concerning certain (sub)groups:

C07C 279/02: guanidine (H2N-C(=N)-NH2) and salts, complexes or addition compounds of guanidine

C07C 279/04 - C07C 279/18: substituted guanidines (R2N-C(=NR)-NR2)

 $\underline{\text{C07C 279/20}}$  -  $\underline{\text{C07C 279/26}}$ : compounds containing any of the groups: R2N-C(=NR)-NR-C(=X)-Y, R2N-C(=NR)-N=C(-X)-Y and R2N-C(-NR2)=N-C(=X)-Y, X = hetero atom and Y= any atom

C07C 279/22: acylated guanidines (R2N-C(=NR)-NR-C(O)-R)

C07C 279/26: biguanides (R2N-C(=NR)-NR-C(O)-R)

C07C 279/28: for example (R2N-C(=NR)-NR-CN)

C07C 279/30 - C07C 279/36: for example optionally substituted N-nitroso andN-nitroguanidines: R2N-C(=NR)-NR-NO and R2N-C(=NR)-NR-NO2

# C07C 281/00

Derivatives of carbonic acid containing functional groups covered by groups C07C 269/00 - C07C 279/00 in which at least one nitrogen atom of these functional groups is further bound to another nitrogen atom not being part of a nitro or nitroso group

#### **Definition statement**

This place covers:

- compounds R2N-NR-C(O)-OR or R2N-N=C(OR)-OR, e.g.carbazates
- compounds R2N-NR-C(O)-NR2 or R2N-N=C(-OR)-NR2 or R2N-NR C(OR)=NR, e.g. semicarbazides

Definition statement

- compounds R2N-NR-C(=NR)-NR2 or R2N-N=C(-NR2)-NR2, e.g. aminoguanidine
- and the preparation, purification, separation and stabilization of these compounds

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group. In case the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

Concerning certain (sub)groups:

C07C 281/02 - C07C 281/04: compounds R2N-NR-C(O)-OR or R2N-N=C(OR)- OR, e.g.carbazates

CO7C 281/06 - CO7C 281/14: compounds R2N-NR-C(O)-NR2 or R2N-N=C(-OR)-NR2 or R2N-NR-C(OR)=NR, e.g. semicarbazides

C07C 281/16 - C07C 281/18: compounds R2N-NR-C(=NR)-NR2 or R2N-N=C(-NR2)-NR2, e.g. aminoguanidine

C07C 281/20: e.g. azoformamide HN=N-C(=O)-NH2 and its derivatives

# C07C 291/00

Compounds containing carbon and nitrogen and having functional groups not covered by groups CO7C 201/00 - CO7C 281/00

## **Definition statement**

This place covers:

Various N-compounds and their preparation, purification or stabilisation.

# Special rules of classification

The last place rule and the carbon skeleton approach applies. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group. In case the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

Concerning certain (sub)groups:

C07C 291/02: e.g. nitrones R2C=NR'+-O-, R' does not represent hydrogen

C07C 291/04: e.g. R3N+-O-

C07C 291/06: R3C-CN+-O-

C07C 291/08: RN=N(->O)-R

C07C 291/10: R-N+-triple bond-C-

C07C 291/12: -O-N+-triple bond-C- (fulminate ion), this group is rarely used (empty group)

C07C 291/14: this group is rarely used (empty group)

# C07C 301/00

# Esters of sulfurous acid (cyclic esters C07D)

# **Definition statement**

This place covers:

Acyclic and carbocyclic organic mono- and diesters of sulfurous acid (RO-SO-OH and RO-SO-OR) as well as the preparation, purification, separation and stabilization of these compounds

# References

# Limiting references

This place does not cover:

Sulfurous acid and its preparation, purification, separation and stabilization	C01B 17/48
Cyclic esters	<u>C07D</u>

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

# C07C 303/00

# Preparation of esters or amides of sulfuric acids; Preparation of sulfonic acids or of their esters, halides, anhydrides or amides

#### **Definition statement**

This place covers:

Preparation, purification and stabilisation of esters and amides of sulfuric acid as well as sulfonic acids and their esters, halides, anhydrides and amides

#### References

#### Limiting references

This place does not cover:

The preparation, purification, separation and stabilization of sulfuric acid	C01B 17/69
The preparation, purification, separation and stabilization of sulfuric acid mono- and diamides	C01B 21/093
The preparation of "overbased" sulfonate derivatives	C10M 159/24

#### Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 303/44</u>, <u>C07C 309/29</u> for the purification of benzenesulfonic acid). A combination

set consists of a process group (e.g.  $\underline{\text{C07C }303/44}$ ), followed by and linked to the group of the product (e.g.  $\underline{\text{C07C }309/29}$ ). The products are selected from the corresponding product groups  $\underline{\text{C07C }305/00}$  -  $\underline{\text{C07C }311/00}$ .

# C07C 305/00

# Esters of sulfuric acids (cyclic esters **C07D**)

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic mono- and diesters of sulfuric acid (RO-SO2-OH and RO-SO2-OR)

# References

# Limiting references

This place does not cover:

Sulfuric acid	C01B 17/69
Cyclic esters	<u>C07D</u>

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 303/00.

# C07C 307/00

Amides of sulfuric acids, i.e. compounds having singly-bound oxygen atoms of sulfate groups replaced by nitrogen atoms, not being part of nitro or nitroso groups

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic mono- and diamides of sulfuric acid (RO-SO2-NR2 R2N-SO2-NR2 where each R is hydrogen or organic group, but not all hydrogen)

#### References

#### Limiting references

This place does not cover:

Sulfuric acid mono- and diamides	C01B 21/093

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 303/00.

# C07C 309/00

# Sulfonic acids; Halides, esters, or anhydrides thereof

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic sulfonic acids as well as their halides, esters and anhydrides (RO-SO-OH and RO-SO-OR)

#### References

# Limiting references

This place does not cover:

"O comband of the party desired to the party of the party	C40M 4F0/04
"Overbased" sulfonate derivatives	C10M 159/24

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 303/00.

# C07C 311/00

# Amides of sulfonic acids, i.e. compounds having singly-bound oxygen atoms of sulfo groups replaced by nitrogen atoms, not being part of nitro or nitroso groups

# **Definition statement**

This place covers:

Acyclic and carbocyclic organic amides of sulfonic acids (RO-SO2-NR2 and R2N-SO2-NR2)

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of <a href="COTC 303/00">COTC 303/00</a>.

# C07C 313/00

Sulfinic acids; Sulfenic acids; Halides, esters or anhydrides thereof; Amides of sulfinic or sulfenic acids, i.e. compounds having singly-bound oxygen atoms of sulfinic or sulfenic groups replaced by nitrogen atoms, not being part of nitro or nitroso groups

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic sulfinic acids and sulfenic acids as well as their halides, esters, anhydrides and amides (RSO-OH, RSO-X, RSO-OR, RSO-NR2, RSO-O-SOR, RS-OH, RS-X, RS-O-SR and RS-NR2)

as well as the preparation, purification, separation and stabilization of these compounds.

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

# C07C 315/00

# Preparation of sulfones; Preparation of sulfoxides

#### **Definition statement**

This place covers:

Preparation, purification and stabilisation of sulfones and sulfoxides

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 315/06</u>, <u>C07C 317/14</u> for the purification of diphenylsulfone). A combination set consists of a process group (e.g. <u>C07C 315/06</u>: purification of sulfones), followed by and linked to the group of the product (e.g. <u>C07C 317/14</u>: e.g. diphenylsulfone). The products are selected from the corresponding product group <u>C07C 317/00</u>.

# C07C 317/00

**Sulfones: Sulfoxides** 

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic sulfones and sulfoxides (R-SO2-R and R-SO-R)

#### Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 315/00.

# C07C 319/00

# Preparation of thiols, sulfides, hydropolysulfides or polysulfides

#### **Definition statement**

This place covers:

Preparation, purification and stabilisation of thiols, sulfides, hydropolysulfides and polysulfides.

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 319/28</u>, <u>C07C 321/30</u> for the purification of diphenylsulfide). A combination set consists of a process group (e.g. <u>C07C 319/28</u>: purification of sulfides), followed by and linked to the group of the product (e.g. <u>C07C 321/30</u>: e.g. diphenylsulfide). The products are selected from the corresponding product groups <u>C07C 321/00</u> and <u>C07C 323/00</u>.

# C07C 321/00

# Thiols, sulfides, hydropolysulfides or polysulfides

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic thiols, sulfides, hydropolysulfides and polysulfides (R-SH, R-S-R, R-Sn-H and R-Sn-R) not containing other heteroatoms

#### Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 319/00.

# C07C 323/00

Thiols, sulfides, hydropolysulfides or polysulfides substituted by halogen, oxygen or nitrogen atoms, or by sulfur atoms not being part of thio groups

# **Definition statement**

This place covers:

Acyclic and carbocyclic organic thiols, sulfides, hydropolysulfides and polysulfides (R-SH, R-S-R, R-Sn-H and R-Sn-R) substituted by halogen, oxygen or nitrogen atoms or by other sulfur-containing groups

# References

# Limiting references

This place does not cover:

Thiols, sulfides, hydropolysulfides and polysulfides not containing other	C07C 321/00
heteroatoms	

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under C07C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 319/00.

Concerning certain subgroups:

<u>C07C 323/60</u>: "the carbon atom of at least one of the carboxyl groups bound to a nitrogen atom" refers to groups such as –CONH2 and –CN.

# C07C 325/00

# Thioaldehydes; Thioketones; Thioquinones; Oxides thereof

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic thioaldehydes, thioketones and thioquinones as well as their oxides (R -CHS, R-CS-R, R-CHSOn and R-CSOn-R)

as well as the preparation, purification, separation and stabilization of these compounds.

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

# C07C 327/00

### Thiocarboxylic acids

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic mono- and dithiocarboxylic acids as well as their esters and amides (RCO-SH, RCS-SH, RCO-SR, RCS-SR and RCS-NR2)

as well as the preparation, purification, separation and stabilization of these compounds

#### Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

# C07C 329/00

# Thiocarbonic acids; Halides, esters or anhydrides thereof

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic mono-, di- and trithiocarbonic acids as well as their halides, esters and anhydrides,

as well as the preparation, purification, separation and stabilization of these compounds.

#### References

# Limiting references

This place does not cover:

Mono-, di- and trithiocarbonic acid and their preparation, purification,	C01B 32/70
separation and stabilization	

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

#### C07C 331/00

# Derivatives of thiocyanic acid or of isothiocyanic acid

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic thiocyanic acid and isothiocyanic acid derivatives (R-S-CN and RN=C=S)

as well as the preparation, purification, separation and stabilization of these compounds.

## References

# Limiting references

This place does not cover:

Thiocyanic acid and isothiocyanic acid and their preparation, purification,	C01B 32/70
separation and stabilization	

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

# C07C 333/00

Derivatives of thiocarbamic acids, i.e. compounds containing any of the groups  $\varsigma$  , the nitrogen atom not being part

# of nitro or nitroso groups

# **Definition statement**

This place covers:

Acyclic and carbocyclic organic mono- and dithiocarbamic acids well as their esters, as well as the preparation, purification, separation and stabilization of these compounds.

#### References

# Limiting references

This place does not cover:

Mono- and dithiocarbamic acid and their preparation, purification,	C01B 32/70
separation and stabilization	

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

# C07C 335/00

Thioureas, i.e. compounds containing any of the groups

$$_{S}$$
 , the nitrogen atoms not being part of nitro or nitroso  $^{1}$   $^{1}$   $^{1}$   $^{1}$  or  $^{-}$   $^{-}$   $^{-}$   $^{-}$ 

# groups

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic thiourea and isothiourea derivatives

**Definition statement** 

as well as the preparation, purification, separation and stabilization of these compounds

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

#### C07C 337/00

Derivatives of thiocarbonic acids containing functional groups covered by groups CO7C 333/00 or CO7C 335/00 in which at least one nitrogen atom of these functional groups is further bound to another nitrogen atom not being part of a nitro or nitroso group

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic thiocarbamic acid, thiourea and isothiourea derivatives in which at least one nitrogen atom is further bound to a nitrogen atom, particularly thiocarbazides, thiosemicarbazides and thiosemicarbazones as well as compounds in which the two nitrogen atoms are doubly bound to each other as well as the preparation, purification, separation and stabilization of these compounds.

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under <u>C07C</u>.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

# C07C 381/00

Compounds containing carbon and sulfur and having functional groups not covered by groups CO7C 301/00 - CO7C 337/00

#### **Definition statement**

This place covers:

Other acyclic and carbocyclic organic compounds containing sulfur, particularly thiosulfates, thiosulfonates, compounds containing sulfur bound only to two nitrogen atoms, compounds containing sulfur doubly bound to nitrogen atoms, sulfonium compounds and compounds having a carbon atom having bonds only to heteroatoms with a double bond to a sulfur atom and at least one bond to a sulfur atom further doubly bound to oxygen atoms () as well as the preparation, purification, separation and stabilization of these compounds.

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

Concerning certain subgroups:

<u>C07C 381/12</u>: Compounds having a charged sulfonium ion and zwitterionic compounds are classified in this subgroup. Sulfur ylide compounds are classified in <u>C07C 381/00</u> (>S+--C<) or <u>C07C 381/10</u> (>S+-N--).

# C07C 391/00

# Compounds containing selenium

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic compounds containing selenium as well as the preparation, purification, separation and stabilization of these compounds.

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

# C07C 395/00

# Compounds containing tellurium

#### **Definition statement**

This place covers:

Acyclic and carbocyclic organic compounds containing tellurium as well as the preparation, purification, separation and stabilization of these compounds.

# Special rules of classification

The last place rule and the carbon skeleton approach apply. General rules applicable for the whole subclass are defined under C07C.

There is no specific preparation group. Where the application relates to the preparation, separation, purification or stabilization of a compound as defined under the definition statement the product which is prepared, separated, purified or stabilized is classified in the appropriate product subgroup.

# C07C 401/00

Irradiation products of cholesterol or its derivatives; Vitamin D derivatives, 9,10-seco cyclopenta[a]phenanthrene or analogues obtained by chemical preparation without irradiation

#### **Definition statement**

This place covers:

Vitamin D derivatives and the preparation thereof.

The compounds usually have a partially or completely hydrogenated indane ring which is connected to a cyclohexane ring via an unsaturated alkylene chain. Chemically, the various forms of vitamin D are secosteroids, i.e. steroids in which one of the bonds in the steroid rings is broken.

**Definition statement** 

Example: Ergocalciferol (Vitamin D2)

# References

# Limiting references

This place does not cover:

Heterocyclic derivatives of vitamin D	<u>C07D</u>
Vitamin D derivatives containing elements other than C, H, Hal, O, N, S, Se and Te, e.g. Si, B or P	<u>C07F</u>
Vitamins of unknown constitution	C07G 13/00
Steroids	<u>C07J</u>

# Informative references

Attention is drawn to the following places, which may be of interest for search:

Food or foodstuffs	<u>A23L</u>
Cosmetics	A61K 8/00, A61Q
Compounds for use in medicine/therapy; pharmaceutical compositions	A61K 31/00

# Special rules of classification

The last place rule and the whole-molecule-approach apply. General rules applicable for the whole subclass are defined under C07C.

In addition to the compound group itself, Indexing Codes for the two ring systems are given, i.e. C07C 2601/14 for the cyclohexane ring and C07C 2602/24 for the completely or partially hydrogenated indane ring system. If the cyclohexane ring merely contains "exo" double bonds on the cyclohexane ring, the ring is considered saturated (a cyclohexene or cyclohexadiene ring having a double bond between two ring carbon atoms would get the Indexing Code C07C 2601/16).

The carbon atoms in the skeleton are numbered according to the parent steroid as follows:

# **Glossary of terms**

In this place, the following terms or expressions are used with the meaning indicated:

Didehydro	an additional double bond
Dihydro	reduction of a double bond
Homo	an additional methylene group
Nor	loss of a methylene

# **Synonyms and Keywords**

In patent documents, the following words/expressions are often used as synonyms:

- "Ergocalciferol", "ercalciol" and "vitamin D2"
- "Cholecalciferol", "colecalciferol", "calciol" and "vitamin D3"
- "1,25-dihydroxycholecalciferol" and "Calcitriol"

# C07C 403/00

Derivatives of cyclohexane or of a cyclohexene {or of cyclohexadiene}, having a side-chain containing an acyclic unsaturated part of at least four carbon atoms, this part being directly attached to the cyclohexane or cyclohexene {or cyclohexadiene} rings, e.g. vitamin A, beta-carotene, beta-ionone

# **Definition statement**

This place covers:

Compounds having a non-aromatic 6-membered ring substituted by an unsaturated side-chain of at least 4 carbon atoms, and the preparation, purification or stabilisation thereof.

# References

# Limiting references

This place does not cover:

Vitamins of unknown constitution	C07G 13/00

## Informative references

Attention is drawn to the following places, which may be of interest for search:

Compounds classified in C07C 403/24 are often additionally classified in the following fields: Food chemistry	A23L 5/42
Cosmetic preparations containing vitamins	A61K 8/67
Compounds for use in medicine/therapy; pharmaceutical compositions	A61K 31/00
Vitamins of unknown constitution	C07G 13/00
lonones, damascones and damascenones, i.e. compounds having a keto functional group in the side chain which are classified in C07C 403/14, are often also classified in the following field: Perfumes	C11B 9/00

# Special rules of classification

Cyclohexane, cyclohexene or cyclohexadiene derivatives having a saturated side-chain, or having a side-chain with less than four carbon atoms are not classified here.

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

In addition to the classification in C07C 403/00, an Indexing Code for the ring is given:

C07C 2601/14 if the 6-membered ring is saturated;

C07C 2601/16 if the 6-membered ring is a cyclohexene or cyclohexadiene ring.

<u>C07C 403/04</u> - <u>C07C 403/22</u>: substitution on the ring(s) is disregarded, i.e. compounds (or preparation thereof) substituted on the ring itself, but unsubstituted on the unsaturated side chain(s) are classified in C07C 403/02.

C07C 403/24: Compounds having two non-aromatic 6-membered rings connected via an unsaturated alkylene chain of at least 4 carbon atoms (usually 18 carbon atoms). The most common compounds classified in this group are: β-carotene, lutein, zeaxanthin, astaxanthin

# C07C 405/00

Compounds containing a five-membered ring having two side-chains in ortho position to each other, and having oxygen atoms directly attached to the ring in ortho position to one of the side-chains, one side-chain containing, not directly attached to the ring, a carbon atom having three bonds to hetero atoms with at the most one bond to halogen, and the other side-chain having oxygen atoms attached in gamma-position to the ring, e.g. prostaglandins {; Analogues or derivatives thereof}

#### **Definition statement**

This place covers:

Prostaglandins and preparation thereof.

#### Example:

#### Derivatives or analogues

- wherein the 5-membered ring is replaced by other rings or ring systems,
- · wherein the carboxyl group in one of the side-chains is replaced by other functional groups, or
- wherein the oxygen attached to the ring in ortho-position of one of the side-chains is replaced by a different atom such as chlorine,

are also classified under this main group.

#### References

# Limiting references

This place does not cover:

Prostaglandin derivatives containing a heterocyclic ring	<u>C07D</u>

Limiting references

Prostaglandin derivatives containing elements other than C, H, Hal, O, N, S, Se and Te, e.g. Si, B or P	<u>C07F</u>
Preparation of prostaglandins by microorganisms or enzymes	C12P 31/00

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Prostaglandin derivatives for use in therapy/ as a medicament, and	<u>A61K</u>
pharmaceutical compositions	

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

In addition to the classification in  $\underline{\text{C07C 405/00}}$ , an Indexing Code for the ring is given, usually either  $\underline{\text{C07C 2601/08}}$  (if the 5-membered ring is saturated) or  $\underline{\text{C07C 2601/10}}$  (if the 5-membered ring is unsaturated).

If the 5-membered ring is replaced by another ring or ring system, the corresponding Indexing Code is given for said ring or ring system.

The formulation "analogues and derivatives thereof" renders the actual definition of this subclass somewhat vague. In cases of doubt, a "normal" <u>C07C</u> group is given in addition to the group <u>C07C 405/00</u> and subgroups thereof.

A common example for such a "grey zone" is where a benzene ring interrupts the second side-chain, i.e. in said side chain, there is no oxygen atom attached in gamma-position to the ring.

# C07C 407/00

# **Preparation of peroxy compounds**

# **Definition statement**

This place covers:

The preparation, separation, purification and stabilization of organic compounds containing an oxygen-oxygen single bond.

#### References

#### Limiting references

This place does not cover:

Inorganic peroxides and preparation thereof	C01B 15/00
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## Informative references

Attention is drawn to the following places, which may be of interest for search:

Biocides containing peroxyacid derivatives	A01N 37/16
Polymerisation catalysts containing peroxides	C08F 4/34- C08F 4/38
Use of organic peroxides as compounding ingredients not provided for in specific use fields	C08K 5/14
Detergent compositions containing peroxides	C11D 3/39

Informative references

Bleaching fibres, threads, yarns, fabrics, feathers, or made-up fibrous	D06L 4/10
goods, leather, or furs using compounds which develop oxygen	

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under C07C.

Combination sets are used to indicate the product of a preparation, purification or stabilisation process (e.g. <u>C07C 407/00</u>, <u>C07C 409/10</u> for the preparation of cumene hydroperoxide). A combination set consists of a process group (e.g. <u>C07C 407/00</u>), followed by and linked to the group of the product (e.g. <u>C07C 409/10</u>). The products are selected from the corresponding product groups <u>C07C 409/00</u>.

Please note: Not all documents concerning the preparation of peroxy compounds are already classified using combination sets. Some documents relating to the preparation of peroxy compounds are classified in the product group C07C 409/00 and subgroups exclusively. While these documents are being reclassified, the search for a preparation of peroxy compounds has to be carried out in both the product group and the combination set.

# C07C 409/00

# **Peroxy compounds**

# **Definition statement**

This place covers:

Organic compounds containing an oxygen-oxygen single bond.

# References

# Limiting references

This place does not cover:

	<del></del>
Inorganic peroxides, e.g. H2O2	<u>C01B 15/00</u>

Mixtures defined as containing an organic peroxide and at least one further component are classified in the use fields.

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Biocides containing peroxyacid derivatives	A01N 37/16
Polymerisation catalysts containing peroxides	C08F 4/34 - C08F 4/38
Use of organic peroxides as compounding ingredients not provided for in specific use fields	C08K 5/14
Detergent compositions containing peroxides	C11D 3/39
Bleaching fibres, threads, yarns, fabrics, feathers, or made-up fibrous goods, leather, or furs using compounds which develop oxygen	D06L 4/10

# Special rules of classification

The last place rule and the whole molecule approach apply. General rules applicable for the whole subclass are defined under CO7C.

Only compounds claimed as such are classified in this group. Products of preparation, purification or stabilisation processes are classified in combination with the process as combination sets following the special rules of C07C 407/00. Please note: In the past, the preparation of peroxy compounds was classified in the product groups C07C 409/00 and subgroups exclusively. While these documents are continuously being reclassified into combination sets, the product groups cover both products claimed as novel and processes for the preparation of novel or known compounds.

<u>C07C 409/32</u>: In this subgroup, acylperoxycarbonates and peroxydicarbonates are classified, i.e. compounds having one or two <C=O groups belonging to a carbonic acid/ester.

R-C(=O)-O-C(=O)-OR' acylperoxycarbonate

RO-C(=O)-O-C(=O)-OR' peroxydicarbonate

C07C 409/34: In this subgroup, diacylperoxides are classified.

R-C(=O)-O-C(=O)-R' diacylperoxide

C07C 409/36:

CH3-C(=O)-O-C(=O)-CH3 diacetyl peroxide

C07C 409/38: In this subgroup, monoperoxycarbonates and diperoxycarbonates are classified.

R-O-C(=O)-O-O-R' monoperoxycarbonate

R-O-O-C(=O)-O-O-R' diperoxycarbonate

# C07C 2521/00

Catalysts comprising the elements, oxides or hydroxides of magnesium, boron, aluminium, carbon, silicon, titanium, zirconium or hafnium

# **Definition statement**

This place covers:

Catalysts comprising Mg, B, Al, C, Si, Ti, Zr or Hf in the form of the element, oxide or hyroxide used in a process classified in C07C 1/00 - C07C 6/126.

# Relationships with other classification places

Catalysts claimed per se are also classified in <u>B01J 21/00</u> - <u>B01J 49/90</u>.

These groups or corresponding Indexing Codes <u>B01J</u> are often given also if the catalyst itself is not claimed, but preparation examples for the catalyst are described, or if the process is mainly characterized by the specific catalyst used. Consequently, a document should be forwarded for classification in the field of <u>B01J 21/00</u> - <u>B01J 49/90</u> also if preparation examples for catalysts are disclosed, or if the catalyst used in the process is of particular importance.

# Special rules of classification

The Indexing Codes  $\underline{\text{C07C }2521/00}$  -  $\underline{\text{C07C }2531/38}$  are given to describe the catalysts used in processes classified in  $\underline{\text{C07C }1/00}$  -  $\underline{\text{C07C }6/126}$ , i.e. they are used in connection with processes for the preparation of hydrocarbons exclusively.

Please note: No catalyst Indexing Codes  $\underline{\text{C07C }2521/00}$  -  $\underline{\text{C07C }2531/38}$  are used in combination with processes for the purification, separation or stabilization classified in  $\underline{\text{C07C }7/00}$  and subgroups thereof.

#### C07C 2521/00 (continued)

Special rules of classification

The Indexing Codes for catalysts are an indexing system. This implies that each component receives a code. The concept "catalyst" covers the whole catalytic system (i.e. active component, support, activator, etc.).

Example: Palladium on carbon (Pd/C) receives the Indexing Codes CO7C 2521/18 for the carbon support and CO7C 2523/44 for the catalytically active component Pd.

Each code is used according to its meaning: if in a document the catalyst is defined as "a metal of group 6" without further specification, then the code C07C 2523/24 is given.

If one of the catalyst components can be selected from Cr, Mo or W, then the codes <u>C07C 2523/26</u>, <u>C07C 2523/28</u> and <u>C07C 2523/30</u> are given.

An unspecified metal of group 8 receives the codes CO7C 2523/40 and CO7C 2523/74.

Components like molybdates are indexed as the oxide of molybdenum and as the cation (e.g. sodium molybdate receives the codes <u>C07C 2523/04</u> and <u>C07C 2523/28</u>).

However, salts provided for in C07C 2527/00 are only coded as the anion part of the salt, i.e. the metal cation does not receive a code.

Example: NaCl receives only the code CO7C 2527/10 for the chloride part of the salt.

Acids are coded as the "hydrogen salt" unless there is an indication to the contrary.

Example: HBr receives the code C07C 2527/08.

Codes for combination of metals (e.g. CO7C 2523/76) are only given if such a combination is always present in the catalyst, not if its presence results from the selection of each of the components from a list of possible components: if the catalyst comprises Fe and Mo then the code is CO7C 2523/881, but if the catalyst comprises a first component selected from Mn, Ca, Fe and a second component selected from V, Th, Mo, then all the possible codes for the first component and all the possible codes for the second component are given.

Raney metals are covered by C07C 2525/00 (not: C07C 2523/00 and subgroups).

C07C 2521/04: this code covers also bauxite

<u>C07C 2521/12</u>: this code encompasses also silicoaluminates even if they are crystalline. Zeolites, however, are classified under <u>C07C 2529/00</u> and subgroups thereof.

C07C 2521/14: this code covers also sepiolite

C07C 2521/16: for example bentonite, kaolin

C07C 2521/18: for example activated carbon, graphite

# C07C 2523/00

Catalysts comprising metals or metal oxides or hydroxides, not provided for in group C07C 2521/00 (C07C 2521/16 takes precedence)

# **Definition statement**

This place covers:

Catalysts comprising metals other than Mg, B, Al, C, Si, Ti, Zr or Hf in the form of the element, oxide or hydroxide used in a process classified in COTC 1/00 - COTC 6/126.

#### Relationships with other classification places

Catalysts claimed per se are also classified in B01J 21/00 - B01J 49/90.

Relationships with other classification places

These groups or corresponding Indexing Codes <u>B01J</u> are often given also if the catalyst itself is not claimed, but preparation examples for the catalyst are described, or if the process is mainly characterized by the specific catalyst used. Consequently, a document should be forwarded for classification in the field of <u>B01J 21/00</u> - <u>B01J 49/90</u> also if preparation examples for catalysts are disclosed, or if the catalyst used in the process is of particular importance.

# Special rules of classification

General rules for the use of catalyst Indexing Codes are described under C07C 2521/00.

Please note: Raney-type catalysts are classified under <u>C07C 2525/00</u>.

<u>C07C 2523/10</u>: rare earths = Sc, Y, lanthanides (elements with atomic numbers 57 to 71 inclusive)

C07C 2523/12: actinides = elements with atomic numbers 89 to 103 inclusive

<u>C07C 2523/54</u> - <u>C07C 2523/68</u>: These Indexing Codes are given for catalysts containing a noble metal in combination with at least one further metal (or oxide or hydroxide thereof) as defined under C07C 2523/02 - C07C 2523/36.

Additionally, the Indexing Codes for the single components in the catalyst composition are given.

<u>C07C 2523/76</u> - <u>C07C 2523/889</u>: These Indexing Codes are given for catalysts containing an iron group metal or copper in combination with at least one further metal (or oxide or hydroxide thereof) as defined under <u>C07C 2523/02</u> - <u>C07C 2523/36</u>.

Additionally, the Indexing Codes for the single components in the catalyst composition are given.

<u>C07C 2523/89</u>: This Indexing Code is given for catalysts containing an iron group metal or copper in combination with noble metals.

Additionally, the Indexing Codes for the single components in the catalyst composition are given.

# C07C 2525/00

# Catalysts of the Raney type

#### **Definition statement**

This place covers:

Raney catalysts used in a process classified in CO7C 1/00 - CO7C 6/126.

# Relationships with other classification places

Catalysts claimed per se are also classified in B01J 21/00 - B01J 49/90.

These groups or corresponding Indexing Codes <u>B01J</u> are often given also if the catalyst itself is not claimed, but preparation examples for the catalyst are described, or if the process is mainly characterized by the specific catalyst used. Consequently, a document should be forwarded for classification in the field of <u>B01J 21/00</u> - <u>B01J 49/90</u> also if preparation examples for catalysts are disclosed, or if the catalyst used in the process is of particular importance.

#### Special rules of classification

The most common example is Raney nickel.

These catalysts are sometimes also called sponge catalysts.

General rules for the use of catalyst Indexing Codes are described under CO7C 2521/00.

# C07C 2527/00

Catalysts comprising the elements or compounds of halogens, sulfur, selenium, tellurium, phosphorus or nitrogen; Catalysts comprising carbon compounds

# **Definition statement**

This place covers:

Inorganic catalysts comprising non-metals other than B and Si or inorganic carbon compounds other than elemental carbon used in a process classified in <u>C07C 1/00</u> - <u>C07C 6/126</u>.

Inorganic acids used as catalysts are also classified here.

# Relationships with other classification places

Catalysts claimed per se are also classified in <u>B01J 21/00</u> - <u>B01J 49/90</u>.

These groups or corresponding Indexing Codes <u>B01J</u> are often given also if the catalyst itself is not claimed, but preparation examples for the catalyst are described, or if the process is mainly characterized by the specific catalyst used. Consequently, a document should be forwarded for classification in the field of <u>B01J 21/00</u> - <u>B01J 49/90</u> also if preparation examples for catalysts are disclosed, or if the catalyst used in the process is of particular importance.

# Special rules of classification

General rules for the use of catalyst Indexing Codes are described under C07C 2521/00.

Please note: Organic compounds, organo-metallic compounds, metal hydrides or coordination complexes used as catalysts are classified under <u>C07C 2531/00</u> and subgroups thereof.

C07C 2527/03: for example HSO3CI, NaSO3CI, i.e. inorganic halosulfonic acids only

<u>C07C 2527/06</u>: "Compounds thereof" means inorganic halogen compounds only. The elements (e.g. chlorine) are also classified under this code.

C07C 2527/10: The element chlorine is classified in C07C 2527/06.

C07C 2527/12: for example AgBF4

C07C 2527/1213: BF3 only; the salts BF4- are classified in C07C 2527/12

C07C 2527/122: for example copper chlorate or CuCl2

C07C 2527/125: for example sodium chloroaluminate (NaAlCl4)

C07C 2527/126: AlCl3 only; the salts AlCl4- are classified in C07C 2527/125

C07C 2527/13: for example PtCl2

C07C 2527/14: "Compounds thereof" means inorganic phosphorus compounds only.

<u>C07C 2527/18</u>: Inorganic oxygen-containing phosphorus derivatives other than phosphates are classified under this code.

C07C 2527/182: compounds containing phosphorus and silicon in one compound

<u>C07C 2527/185</u>: compounds containing phosphorus and iron group metals or platinum group metals in one compound

#### C07C 2527/00 (continued)

Special rules of classification

C07C 2527/20: inorganic carbon compounds only; elemental carbon (e.g. graphite, activated carbon) is classified under the code C07C 2521/18

C07C 2527/236: e.g. M(OH)(CO3)

C07C 2527/24: inorganic nitrogen compounds only

C07C 2527/26: inorganic cyanides only

# C07C 2529/00

# Catalysts comprising molecular sieves

#### **Definition statement**

This place covers:

Molecular sieve catalysts used in a process classified in <u>C07C 1/00</u> - <u>C07C 6/126</u>. Zeolites are the most common example.

# Relationships with other classification places

Catalysts claimed per se are also classified in B01J 21/00 - B01J 49/90.

Zeolites claimed per se are also classified in C01B 39/00 and subgroups thereof.

These groups or corresponding Indexing Codes <u>B01J</u> are often given also if the catalyst itself is not claimed, but preparation examples for the catalyst are described, or if the process is mainly characterized by the specific catalyst used. Consequently, a document should be forwarded for classification in the field of <u>B01J 21/00</u> - <u>B01J 49/90</u> also if preparation examples for catalysts are disclosed, or if the catalyst used in the process is of particular importance.

#### Special rules of classification

General rules for the use of catalyst Indexing Codes are described under CO7C 2521/00.

<u>C07C 2529/06</u> - <u>C07C 2529/076</u>: Zeolite catalysts for which no specific structure is indicated are classified under these Indexing Codes.

<u>C07C 2529/70</u> - <u>C07C 2529/78</u>: Zeolite catalysts for which a specific structure is indicated which does not fall within the specific structures in groups <u>C07C 2529/08</u> - <u>C07C 2529/69</u> are classified under these Indexing Codes.

Example: zeolite beta

<u>C07C 2529/80</u>: If a mixture of zeolites is used as the catalyst or catalyst system, the Indexing Code <u>C07C 2529/80</u> is given in addition to the specific Indexing Codes for the components of the mixture.

C07C 2529/83: aluminophosphates (APO compounds) are also called ALPO compounds

#### C07C 2531/00

# Catalysts comprising hydrides, coordination complexes or organic compounds

#### **Definition statement**

This place covers:

Metal hydrides, inorganic or organic coordination complexes, organic compounds or organo-metallic compounds used as catalysts in a process classified in C07C 1/00 - C07C 6/126.

# Relationships with other classification places

Catalysts claimed per se are also classified in B01J 21/00 - B01J 49/90.

These groups or corresponding Indexing Codes <u>B01J</u> are often given also if the catalyst itself is not claimed, but preparation examples for the catalyst are described, or if the process is mainly characterized by the specific catalyst used. Consequently, a document should be forwarded for classification in the field of <u>B01J 21/00</u> - <u>B01J 49/90</u> also if preparation examples for catalysts are disclosed, or if the catalyst used in the process is of particular importance.

# Special rules of classification

General rules for the use of catalyst Indexing Codes are described under CO7C 2521/00.

<u>C07C 2531/025</u>: sulfonic acid salts are also encompassed, for example CuOTf (TfOH = trifluorosulfonic acid)

C07C 2531/12: for example tetraalkyl tin; NaH

<u>C07C 2531/14</u>: for example trimethylaluminium; methylaluminoxane (MAO); triisobutylaluminoxane (TiBAO)

<u>C07C 2531/18</u>: Inorganic coordination complexes, for example metal complexes containing nitroso (NO) ligands, or complexes having ligands containing the elements As, Sb or P other than phosphanes are classified under this code.

<u>C07C 2531/22</u>: Schrock carbenes commonly used as metathesis catalysts are for example classified under this code provided that they do not contain phosphine ligands (phosphine complexes such as the Grubbs' catalysts get the Indexing Code <u>C07C 2531/24</u>).

#### C07C 2601/00

# Systems containing only non-condensed rings

#### **Definition statement**

This place covers:

Indexing Codes for carbocyclic non-condensed rings, e.g.

cyclopropyl rings: <u>C07C 2601/02</u>
cyclohexyl rings: <u>C07C 2601/14</u>
cyclohexenyl rings: <u>C07C 2601/16</u>.

#### References

# Informative references

Attention is drawn to the following places, which may be of interest for search:

Carbocyclic ring systems containing two condensed rings	C07C 2602/00
Carbocyclic ring systems containing at least three condensed rings	C07C 2603/00
Fullerene ring systems	C07C 2604/00

# Special rules of classification

Phenyl rings are not indexed.

Only carbocyclic ring systems of compounds classified in subclass <u>C07C</u> as claimed compounds or as products of claimed production / purification / stabilisation processes are indexed. See the special rules of classification within the subclass <u>C07C</u>.

Please note: The indexing of compounds classified in this subclass is not yet complete. Missing codes are continuously being added.

Exocyclic double bonds do not count as unsaturation of the ring. Rings having only exocyclic double bonds are indexed as saturated rings.

Concerning certain subgroups:

C07C 2601/08: e.g. cyclopentyl rings, but also

C07C 2601/10: e.g. cyclopentenyl rings, cyclopentadienyl rings

C07C 2601/14: e.g. cyclohexyl rings, but also

C07C 2601/16: e.g. cyclohexenyl rings, cyclohexadienyl rings (phenyl rings are not indexed)

# C07C 2602/00

# Systems containing two condensed rings

#### **Definition statement**

This place covers:

Indexing Codes for carbocyclic ring systems containing two condensed rings, e.g.

• pentalene, i.e. <u>C07C 2602/22</u>

• bicyclo[2.2.1] rings, i.e. , as in (nor)bornan or campher : C07C 2602/42.

#### References

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Carbocyclic non-condensed rings	C07C 2601/00
Carbocyclic ring systems containing at least three condensed rings	C07C 2603/00
Fullerene ring systems	C07C 2604/00

# Special rules of classification

Aromatic naphthyl rings are not indexed and are not classified in this group.

Only carbocyclic ring systems of compounds classified in subclass <u>C07C</u> as claimed compounds or as products of claimed production / purification / stabilisation processes are indexed. See the special rules of classification within the subclass <u>C07C</u>.

Please note: The indexing of compounds classified in this subclass is not yet complete. Missing codes are continuously being added.

Concerning certain subgroups:

C07C 2602/08 : e.g. indane (non-aromatic hydroindanes are indexed with C07C 2602/24)

C07C 2602/10 : e.g. tetraline (non-aromatic [4.4.0] rings are indexed with C07C 2602/28; naphthalene rings are not indexed)
<u>C07C 2602/16</u> : □>
<u>C07C 2602/18</u> : ☐☐ or <
<u>C07C 2602/20</u> : ✓ or □
C07C 2602/22 : e.g. pentalene
C07C 2602/24 : e.g. perhydroindane (indane is indexed with C07C 2602/08)
C07C 2602/28 : e.g. (tetraline is indexed with C07C 2602/10)
C07C 2602/30 :
C07C 2602/34 :
C07C 2602/38 : ☐ bicyclo[1.1.1]
C07C 2602/40 : bicyclo[2.1.1]
C07C 2602/42 : e. g. bicyclo[2.2.1] as in (nor)bornan, campher
<u>C07C 2602/50</u> : e. g.

# C07C 2603/00

# Systems containing at least three condensed rings

# **Definition statement**

This place covers:

Indexing Codes for carbocyclic ring systems containing at least three condensed rings, e.g.

- (hydrogenated) anthracene rings, i.e. : C07C 2603/24
- (hydrogenated) dicyclopentadienes, i.e. : C07C 2603/68
- adamantyl rings, i.e. : <u>C07C 2603/74</u>.

# References

#### Informative references

Attention is drawn to the following places, which may be of interest for search:

Carbocyclic non-condensed rings	C07C 2601/00
Carbocyclic ring systems containing two condensed rings	C07C 2602/00
Fullerene ring systems	C07C 2604/00

# Special rules of classification

Only carbocyclic ring systems of compounds classified in subclass <u>C07C</u> as claimed compounds or as products of claimed production / purification / stabilisation processes are indexed. See the special rules of classification within the subclass <u>C07C</u>.

Please note: The indexing of compounds classified in this subclass is not yet complete. Missing codes are continuously being added.

Concerning certain subgroups:

C07C 2603/14:

C07C 2603/16 :

C07C 2603/18 :

C07C 2603/20 :

C07C 2603/24 :

C07C 2603/26 :

C07C 2603/28 :

C07C 2603/32 : e.g.

<u>C07C 2603/34</u> : e. g.

C07C 2603/44 :

C07C 2603/46 : e.g. tetracyclines

C07C 2603/48 :

C07C 2603/50 :

C07C 2603/64 :

C07C 2603/68 : tricyclo[5.2.1.0<sup>2.6</sup>]dec(en)yl-structure

C07C 2603/72 : e. g.

C07C 2603/74: (diadamantanes are indexed with C07C 2603/90)

 $\underline{\text{C07C 2603/82}}$ : tricyclo[5.4.3.0<sup>1,8</sup>] ring structure  $\equiv$  , e.g. as in pleuromutiline

C07C 2603/88 : e.g.

C07C 2603/90 : e.g. diadamantanes

C07C 2603/92 : e.g. cyclophanes

C07C 2603/94 : e.g.

<u>C07C 2603/97</u> : e.g.

# C07C 2604/00

# Fullerenes, e.g. C<sub>60</sub> buckminsterfullerene or C<sub>70</sub>

# **Definition statement**

This place covers:

Indexing Codes for fullerene ring systems, e.g.  $C_{60}$  (buckminsterfullerene) or  $C_{70}$ , in organic compounds classified in  $\underline{C07C}$ 

#### References

#### Limiting references

This place does not cover:

Fullerenes as such (consisting only of carbon)

C01B 32/15

# Informative references

Attention is drawn to the following places, which may be of interest for search:

Carbocyclic non-condensed rings	C07C 2601/00
Carbocyclic ring systems containing two condensed rings	C07C 2602/00
Carbocyclic ring systems containing at least three condensed rings	C07C 2603/00

# Special rules of classification

Only carbocyclic ring systems of compounds classified in subclass  $\underline{\text{C07C}}$  as claimed compounds or as products of claimed production / purification / stabilisation processes are indexed. See the special rules of classification within the subclass  $\underline{\text{C07C}}$ .

Please note: The indexing of compounds classified in this subclass is not yet complete. Missing codes are continuously being added.